### NORTH CAROLINA REGISTER

JUN 1 7 1999

**VOLUME 13 • ISSUE 24 • Pages 1980 - 2072** 

June 15, 1999

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Pharmacy, Board of

Public Education

Rules Review Commission

Contested Case Decisions

#### PUBLISHED BY

The Office of Administrative Hearings Rules Division PO Drawer 27447 Raleigh, NC 27611-7447 Telephone (919) 733-2678 Fax (919) 733-3462 For those persons that have questions or concerns regarding the Administrative Procedure Act or any of its components, consult with the agencies below. The bolded headings are typical issues which the given agency can address, but are not inclusive.

#### Rule Notices, Filings, Register, Deadlines, Copies of Proposed Rules, etc.

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#### NORTH CAROLINA REGISTER



Volume 13, Issue 24 Pages 1980 - 2072

June 15, 1999

This issue contains documents officially filed through May 24, 1999.

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North Carolina Register is published semi-monthly for \$195 per year by the Office of Administrative Hearings, 424 North Blount Street, Raleigh, NC 27601. (ISSN 15200604) to mail at Periodicals Rates is paid at Raleigh, NC. POSTMASTER—Send Address changes to the North Carolina Register, PO Drawer 27447, Raleigh, NC 27611-7447

The North Carolina Administrative Code (NCAC) has four major subdivisions of rules. Two of these, titles and chapters, are mandatory. The major subdivision of the NCAC is the title. Each major department in the North Carolina executive branch of government has been assigned a title number. Titles are further broken down into chapters which shall be numerical in order. The other two, subchapters and sections are optional subdivisions to be used by agencies when appropriate.

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# **EXPLANATION OF THE PUBLICATION SCHEDULE**

This Publication Schedule is prepared by the Office of Administrative Hearings as a public service and the computation of time periods are not to be deemed binding or controlling. Time is computed according to 26 NCAC 2C .0302 and the Rules of Civil Procedure, Rule 6.

### GENERAL

## FILING DEADLINES

for The North Carolina Register shall be published twice a month and contains the following information submitted publication by a state agency:

- temporary rules;  $\widehat{\Xi}$
- notices of rule-making proceed-(2)
- text of proposed rules;
- text of permanent rules approved notices of receipt of a petition for by the Rules Review Commission;  $\widehat{z}$ (5)
- Executive Orders of the Governor; required by G.S. 120-165;

municipal incorporation, as

- changes in laws affecting voting in a jurisdiction subject of Section 5 Attorney General concerning final decision letters from the U.S. of the Voting Rights Act of 1965, as required by G.S. 120-30.9H; 96
  - orders of the Tax Review Board issued under G.S. 105-241.2; and  $\widehat{\infty}$
- other information the Codifier of Rules determines to be helpful to he public. 6)

unless it is a Saturday, Sunday, or State COMPUTING TIME: In computing time in the day of the period so computed is included, holiday, in which event the period runs until schedule, the day of publication of the North Carolina Register is not included. The last the preceding day which is not a Saturday, Sunday, or State holiday

ISSUE DATE: The Register is published on Sunday, or State holiday for employees for State employees, the North Carolina helore or after) the first or fifteenth the first and fifteen of each month if the first mandated by the State Personnel Commission. If the first or fifteenth of any month is a Saturday, Sunday, or a holiday Register issue for that day will be published on the day of that month closest to (either or fifteenth of the month is not a Saturday, respectively that is not a Saturday, Sunday, or holiday for State employees. LAST DAY FOR FILING: The last day for filing for any issue is 15 days before the issue date excluding Saturdays, Sundays, and holidays for State employees

## NOTICE OF RULE-MAKING PROCEEDINGS

#### proposed rules is published, and the text of RULE-MAKING PRO-CEEDINGS: This date is making proceeding until the text of the END OF COMMENT PERIOD TO A NOTICE OF accept comments on the notice of rulethe proposed rule shall not be published until at least 60 days after the notice of rule-60 days from the issue date. An agency shall making proceedings was published.

EARLIEST REGISTER ISSUE FOR PUBLICATION OF TEXT: The date of the next ssue following the end of the comment period.

## NOTICE OF TEXT

hearing date shall be at least 15 days after EARLIEST DATE FOR PUBLIC HEARING: The the date a notice of the hearing is published.

#### comments on the text of a proposed rule for (1) RULE WITH NON-SUBSTANTIAL until the date of any public hearings held on ECONOMIC tMPACT: An agency shall accept at least 30 days after the text is published or the proposed rule, whichever is longer. END OF REQUIRED COMMENT PERIOD

on the text of a proposed rule published in economic impact requiring a fiscal note under G.S. 150B-21,4(h1) for at least 60 RULE WITH SUBSTANTIAL ECONOMIC IMPACT: An agency shall accept comments the Register and that has a substantial days after publication or until the date of any public hearing held on the rule, whichever is

DEADLINE TO SUBMIT TO THE RULES REVIEW COMMISSION: The Commission shall review a rule submitted to it on or before the twentieth of a month by the last day of the next month.

ASSEMBLY: This date is the first legislative REGULAR SESSION OF THE GENERAL day of the next regular session of the General Assembly following approval of the rule by the Rules Review Commission. See FIRST LEGISLATIVE DAY OF THE NEXT G.S. 150B-21.3, Effective date of rules.

#### EXECUTIVE ORDER NO. 151 AMENDING EXECUTIVE ORDER NO. 149 CLEAN NC 2000 BOARD

By the authority vested in me as Governor by the laws and Constitution of the State of North Carolina, IT IS ORDERED:

Section 1. Amendment of Executive Order Number 149 Section 2 of Executive Order Number 149 is hereby replaced by the following:

The Board shall consist of at least 31 members. A minimum of twenty members shall be appointed by, and shall serve at the pleasure of the Governor. Members appointed by the Governor shall be drawn from all geographic areas of North Carolina, shall reflect North Carolina's diverse population, and shall be representative of government, business and industry, community and civic organizations, and education. Of these members, one member shall be a citizen under the age of twenty-one.

In addition to the appointed members noted above, the following eleven individuals, or their respective designces, shall serve as ex-officio members:

- g. Commissioner of the North Carolina Department of Agriculture and Consumer Services;
- Superintendent of the North Carolina Department of Public Instruction;
- i. Secretary of the North Carolina Department of

Administration:

- j. Secretary of the North Carolina Department of Commerce;
- k. Secretary of the North Carolina Department of Correction;
- Secretary of the North Carolina Department of Crime Control and Public Safety;
- m. Secretary of the North Carolina Department of Cultural Resources:
- n. Secretary of the North Carolina Department of Environment and Natural Resources;
- Secretary of the North Carolina Department of Transportation;
- President of the North Carolina Association of County Commissioners; and.
- k. President of the North Carolina League of Municipalities.

Section 2. <u>Effect on Executive Order Number 149</u> Subject to the amendment herein, all other provisions of Executive Order No. 149 shall remain in full force and effect.

Section 3. <u>Effective Date</u>
This Order is effective immediately.

Done in the Capital City of Raleigh, North Carolina, this the 17th day of May, 1999.

This Section contains public notices that are required to be published in the Register or have been approved by the Codifier of Rules for publication.

#### **PUBLIC NOTICE**

Citizens are invited to attend public hearings on the <u>Draft 2000 State Medical Facilities Plan</u> to be conducted by the North Carolina State Health Coordinating Council (SHCC) at the following times and locations:

Asheville	July 20, 1999 (Tuesday)	1:30-2:30 p.m.	Mountain Area Health Education Ctr. (Straddles St. Joseph 's/Memorial Mission Hospitals; entrance for Memorial Mission; the Medical Center for Western Carolina) 501 Biltmore Avenue Second Floor, Classroom #4 Asheville, NC 28801-4686
Greenville	July 20, 1999 ( <i>Tuesday</i> )	1:30-2:30 p.m.	The Willis Building East Carolina University 300 East First Street Greenville, NC 27858-4356
Charlotte	July 21, 1999 (Wednesday)	1:30-2:30 p.m.	Mercy Hospital Auditorium 2001 Vail Avenue Charlotte, NC
Greensboro	July 21, 1999 (Wednesday)	1:30-2:30 p.m.	Greensboro AHEC Room 30 Moses Cone Hospital Campus 1200 North Elm Street Greensboro, NC
Wilmington	July 23, 1999 (Friday)	1:30-2:30 p.m.	Coastal AHEC New Hanover Regional Med. Ctr. Auditorium Ground Floor 2131 S. 17th Street Wilmington, NC
Raleigh	July 27, 1999 (Tuesday)	1:30-2:30 p.m.	Wake County Commons Wake County Office Park 4011 Carya Drive (Just off Exit 15 "Poole Road" from 1-440) Raleigh, NC

All persons commenting on the Draft Plan at the public hearings are asked to supply WRITTEN COPIES of their remarks. Persons with disabilities who need assistance to participate in the public hearing are requested to notify the Medical Facilities Planning Section in advance so that reasonable accommodations can be arranged.

The State Medical Facilities Plan projects need for acute care hospital beds, rehabilitation facilities and beds, ambulatory surgery facilities and operating rooms, technology services and equipment, nursing care beds, home health agencies, kidney dialysis stations, hospice home care programs and inpatient beds, psychiatric hospitals, substance abuse treatment facilities, and intermediate care facilities for the mentally retarded. NOTE: After the need determinations and policies are adopted by the SHCC and approved by the Governor, they will be incorporated in Administrative Procedure Act Rules for the 2000 State Medical Facilities Plan.

Persons wishing to review or purchase the <u>Draft 2000 State Medical Facilities Plan</u> or who want information about the Plan or the series of public hearings may call 919-733-4130, or write to: Medical Facilities Planning Section, Division of Facility Services, P. O. Box 29530, Raleigh, NC 27626-0530. Inquiries may be made to this same address about comments or petitions received regarding the Draft Plan. Copies of the Draft Plan will also be made available to all Area Health Education Centers and to all Lead Regional Organizations (Councils of Government) in the State. ALL WRITTEN COMMENTS AND PETITIONS ON <u>THE DRAFT 2000 STATE MEDICAL FACILITIES PLAN MUST BE RECEIVED BY JULY 30</u>, 1999.

#### STATE OF NORTH CAROLINA ENVIRONMENTAL MANAGEMENT COMMISSION POST OFFICE BOX 29535 RALEIGH, NORTH CAROLINA 27626-0535

#### PUBLIC NOTICE OF INTENT TO ISSUE STATE GENERAL NPDES PERMITS

Public notice of intent to issue or reissue expiring State National Pollutant Discharge Elimination System (NPDES) General Permits for Point Source Discharges of Stormwater associated with the following activities:

- NPDES General Permit No. NCG180000 for stormwater point source discharges associated with activities classified as Furniture and Fixtures [Standard Industrial Classification (SIC) 25] and Wood Kitchen Cabinets [SIC 2434].
- NPDES General Permit No. NCG190000 for stormwater point source discharges associated with activities classified as Ship
  and Boat Building [SIC 373] and Marinas [SIC 4493] where vehicle maintenance activities and boat and equipment cleaning
  operations occur.

On the basis of preliminary staff review and application of Article 21 of Chapter 143 of the General Statutes of North Carolina, Public Law 92-500 and other lawful standards and regulations, the North Carolina Environmental Management Commission proposes to reissue State NPDES General Permits for the discharges as described above.

INFORMATION: Copies of the draft NPDES General Permits and Fact Sheets concerning the draft Permits are available by writing or calling:

Vanessa Wiggins
Water Quality Section
N.C. Division of Water Quality
P.O. Box 29535
Raleigh, North Carolina 27626-0535

Telephone (919) 733-5083 ext. 520

Persons wishing to comment upon or object to the proposed determinations are invited to submit their comments in writing to the above address no later than <u>July 16, 1999</u>. All comments received prior to that date will be considered in the final determination regarding permit issuance. A public meeting may be held where the Director of the Division of Water Quality finds a significant degree of public interest in any proposed permit issuance.

The draft Permits, Fact Sheets and other information are on file at the Division of Water Quality, 512 N. Salisbury Street, Room 925, Archdale Building, Raleigh, North Carolina. They may be inspected during normal office hours. Copies of the information of file are available upon request and payment of the costs of reproduction. All such comments and requests regarding these matters should make reference to the draft Permit Numbers, NCG180000, or NCG190000.

Date: 5/14/1999

<u>/s/</u> <u>Bradley Bennett</u>

for Kerr T. Stevens, Director

Division of Water Quality

#### STATE OF NORTH CAROLINA

#### BEFORE THE TAX REVIEW BOARD

#### **COUNTY OF WAKE**

IN	THE	N/I A	TTER	OF
	I HF.	A	III	1) [

The Denial of Refund of Sales and Use Tax for the Period October 1, 1993, through September 30, 1996, by the Secretary of Revenue	) ) ) ADMINISTRATIVE DECISION
	) <b>Number:</b> <u>353</u>
VS.	)
	)
Carolina Power and Light Company	)
Taxpayer	)

This matter was heard before the Tax Review Board in the City of Raleigh, Wake County, North Carolina, on February 11, 1999, upon Taxpayer's petition for administrative review of the Final Decision of the Secretary of Revenue entered on August 11, 1998, sustaining the denial of the refund of sales and use tax for the period of October 1, 1993, through September 30, 1996.

Attorney Jasper L. Cummings, Jr. represented Taxpayer at the hearing. Kay Linn Miller Hobart, Assistant Attorney General appeared at the hearing on behalf of the Secretary of Revenue.

Chairman Harlan E. Boyles, State Treasurer presided over the hearing with Jo Anne Sanford, Chair, Utilities Commission and duly appointed member, Noel L. Allen, Attorney at Law participating.

Carolina Power and Light Company (hereinafter "Taxpayer") filed a claim for refund of sales tax it paid on certain sales of electricity for the period of October 1, 1993, through September 30, 1996. Upon denial of the refund, Taxpayer protested and requested a hearing before the Secretary. After the hearing, the Assistant Secretary issued his final decision on August 11, 1998, that sustained the Department's denial of the refund in its entirety. Pursuant to G.S. 105-241.2, Taxpayer timely filed a notice of intent and petition for administrative review of the Assistant Secretary's final decision with the Tax Review Board.

#### **ISSUE**

The issue to be decided in this matter is stated as follows:

Is Taxpayer liable for collecting and remitting sales tax on its sales of electricity to Foundry Services, Inc., that was used by the purchaser to operate its induction furnace in its manufacturing process or are such sales exempt pursuant to G.S. 105-164.13(8)?

#### **EVIDENCE**

The evidence presented at hearing before the Secretary of Revenue and included in the record before the Board is stated as follows:

- 1. Memorandum dated April 18, 1996, from the Secretary of Revenue to the Assistant Secretary of Revenue, designated as Exhibit E-1.
- 2. Letter dated October 15, 1996, from Taxpayer's representative to the Division, designated as Exhibit E-2.
- 3. Claim for refund of sales and use tax dated October 29, 1996, designated as Exhibit E-3.
- 4. Facsimile transmission dated June 3, 1997, from Taxpayer's representative to the Division, designated as Exhibit E-4.
- 5. Letter dated July 21, 1997, from the Division to the Taxpayer, designated Exhibit E-5.

- 6. Letter dated July 21, 1997, from the Division to the Taxpayer's representative, designated as Exhibit E-6.
- 7. Induction heating system manufacturer's product brochures, designated as Exhibit E-7.
- 8. Information articles from trade journals dated July and August, 1995, designated as Exhibit E-8.
- 9. Letter dated August 25, 1997, from the Taxpayer's representative to the Division, designated as Exhibit E-9.
- 10. Letter dated October 13, 1997, from the Division to the Taxpayer's representative, designated as Exhibit E-10.
- 11. Letter dated October 15, 1997, from the Assistant Secretary to the Taxpayer's representative, designated as Exhibit E-11.
- 12. Letter dated November 21, 1997, and accompanying brief from Taxpayer's representative to the Assistant Secretary, designated as Exhibit E-12.
- 13. Letter dated December 2, 1997, from the Assistant Secretary to Taxpayer's representative, designated as Exhibit E-13.
- 14. Letter dated February 19, 1998, from the Assistant Secretary to Taxpayer's representative, designated as Exhibit E-14.
- 15. Alabama Supreme Court decision, <u>Curry v. Alabama Power Co.</u>, 243 Ala. 53, 8So.2d 521, (1942), designated as Exhibit E-15.
- 16. New York Division of Tax Appeals decision, In the Matter of the Petition of Crucible, Inc., designated as Exhibit E-16.
- 17. The Division's brief for tax hearing, designated as Exhibit E-17.
- 18. Letter dated April 2, 1998, from the Division to the Assistant Secretary, designated as Exhibit E-18.
- 19. Letter dated March 19, 1998, from Assistant Secretary to Taxpayer's representative, designated as Exhibit S-1.
- 20. Article from June 1996 issue of Nickel, designated as Exhibit TP E-11.
- 21. Illustration of coreless induction furnace, designated as Exhibit TP E-12.
- 22. Memorandum dated March 3, 1998, from Mr. Kevin Spain, designated as Exhibit TP E-13.
- 23. ABB Metallurgy handout on channel induction, designated as Exhibit TP E-14.
- 24. Letter dated March 24, 1998, from Taxpayer's representative to the Assistant Secretary, designated as Exhibit PT-15.
- 25. Letter dated April 17, 1998, from the Taxpayer's representative to the Assistant Secretary, designated as Exhibit PT-16.

#### **FINDINGS OF FACT**

No specific findings of fact were listed in the Assistant Secretary's final decision. The findings of fact were incorporated into the section designated as DECISION.

#### **CONCLUSIONS OF LAW**

The Board reviewed the following conclusions of law in the Assistant Secretary's decision:

- 1. Taxpayer was at all material times a regulated utility engaged in the business of selling electricity.
- 2. To come under the exemption provided in G.S. 105-164.13(8), the property purchased must enter into or become an ingredient or component part of the tangible personal property which is manufactured.

3. Taxpayer made a timely demand for refund for the period October 1, 1993, through September 30, 1996, pursuant to G.S. 105-266.1.

#### **DECISION**

The scope of administrative review for petitions filed with the Tax Review Board is governed by G.S. 105-241.2. From the record, the Board notes that Taxpayer is a utility that generates and sells electricity. Taxpayer filed a claim for refund of utilities sales tax collected and remitted to the Department on its sales of electricity to Foundry Services, Incorporated (hereinafter "FSI"). FSI used the electricity to operate an induction furnace. An induction furnace uses electricity or electrical current to melt metal and consists of copper coil around a nonconductive liner which operates much like a transformer.

G.S. 105-164.13(8) provides an exemption from sales tax for sales of "tangible personal property which enters into or becomes an ingredient or component part of tangible personal property which is manufactured." Electricity qualifies as tangible personal property as defined in G.S. 105-164.3(20) and the Department has determined that electricity used in an arc furnace is exempt from sales tax pursuant to the G.S. 105-164.13(8). The Taxpayer argues that the electricity sold to FSI and used in the induction furnaces qualifies for the exemption from sales tax because the electricity enters into the products being heated in the furnace.

The Board, having reviewed the Secretary's decision, notes that the Decision lacks sufficient findings of fact to conclude as a matter of law that the electricity sold by the Taxpayer to FSI for use in its induction furnace was not exempt under G.S. 105-164.13(8). In particular, the Board notes that the Secretary relies upon the fact that "The induced, alternating current in the conductive material flows in an opposite direction to the current in the induction coil," and therefore concludes that the inducted current in the conductive material is not the same as the electrical current in the induction coil sold to the Taxpayer. The Secretary then concludes that electricity does not enter into or become an ingredient or component part of tangible personal property that is manufactured.

Having reviewed the record, and noting that the Taxpayer presented a highly technical argument, the Board deems it appropriate to remand this matter to the Secretary for further proceeding. The Secretary is directed to enter a new decision with findings of fact to support a decision that the electricity sold by Taxpayer to FSI for use in the induction furnace does not enter into or become an ingredient or component part of tangible personal property which is manufactured.

On remand, the Secretary may rely upon the existing record or she may consider additional arguments, as she deems appropriate; or she may consult with experts in the field to clarify the proper meaning of electricity as it pertains to the specific process of an induction furnace. It is important that the operational differences between the induction furnace and the arc furnace be defined since the Department permits the exemption to apply to the sale of electricity for use in the arc furnace.

WHEREFORE, it is the decision of the Tax Review Board to **Remand** this matter to the Secretary for further proceeding.

Made and entered into the 12th day of May, 1999.

/s/ Harlan E. Boyles, Chairman State Treasurer

/s/ Jo Anne Sanford, Member Chair, Utilities Commission

/s/ Noel L. Allen, Appointed Member

#### STATE OF NORTH CAROLINA

#### BEFORE THE TAX REVIEW BOARD

#### COUNTY OF WAKE

T!	V	TI	46	· N	1	Δ	ГТ	$\mathbf{F}\mathbf{R}$	OF:

The Denial of a Claim for Refund of	)	
Sales and Use Taxes for the Period of	)	
June 1, 1992, through May 31, 1995,	)	
by the Secretary of Revenue	)	<b>ADMINISTRATIVE DECISION</b>
	)	Number: <u>354</u>
VS.	)	
	)	
American Truetzschler, Inc.,	)	
Taxpayer	)	

This matter was heard before the Tax Review Board in the City of Raleigh, Wake County, North Carolina, on February 11, 1999, upon Taxpayer's petition for administrative review of the Final Decision of the Secretary of Revenue entered on July 22, 1998, sustaining the denial of Taxpayer's claim for refund of sales and use taxes for the period of June 1, 1992, through May 31, 1995.

Attorney, C. B. McLean, Jr. represented Taxpayer at the hearing. George W. Boylan, Special Deputy Attorney General appeared at the hearing on behalf of the Secretary of Revenue.

Chairman Harlan E. Boyles, State Treasurer presided over the hearing with Jo Anne Sanford, Chair, Utilities Commission and duly appointed member, Noel L. Allen, Attorney at Law participating.

On September 27, 1997, Taxpayer remitted payment of the balance due of a sales and use tax assessment for the period of June 1, 1992, through May 31, 1995, and requested a refund of tax, penalty and interest paid on its purchases of materials used to fabricate machines placed in its sales showroom and on the additional sales as determined in the audit. On October 23, 1997, the Division notified the Taxpayer that its demand for refund was denied, and on November 17, 1997, Taxpayer objected to the Division's denial and requested a hearing before the Secretary of Revenue. On July 22, 1998, the Assistant Secretary entered his decision that sustained the denial of Taxpayer's claim for refund of sales and use taxes for the period of June 1, 1992, through May 31, 1995. Pursuant to G.S. 105-241.2, Taxpayer timely filed a notice of intent and petition for administrative review of the Assistant Secretary's final decision with the Tax Review Board.

#### **ISSUE**

The issue to be decided in this matter is as follows:

1. Is Taxpayer subject to the 4% State and 2% county use tax on its purchases of tangible personal property used to fabricate machines that were placed in Taxpayer's showroom for demonstration purposes and subsequently sold at retail?

#### **EVIDENCE**

The evidence presented at hearing before the Secretary of Revenue and included in the record before the Board is stated as follows:

- 1. Memorandum dated April 18, 1996, from the Secretary of Revenue to the Assistant Secretary of Revenue, designated as Exhibit E-1.
- 2. Application for registration, designated as Exhibit E-2.
- 3. Face sheet of audit report, supporting auditor comments and supporting schedules designated as Exhibit E-3.
- 4. Notice of Proposed Assessment, designated as Exhibit E-4.

- 5. Letter dated December 26, 1995, from the Taxpayer to the Supervisory Auditor, designated Exhibit E-5.
- 6. Letter dated June 6, 1997, from the examining auditor to Taxpayer's representative, designated as Exhibit E-6.
- 7. Letter dated June 13, 1997, from Taxpayer's representative to the examining auditor, designated as Exhibit E-7.
- 8. Letter dated June 27, 1997, from the Taxpayer to the Division, designated as Exhibit E-8.
- 9. Letter dated July 16, 1997, from the Division to the Taxpayer, designated as Exhibit E-9.
- 10. Letter dated August 13, 1997, from the Taxpayer to the Division, designated as Exhibit E-10.
- 11. Letter dated September 11, 1997, from the Division to Taxpayer, designated as Exhibit E-11.
- 12. Letter dated September 24, 1997, from Taxpayer to the Division, designated as Exhibit E-12.
- 13. Claims for refund dated August 14, 1997, and September 29, 1997, designated as Exhibit E-13.
- 14. Letter dated October 23, 1997, from the Division to the Taxpayer, designated as Exhibit E-14.
- 15. Letter dated November 17, 1997, from the Taxpayer to the Division, designated as Exhibit E-15.
- 16. Letter dated December 2, 1997, from the Division to the Taxpayer, designated as Exhibit E-16.
- 17. Letter dated December 10, 1997, from Taxpayer's representative to the Division, designated as Exhibit E-17.
- 18. Letter dated December 31, 1997, from the Division to Taxpayer's representative, designated as Exhibit E-18.
- 19. Letter dated January 6, 1998, from Assistant Secretary to the Taxpayer, designated as Exhibit E-19.
- 20. Letter dated October 24, 1995, from Taxpayer's representative to the Division, designated as Exhibit E-20.
- 21. Letter dated November 17, 1995, from the Division to the Taxpayer, designated as Exhibit E-21.
- Letter dated January 28, 1998, from the Assistant Secretary to Taxpayer's representative, designated as Exhibit E-22.
- 23. Division's Brief for Tax Hearing, designated as Exhibit E-23.
- 24. Letter dated May 20, 1997, from Taxpayer's accountant to the Division designated as Exhibit T-1.
- 25. Letter dated October 24, 1995, from the Taxpayer's representative to the Division, 1997, designated as Exhibit T-2.
- 26. Letter dated November 17, 1995, from the Division to Taxpayer's representative, designated as Exhibit T-3.
- 27. Letter dated October 3, 1996, from the Division to a furniture manufacturer, designated as Exhibit T-4.
- 28. Taxpayer's brief, designated as Exhibit T-5.
- 29. Letter dated March 20, 1998, from the Taxpayer's representative to the Assistant Secretary, designated as Exhibit T-6.
- 30. Letter dated May 22, 1998, from the Taxpayer's representative to the Assistant Secretary, designated as Exhibit T-7.
- 31. Letter dated February 18, 1998, from the Assistant Secretary to the Taxpayer's representative, designated as Exhibit S-1.
- 32. Letter dated April 24, 1998, from the Assistant Secretary to the Taxpayer's representative, designated as Exhibit S-2.

#### FINDINGS OF FACT

The Board reviewed the following findings of fact in the Assistant Secretary's decision and summarized by the Taxpayer in its petition for administration:

- 1. Taxpayer manufactures and sells textile machinery.
- 2. Taxpayer maintains a showroom at which it displays pieces of equipment. These articles are installed and connected to power sources so they can be operated for demonstration purposes.
- 3. The equipment is kept in the showroom for an average of three to four years, which is Taxpayer's general period of introducing new models.
- 4. Taxpayer accounted for the showroom equipment in its financial records as showroom inventory and did not depreciate or take an expense deduction for income tax purposes.
- 5. During the audit period, sixteen machines were sold from the showroom inventory and receipts of \$480,220.00 were generated from these sales. Taxpayer reported overall retail sales of \$15,371,126.00 for such period.
- 6. The length of time between placement as a demonstrator in the showroom and sale for the sixteen machines varied from twelve months to four years.
- 7. Taxpayer sold the showroom equipment at less than the average retail price of the machine in fourteen of the sixteen sales.
- 8. Taxpayer did not accrue use tax on the cost price of materials used to fabricate the equipment placed in the showroom.
- 9. The Department assessed use tax on Taxpayer's purchases of tangible personal property in the amount of \$835,616.79, that were used to produce equipment put in service at its showroom during the audit period.
- 10. Notice of Proposed Assessment was mailed on December 13, 1995.
- 11. Taxpayer did not make a timely request for hearing following the mailing of the notice of tax assessment; however, taxpayer paid the amount of tax, penalty and interest due and made a demand for refund.
- 12. The Division denied Taxpayer's demand for refund and Taxpayer made a timely request for hearing in protest to the denial.

#### CONCLUSIONS OF LAW

The Board reviewed the following conclusions of law in the Assistant Secretary's decision:

- 1. Taxpayer was at all material times a manufacturer and a retailer of tangible personal property.
- 2. Pursuant to G.S. 105-164.3(18), tangible personal property is not "for use" for sales and use tax purposes if the property is "for sale" in the regular course of business.
- 3. Taxpayer made a timely demand for refund for the period June 1, 1992, through May 31, 1995, pursuant to G.S. 105-266.1.

#### **DECISION**

The scope of administrative review for petitions filed with the Tax Review Board is governed by G.S. 105-241.2(b2). After the Board conducts a hearing this statute provides in pertinent part:

(b2). "The Board shall confirm, modify, reverse, reduce or increase the assessment or decision of the Secretary."

Having conducted a hearing in this matter and having considered the petition, the Secretary's brief, the record and the

Assistant Secretary's final decision, the Board determines that sufficient evidence exists in the record to support the following findings of fact:

- a. All the showroom equipment at issue was held for and available for sale to Taxpaver's customers.
- b. Taxpayer's temporary installation of the equipment for demonstration purposes did not prevent the equipment from being sold.
- e. The showroom equipment was in fact sold when needed by a customer, regardless of how long the equipment was used for demonstration purposes.

The Board therefore determines that the Assistant Secretary erred in his decision by concluding that:

- a. Taxpayer's occasional sales of equipment from its showroom do not constitute the sale of tangible personal property in the regular course of its business.
- b. Taxpayer's withdrawal of articles of machinery for placement in its showroom as demonstrators constitutes use of the property; therefore, Taxpayer is liable for sales or use tax on its purchases of tangible personal property used to fabricate said articles.

The Board, limiting its decision to the Taxpayer at issue because of the unique facts presented in this matter, determines that the Assistant Secretary erred in ruling that the showroom equipment was not held "for sale" in the regular course of Taxpayer's business.

WHEREFORE, the Tax Review Board Orders that the Assistant Secretary's final decision sustaining the Division's denial of Taxpayer's demand for a refund of sales and use taxes for the period of June 1, 1992, through May 31, 1995 is hereby **reversed**.

Made and entered into the 12th day of May, 1999.

/s/ Harlan E. Boyles, Chairman State Treasurer

/s/ Jo Anne Sanford, Member Chair, Utilities Commission

/s/ Noel L. Allen, Appointed Member

#### STATE OF NORTH CAROLINA

#### BEFORE THE TAX REVIEW BOARD

#### **COUNTY OF WAKE**

IN	THE	NAA	TTER	OF.
	IHF.	IVI A	. I I P.K	()1.

The Proposed Assessment of Additional	)			
Income Tax for the Taxable Year 1991	)			
By the Secretary of Revenue	)			
	)			
vs.	)	<u>ADMINISTE</u>	RATIVE DECI	SION
	)	Number:	<u>355</u>	
Bobby E. McKenzie	)			
Taxpayer	)			

This matter was heard before the Tax Review Board in the City of Raleigh, Wake County, North Carolina, on February 11, 1999, upon Taxpayer's petition for administrative review of the Final Decision of the Secretary of Revenue entered on May 11, 1998, sustaining the proposed assessment of additional income tax for the taxable year 1991.

Taxpayer did not appear at the hearing. George W. Boylan, Special Deputy Attorney General appeared at the hearing on behalf of the Secretary of Revenue.

Chairman Harlan E. Boyles, State Treasurer presided over the hearing with Jo Anne Sanford, Chair, Utilities Commission and duly appointed member, Noel L. Allen, Attorney at Law participating.

Pursuant to G.S. 105-159 and G.S. 105-241.1, a <u>Notice of Individual Income Tax</u> <u>Assessment</u> reflecting additional tax, penalty and interest was mailed to Taxpayer. The proposed assessment resulted from information obtained from the Internal Revenue Service's CP2000 document matching program reflecting adjustments to Taxpayer's 1991 federal income tax return. G.S. 105-159 provides that if the federal government adjusts an individual's federal taxable income, the taxpayer must file a return with the Secretary within two years disclosing the corrected taxable income. If no amended return is filed, the Secretary may propose an assessment of additional liability within three years after the date the Secretary ultimately receives the federal determination.

On April 13, 1994, the federal government increased Taxpayer's gross income for tax year 1991. The correction reflected unreported unemployment compensation paid to the Taxpayer by the Employment Security Commission. On January 3, 1995, the federal government informed the Secretary of its adjustment to Taxpayer's 1991 tax return. Consequently, on December 26, 1997, the Secretary also increased Taxpayer's taxable income for 1991. Pursuant to G.S. 105-241.2, Taxpayer timely filed a notice of intent and petition for administrative review of the Assistant Secretary's final decision with the Tax Review Board.

#### **ISSUE**

The issue to be decided in this matter is as follows:

Is the additional income tax assessment proposed against the Taxpayer for taxable year 1991 lawful and proper?

#### **EVIDENCE**

The evidence presented at hearing before the Secretary of Revenue and included in the record before the Board is stated as follows:

- 1. Memorandum dated April 18, 1996, from the Secretary of Revenue to the Assistant Secretary of Revenue, designated as Exhibit PT-1.
- 2. Taxpayer's North Carolina individual income tax return for the taxable year 1991, designated as Exhibit PT-?
- 3. Notice of Individual Income Tax Assessment for the taxable year 1991 dated December 26, 1997, designated as Exhibit PT-3.

- 4. CP-2000 Match Notice, a copy of which is designated as Exhibit PT-4.
- 5. Letter dated January 12, 1998, from the Taxpayer to the Department of Revenue, designated Exhibit PT-5.
- Letter dated February 10, 1998, from Charles T. Hawks, Administrative Officer in the Personal Taxes Division to Taxpayer, designated as Exhibit PT-6.
- 7. Letter dated February 12, 1998, from Taxpayer to Charles T. Hawks, Administrative Officer in the Personal Taxes Division, designated as Exhibit PT-7.
- 8. Letter dated February 27, 1998, from Michael A. Hannah to the Taxpayer, designated as Exhibit PT-8.
- 9. Letter dated March 5, 1998, from Taxpayer to Michael A. Hannah, designated as Exhibit PT-9.
- 10. Letter dated March 12, 1998, from Michael A. Hannah to the Taxpayer, designated as Exhibit PT-10.
- 11. Letter dated March 17, 1998, from Michael A. Hannah to the Taxpayer, designated as Exhibit PT-11.
- 12. North Carolina Department of Revenue, Management Information Services Division IRS TAPE LOG, CP2000 Tape Inventory, and computer printout designated as Exhibit PT-12.
- 13. Letter dated March 19, 1998, from Michael A. Hannah to the Taxpayer, designated as Exhibit PT-13.
- 14. Letter dated April 6, 1998, from Taxpayer to Michael A. Hannah, designated as Exhibit PT-14.
- 15. Letter dated April 14, 1998, from Michael A. Hannah to the Taxpayer, designated as Exhibit PT-15.

#### **FINDINGS OF FACT**

The Board reviewed the following findings of fact in the Assistant Secretary's decision:

- 1. Taxpayer, at all material times, is a natural person, sui juris, and a resident of North Carolina.
- 2. Taxpayer timely filed his 1991 individual tax return which reflected an overpayment of tax which was refunded by the Department.
- 3. The Internal Revenue Service increased Taxpayer's federal taxable income in the amount of \$3,150 paid by the Employment Security Commission on North Carolina and notified Taxpayer of the adjustment on April 13, 1994.
- 4. Taxpayer did not file an amended State individual income tax return reflecting the change by the Internal Revenue Service, nor notify the Department of this change.
- 5. Based upon information obtained on January 3, 1995, under the Internal Revenue Service's CP2000 Match Program, the Department increased Taxpayer's income in the amount of \$3,150.00 paid by the Employment Security Commission of North Carolina.
- 6. The Department asserted the twenty-five percent penalty for the failure to report the federal changes within two years with accrued interest.
- 7. Pursuant to G.S. 105-159 and G.S. 105-241.1, a Notice of Individual Income Tax Assessment for the taxable year 1991 reflecting additional tax, interest, and penalty was proposed on December 26, 1997.
- 8. Taxpayer has not furnished any information to show that the assessment by the Internal Revenue Service for additional federal income tax has been amended or that the proposed State assessment for the taxable year 1991 is otherwise in error.
- 9. The penalty and interest were properly calculated.

#### **CONCLUSIONS OF LAW**

The Board reviewed the following conclusions of law in the Assistant Secretary's decision:

- 1. G.S. 105-134.5(a) defines North Carolina taxable income as federal taxable income, adjusted as provided in G.S. 105-134.6 and G.S. 105-134.7 for differences in state and federal law.
- 2. No adjustment provided in G.S. 105-134.6 and G.S. 105-134.7 are applicable to the items at issue; therefore, the changes to Taxpayer's federal income tax returns are applicable to the State income tax return.
- 3. The proposed assessment is based on information received from the Internal Revenue Service and is presumed to be correct. The burden to show otherwise rests solely with the taxpayer.
- 4. A Taxpayer whose net income is changed, corrected or otherwise determined by the Internal Revenue Service, must file a return with the State reporting that change or determination of net income within two years after receipt of the Internal Revenue Service Report. When the taxpayer does not file the required amended return and the Department obtains a copy of the Internal Revenue Service report, an assessment may be proposed for any additional tax plus penalty and interest at any time within three years from the date the report is received from the Internal Revenue Service.
- 5. A twenty-five percent penalty is required for failure to report federal changes within two years.
- 6. All assessments bear interest from the time the tax or additional tax is due until paid.
- 7. Taxpayer has not shown that the failure to report the federal changes within two years and to pay the tax by the due date was due to reasonable cause.
- 8. The Notice of Individual Income Tax Assessment for the taxable year 1991 was properly issued pursuant to G.S. 105-159 and G.S. 105-241.1 and is, under the facts, lawful and proper.

#### **DECISION**

The scope of administrative review for petitions filed with the Tax Review Board is governed by G.S. 105-241.2(b2). After the Board conducts a hearing this statute provides in pertinent part:

(b2). "The Board shall confirm, modify, reverse, reduce or increase the assessment or decision of the Secretary."

Assessments of tax are presumed to be correct and the taxpayer has the burden to show that the assessment is not proper. From a review of the record, Taxpayer failed to furnish evidence to show that the proposed assessment is this matter was in error. Thus, the Board having conducted a hearing in this matter, and having considered the petition, the brief, the record and the Assistant Secretary's final decision, concludes that there exist sufficient evidence in the record to confirm the Assistant Secretary's final decision.

WHEREFORE, the Tax Review Board Orders that the Assistant Secretary's final decision sustaining the proposed assessment of additional income tax for the taxable year 1991 against the Taxpayer is hereby **confirmed.** 

Made and entered into the 12th day of May, 1999.

/s/ Harlan E. Boyles, Chairman State Treasurer

/s/ Jo Anne Sanford, Member Chair, Utilities Commission

/s/ Noel L. Allen, Appointed Member

An agency may choose to publish a rule-making agenda which serves as a notice of rule-making proceedings if the agenda includes the information required in a notice of rule-making proceedings. The agency must accept comments on the agenda for at least 60 days from the publication date. Statutory reference: G.S. 150B-21.2.

#### TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

This agenda will serve as the notice of rule-making proceedings for the following rule-making bodies from June 15, 1999 through August 15, 1999:

Environmental Management Commission - to rules codified in 15A NCAC 2D, 2Q & 2P.

#### DENR Regulatory Agenda Index - May 20, 1999

#### AIR QUALITY

<u>APA #</u>	<u>SUBJECT</u>	RULE CITATION #
E2781	Nitrogen Oxides	15A NCAC 2D .1400
E2782	Good Operation and Maintenance	15A NCAC 2D .0542 (New Rule)
E2783	Title V Permitting	15A NCAC 2Q .0102, .0502

#### WASTE MANAGEMENT

<u>APA #</u>	SUBJECT	RULE CITATION #
E2796	Preapproval assessment and	15A NCAC 2P .0402
	cleanup tasks associated with	
	leaking petroleum underground	

#### DENR Regulatory Agenda - May 20, 1999

storage tanks

APA #: E2781

SUBJECT: Nitrogen Oxides

RULE CITATION #: 15A NCAC 2D .1400

STATUTORY AUTHORITY: G.S. 143-215.3(a)(1); 143-215.107(a)(5), (10)

DIVISION/SECTION: AIR QUALITY DIVISION CONTACT: Thom Allen

DIVISION CONTACT TEL#: (919)733-1489

DATE INITIATED: 04/20/1999 DURATION OF RULE: Permanent

TYPE OF RULE:

STAGE OF DEVELOPMENT: Concept Stage

GOV LEVELS AFFECTED: None

REASON FOR ACTION:

To adopt nitrogen oxide (NOx) emission limits for five electrical power plants.

#### SCOPE/NATURE/SUMMARY:

Exceedences of the new eight-hour ozone standard are being measured at many of the ambient air ozone monitors in the State. Nitrogen oxides are one of the contributors to the ozone problem.

EPA has issued a State Implementation Plan (SIP) call for states to reduce emissions from major stationary sources of nitrogen oxides. The SIP call was issued in 63 FR 57356 (10/27/98).

EPA's SIP call is currently under litigation. The outcome of that court case will determine what action North Carolina will take and when that action will be taken. Several options are being considered. One could require reductions from the five largest power plants initially and then, after additional study, require reduction from other sources, but not necessarily all the sources that are covered in EPA's SIP call. Another option would be to require reductions as set out in EPA's SIP call.

Electrical power plants are a significant source of nitrogen oxides. A majority of the emissions of nitrogen oxides in North Carolina from stationary combustion sources come from five electrical power plants. These plants are the Marshall, Belews

#### RULE-MAKING AGENDA

Creek and Allen of Duke Power Company and Roxboro and Mayo of Carolina Power and Light Company. The emission standard that is being considered for the coal-fired units at these five plants is 0.15 pounds per million Btu.

In addition to reducing emissions of nitrogen oxides from these five power plants, the remaining power plants and other boilers or turbines generating electricity may be required to reduce their emissions to 0.15 pounds per million Btu. Furthermore, boilers and turbines not generating electricity may be required to reduce emissions of nitrogen oxides by 60 percent on average. Stationary reciprocating internal combustion engines would be required to comply with the standards in 40 CFR Part 98, Suhpart A.

Rules involved in this proposal include rules in Section 15 A NCAC 2D .1400, Nitrogen Oxides. A new rule is being considered to allow trading of excess emission reductions while maintaining the degree of reduction necessary to achieve air quality goals.

These rule changes are the first in a series of rule changes dealing with nitrogen oxide emissions impacting ozone nonattainment areas.

#### APA #: E2782

SUBJECT: Good Operation and Maintenance

RULE CITATION #: 15A NCAC 2D .0542 (New Rule)

STATUTORY AUTHORITY: G.S. 143-215.3(a)(1): 143-215.107(a)(5)

DIVISION/SECTION: AIR QUALITY DIVISION CONTACT: Thom Allen

DIVISION CONTACT TEL#: (919)733-1489

DATE INITIATED: 04/20/1900 DURATION OF RULE: Permanent

TYPE OF RULE:

STAGE OF DEVELOPMENT: Concept Stage

GOV LEVELS AFFECTED: None

REASON FOR ACTION:

To adopt a new rule to require good operation and maintenance of sources and associated air pollution control devices. SCOPE/NATURE/SUMMARY:

A new rule is being considered to require owners or operators of sources to maintain and operate sources, including associated air pollution control devices, in a manner consistent with good air pollution control practice for minimizing emissions. Determination of whether acceptable operating and maintenance procedures are being used will be based on information available to the Division of Air Quality, which may include monitoring results, review of operating and maintenance procedures, and inspection of the sources.

#### APA #: E2783

SUBJECT: Title V Permitting

RULE CITATION #: 15A NCAC 2Q .0102, .0502

STATUTORY AUTHORITY: G.S. 143-215.3(a)(1); 143-215.108

DIVISION/SECTION: AIR QUALITY DIVISION CONTACT: Thom Allen

DIVISION CONTACT TEL#: (919)733-1489

DATE INITIATED: 04/20/1999 DURATION OF RULE: Permanent

TYPE OF RULE:

STAGE OF DEVELOPMENT: Concept Stage

GOV LEVELS AFFECTED: None

**REASON FOR ACTION:** 

To make the Title V permitting rules compatible with the latest EPA interpretation of the Clean Air Act.

#### SCOPE/NATURE/SUMMARY:

EPA has identified several deficiencies. These deficiencies concern permit exemptions and research and development facilities.

Under the current rules (15A NCAC 2Q .0502(c)) research and development operations located at manufacturing facilities are considered as a separate and discrete facility for the purposes of determining whether such operations constitute a major

#### **RULE-MAKING AGENDA**

facility subject to the Title V permitting requirements. Except where such research and development operations by themselves constitute a major facility, they shall be exempted from Title V permitting requirements. EPA objects to this type of treatment for research and development operations. Emissions from research and development operations need to be included with emissions from other sources at the facility to determine Title V applicability. Research and development operations at a Title V facility must be included on the Title V permit. Thus, Paragraph © of 15A NCAC 2Q .0502 needs to be deleted.

EPA also wants an additional gatekeeper added to Paragraph (a) of 15A NCAC 2Q .0102, Activities Exempted from Permit Requirements. This gatekeeper would exclude sources at a Title V facility from the exemptions listed in Paragraph (b) of this Rule if it is covered under a source category-specific standard in the North Carolina State Implementation Plan.

Furthermore, EPA states that the State may not grant outright exemption from permitting of any source or insignificant activity. To address this problem, the introductory phrase of 15A NCAC 2Q .0102 (b) may be revised. It could be changed to state that the list in Paragraph (b) are insignificant activities at facilities required to have a Title V permit. (Then a condition could be placed in the permit stating that all insignificant activities are subject to all applicable requirements.) For facilities not required to have a Title V permit, these activities would continue to be exempted from permitting.

APA #: E2796

SUBJECT: Preapproval assessment and cleanup tasks associated with leaking petroleum

underground storage tanks

RULE CITATION #: 15A NCAC 2P .0402

STATUTORY AUTHORITY: G.S. 143-215.94E(e2); 1998-161

DIVISION/SECTION: WASTE MANAGEMENT

DIVISION CONTACT: George Matthis DIVISION CONTACT TEL#: (919)733-1332

DATE INITIATED: 05/13/1999

DURATION OF RULE: Temporary 07/08/1999

TYPE OF RULE:

STAGE OF DEVELOPMENT: Draft Rule Stage

GOV LEVELS AFFECTED: None

REASON FOR ACTION:

The General Assembly required the Commission to adopt a rule that would specify those tasks performed during the assessment and cleanup of petroleum underground storage tanks that must be preapproved by the Department prior to performing the work. This legislation specifically authorizes the Commission to adopt a temporary rule by October 1, 1999. This proposed rule amendment has been drafted to satisfy the General Assembly's direction.

#### SCOPE/NATURE/SUMMARY:

This rule amendment would specify those tasks related to the assessment and cleanup of releases from petroleum underground storage tanks that must be preapproved by the Department before the work proceeds. It would also state that the Department is authorized to deny any request for reimbursement from the Leaking Petroleum Underground Storage Tank Cleanup Funds for the cost of any task for which preapproval was required but not obtained.

#### **RULE-MAKING PROCEEDINGS**

A Notice of Rule-making Proceedings is a statement of subject matter of the agency's proposed rule making. The agency must publish a notice of the subject matter for public comment at least 60 days prior to publishing the proposed text of a rule. Publication of a temporary rule serves as a Notice of Rule-making Proceedings and can be found in the Register under the section heading of Temporary Rules. A Rule-making Agenda published by an agency serves as Rule-making Proceedings and can be found in the Register under the section heading of Rule-making Agendas. Statutory reference: G.S. 150B-21.2.

#### TITLE 4 - DEPARTMENT OF COMMERCE

#### **CHAPTER 3 - BANKING COMMISSION**

#### SUBCHAPTER 3L - CHECK CASHING BUSINESSES

Notice of Rule-making Proceedings is hereby given by the Office of the Commissioner of Banks, State Banking Commission in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the Register the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

Citation to Existing Rules Affected by this Rule-Making: 4 NCAC 3L. Other rules may be proposed in the course of the rule-making process.

**Authority for the rule-making:** G.S. 53-92, 53-93, 53-288.

Statement of the Subject Matter: In 1997, the NC General Assembly adopted Senate Bill 312, "An Act to Regulate Check-Cashing Businesses," as Article 22 of Chapter 53 of the General Statutes. The State Banking Commission intends to adopt rules which define certain terms and practices and which establish certain policies and compliance requirements. The State Banking Commission further intends to arrange for the collection of such information as required by Section 2 of the same Senate Bill 312, mandating a report by the Commissioner of Banks to the 2001 General Assembly"...in the practices of licensees with regard to checks cashed pursuant to G.S. 53-281, including any evidence as to consumer complaints, unfair or deceptive trade practices, and the frequency of repeated use by individuals of postdated or delayed deposit checks..."

**Reason for Proposed Action:** There have been questions and concerns raised as to the proper operation of the statute especially as to post-dated and delayed deposit checks, and there is a need for rules to provide guidance to industry participants as to acceptable practices and procedures.

**Comment Procedures:** Any comments should be forwarded to Otis M. Meacham, Deputy Commissioner, Office of the Commissioner Banks, PO Box 10709, Raleigh, NC 27605-0709.

This Section contains the text of proposed rules. At least 60 days prior to the publication of text, the agency published a Notice of Rule-making Proceedings. The agency must accept comments on the proposed rule for at least 30 days from the publication date, or until the public hearing, or a later date if specified in the notice by the agency. The required comment period is 60 days for a rule that has a substantial economic impact of at least five million dollars (\$5,000,000). Statutory reference: G.S. 150B-21.2.

#### TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

Notice is hereby given in accordance with G.S. 150B-21.2 that the DENR - Environmental Management Commission intends to adopt the rules cited as 15A NCAC 2E .0501-.0504; amend the rules cited as 15A NCAC 2E .0106-.0107; and repeal rules cited as 15A NCAC 2E .0102-.0103, .0201-.0202, .0205. Notice of Rule-making Proceedings was published in the Register on April 15, 1999.

Proposed Effective Date: August 1, 2000

A Public Hearing will be conducted at 1:00-4:00 p.m. and 6:00-8:00 p.m. on July 14, 1999 at the Craven County Community College Auditorium, New Bern, NC.

**Reason for Proposed Action:** There is increasing evidence of present and future groundwater supply shortages within the area encompassed by the following 15 North Carolina counties: Beaufort, Carteret, Craven, Duplin, Edgecombe, Greene, Jones, Lenoir, Martin, Onslow, Pamlico, Pitt, Washington, Wayne and Wilson. Within these counties groundwater from the Black Creek and Upper Cape Fear aquifers is being withdrawn at a rate that exceeds the available recharge. To address threatened groundwater supplies in the region the North Carolina Division of Water Resources requests the Environmental Management Commission to create a capacity use area. The Central Coastal Plain Capacity Use Area would regulate water use through permitting to avoid damage to the groundwater resources and to maintain those sources of water indefinitely. The proposed regulation is a major rule and would affect both state funds and local government expenditures.

Water levels in the Black Creek and Upper Cape Fear aquifers have been declining since the late 1960s as documented by Division of Water Resources databases. The Division has anecdatal information from the 1920s that water flowed from artesian wells at the time they were constructed. This continued decline, from free-flowing wells to water levels as much as 195 feet below land surface, indicates that current withdrawals of water from these aquifers exceed the available supply that can be used on a sustainable basis. Regulating the use of water in this area fulfills the intent of the Water Use Act of 1967 to protect the resource and to allow water uses that can be sustained into the future. Water users in this area have overused the resource since it has provided the highest quality water for their uses at the lowest costs. Growth in demand and the physical limits of the hydrogeologic system have resulted in the present situation.

Demands for water exceed the safe yields of the Black Creek and Upper Cape Fear aquifers such that other sources of water must be brought on line by water supply systems. Surface water and other aquifers will be used to meet this deficit. The availability of surface water sources in these counties is affected by water quality issues. Therefore, the proposed capacity use area includes regulation of both surface and groundwater withdrawals. The high yielding Castle Hayne aquifer is available in the eastern portion of the affected area. This capacity use area is proposed to include those eastern counties to control the exchange of water and promote controlled development of alternative supplies. Because the proposed capacity use area encompasses all areas within the existing Capacity Use Area No. 1 that require continued regulation, the existing Capacity Use Area No. 1 declaration and rule (15A) NCAC 2E.0201, .0202, and .0205) should be repealed when this proposed rule becomes effective.

The Water Use Act of 1967 allows for the Environmental Management Commission to "declare and delineate from time to time, and may modify, capacity use areas of the State where it finds that the use of groundwater or surface water or both require coordination and limited regulation for protection of the interests and rights of residents or property owners of such areas or of the public interest." The Act further states that a capacity use area "is one where the Commission finds that the aggregate uses of groundwater or surface water, or both, in or affecting said area (i) have developed or threatened to develop to a degree which requires coordination and regulation, or (ii) exceed or threaten to exceed, or otherwise threaten or impair, the renewal or replenishment of such waters or any part of them."

The most threatened portions of the Black Creek and Upper Cape Fear aquifers lie beneath the following fifteen North Carolina counties: Beaufort, Carteret, Craven. Duplin, Edgecombe, Greene, Jones, Lenoir, Martin, Onslow. Pamlico, Pitt, Washington, Wayne and Wilson. The Division recommends water use regulation take place in these counties.

The Division's recommendation is based on the following concerns:

- a. Water level drawdowns. Monitoring wells specifically designed to track water levels in the Black Creek and Upper Cape Fear aquifers show water level drawdown trends ranging from declines of one to eight feet per year. Those monitoring wells are distributed throughout an 8000 square mile portion of the Central Coastal Plain.
- h. <u>Dewatering</u>. Water levels from water supply systems in

several of these counties show drawdowns below the top of the aquifer by as much as 150 feet. Dewatering is known to cause irreparable harm to the aquifer's ability to yield water.

- c. <u>Current and projected water use</u>. Current and projected water use reported through the Local Water Supply Planning process {G.S. 143-355(l) & (m)} plus water use by other users have been compared to the estimated safe yield from the Black Creek and Upper Cape Fear aquifers. Projections through the year 2020 indicate a growing deficit in water supply. It is clear that other sources of water must be developed to make up this deficit.
- d. Alternate water sources. The location and nature of alternate water supply sources for this region of North Carolina, including surface water and the Castle Hayne aquifer, make solutions to this problem more complex and extend the area affected by the problem. These other sources of water are limited due to water quality concerns in the lower Neuse and Pamlico River basins and inadequate access to the Castle Hayne aquifer for many of the affected water systems.

**Fiscal Note:** 15A NCAC 2E .0502 affects the expenditures or revenues of local government funds and expenditure or distribution of State funds subject to the Executive Budget Act, Article 1 of Chapter 143. This Rule does have a substantial economic impact of at least five million dollars (\$5,000,000) in a 12-month period.

**Fiscal Note:** 15A NCAC 2E .0102-.0103, .0106-.0107, .0201-.0202, .0205, .0501, .0503-.0504 do not affect the expenditures or revenues of state or local government funds. These Rules do not have a substantial economic impact of at least five million dollars (\$5,000,000) in a 12-month period.

#### **CHAPTER 2 - ENVIRONMENTAL MANAGEMENT**

#### SUBCHAPTER 2E - WATER USE REGISTRATION AND ALLOCATION

#### **SECTION .0100 - AUTHORITY**

#### .0102 PURPOSE

These regulations are intended to provide for the management of water withdrawal and uses in the designated capacity use areas as needed to conserve water resources in the areas, and to maintain conditions that are conductive to the orderly development and beneficial use of these resources.

Authority G.S. 143-215.12; 143-215.14.

#### .0103 SCOPE

These regulations establish general and specific requirements that are applicable to all persons who withdraw, obtain or utilize water within the designated capacity use areas. Special requirements applicable to individual users will normally be

included in appropriate water use permits.

Authority G.S. 143-215.14.

#### .0106 DEFINITIONS

As used herein, unless the context otherwise requires:

- (1) "Director" means the Director of the Division of Environmental Management: Water Resources.
- (2) "Division" means the Division of Environmental Management: Water Resources.

Authority G.S. 87-87; 143-215.14; 143-215.21.

#### .0107 DELEGATION

- (a) The Director is delegated the authority to grant, modify, revoke or deny permits under G.S. 143-215.15 and G.S. 143-215.16.
- (b) The Director may delegate any permitting function given by the rules of this Subchapter.
- (c) The Director is delegated the authority to assess civil penalties and request the Attorney General to institute civil actions under G.S. 143-215.17.
- (d) The Director of the Division of Water Resources is delegated the authority to process applications and collect fees for registration of water withdrawals and transfers under G.S. 143-215.22H and G.S. 143-215.3(a)(1b).
- (e) The Director of the Division of Water Resources may delegate any water withdrawal or transfer registration processing functions given by the rules of this Subchapter.

Authority G.S. 143-215.3(a)(1); 143-215.3(a)(4).

#### SECTION .0200 - CAPACITY USE AREA NO. 1

#### .0201 DECLARATION AND DELINEATION OF CAPACITY USE AREA NO. 1

The Environmental Management Commission on the 18th day of December, 1968, declared and delineated the following described geographical area a capacity use area:

"That area bounded by a line beginning at the intersection of Highway US-17 and Roanoke River, at Williamston. and running south along Highway US 17 to the Martin-Beaufort Counties line; thence northwest along the Martin-Beaufort Counties line to the Pitt County line; thence generally south along the Pitt-Beaufort Counties line to the Craven County line; thence southwest along the Pitt-Craven Counties line to the Neuse River; thence southeast along the Neuse River to New Bern; thence south along Highway US 70 to Morehead City and on to Atlantic; thence north along the eastern edge of Cedar Island, across Pamlico Sound, along the eastern edge of Great Island, to the intersection of Highways US 264 and NC 94 near the south shore of Lake Mattamuskeet; thence north along Highway NC 94 to Columbia; thence west along the south shore of Albemarle Sound to the mouth of Roanoke River, thence generally southwest along Roanoke River to Highway US-17 at Williamston, the beginning."

Authority G.S. 143-215.13.

#### .0202 PERSONS WITHDRAWING GROUNDWATER IN CAPACITY USE AREAS

- (a) Permits Required
  - (1) Water Use Permit
    - (A) No person shall, after June 18, 1969 (as designated the Commission), withdraw, obtain or utilize surface waters or groundwaters, or both, in excess of 100,000 gallons per day for any purpose unless such person shall first apply for a water use permit therefor from the Director.
    - <del>(B)</del> Application for such water use permit shall be submitted on a form approved by the Director. An approved form, may be obtained from the Department of Natural Resources and Community Development, P.O. Box 27687, Raleigh, N.C. 27611. The application shall describe the specific purpose or purposes for which the water will be withdrawn or used, and shall justify the quantity needed for each purpose. Each application submitted to the Division will be considered and acted upon as soon as practicable. Pending the Director's issuance or denial of a permit, the applicant may continue the same withdrawal or use which existed prior to the date of declaration of the capacity use area:
    - (C) Water use permits shall be issued for a period to be determined by the Director but not to exceed the longest of the following:
      - (i) 10 years, or
      - (ii) the duration of the existence of the capacity use area, or
      - (iii) the period found by the Director to be necessary for reasonable amortization of the applicant's water withdrawal and water using facilities:
    - (D) Each water use permit shall be subject to review, modification or renewal by the Director as set forth in Section 143-215.15(c) of the General Statutes of North Carolina (Water Use Act of 1967). Holders of water use permits will be expected to notify the Director of any major changes in usage. Review of water use permits may require the justification of continuing needs and the documentation of all water conservation measures.
    - (E) Water use permits shall not be transferred except with the approval of the Director.
    - (F) Water withdrawn under any water use permit shall be used only for the purpose(s) set forth in the permit.
- (2) Well Construction Permit
  - (A) A well construction permit shall be obtained prior to construction of all wells except those

- constructed for individual domestic water supplies:
- (B) Application for a well construction permit shall be made of Form GW22, "Application for Permit to Construct a Well," which can be obtained from the Division. The application shall state the purpose of the well, and shall include the proposed location, construction specifications, the estimated withdrawal rate; the location and ownership of all water-supply wells within a radius of either:
  - (i) 1,000 feet for wells withdrawing less than 100,000 gallons per day;
  - (ii) 1,500 feet for wells withdrawing 100,000 to 1,000,000 gallons per day;
  - (iii) 2,500 feet for wells withdrawing more than 1,000,000 gallons per day; and such other information as the Director may reasonably deem necessary.
- (b) Withdrawal and Water-Level Controls Required
- (1) Total Quantity. The water use permit issued by the Director shall establish the maximum total quantity that may be withdrawn daily, and may specify the timing of withdrawals.
- (2) Maximum Withdrawal Rates. Maximum rates of withdrawal of water from individual wells or surface-water intakes may be set forth in the water use permit issued by the Director, when the Director determines that such control is required to conserve water or protect the water quality.
- (3) Maximum Drawdown Levels. The water use permit may specify the lowest water level that may be produced in any well or wells:
- (4) Additional Provisions. The water use permit shall be issued subject to such other provisions as the Director deems necessary to conserve or protect the water resources of the capacity use area. The permit may:
  - (A) require that the applicant cooperate with the Division, and with other users of water in the affected area; in determining and implementing reasonable and practical methods and processes to conserve and protect the water resources—while avoiding or minimizing adverse effects on the quantity and quality of water available to persons whose water supply has been materially reduced or impaired as a result of withdrawals made pursuant to water use permits;
  - (B) require that any portion of the water withdrawn be returned to the source or to any other stream or aquifer as approved by the Director;
  - (C) require the holder of a water use permit to obtain the Director's approval of the locations and distribution of individual surface-water intakes and wells, and of the depths, zones, aquifers or parts of aquifers from which withdrawals may be made;

- (D) require that each well or surface-water intake be equipped with an approved monitoring device that will provide a continuous record of withdrawals within an accuracy of plus or minus five percent;
- (E) require that observation stations or wells be installed and maintained for monitoring water levels and water quality;
- (F) require that holders of water use permits unite in joint efforts to conserve water quantity and quality by any and all of the requirements in this Rule when applicable.

#### (c) Reports Required

- Well Record or Well Completion or Abandonment Report. Any person completing or abandoning any well shall furnish the Director, on Form GW-1, a certified record of the construction or abandonment of such well within a period of 30 days from completion of construction or abandonment, as required in the provisions of Article 7, Chapter 87 and Article 38, Chapter 143, General Statutes of North Carolina. The required completion report shall include the location; size, depth, casing record, method of finishing, formation log, static water level, yield data and records of any surveys, geophysical logs, test or water analyses: Samples of formation cuttings from all wells shall be furnished to the Director except when the Director specifies that such samples are not required. For wells withdrawing more than 1,000,000 gallons a day, a description of the proposed device for metering withdrawals is required. The required abandonment report shall include the location and method of sealing and plugging.
- (2) Reports and Records of Withdrawal from each Source. For withdrawals of more than 100,000 gallons per day, monthly reports of daily withdrawals from each well or surface-water intake shall be furnished to the Director not later than 15 days after the end of each calendar month. Withdrawals shall be measured by a method acceptable to the Director. Withdrawals of 1,000,000 gallons per day or more shall be measured by an approved metering device, equipped with an automatic chart recorder, and having any accuracy of plus or minus five percent. The required reports shall include copies of chart recordings:
- (3) Reports of Water Levels. For withdrawals of less than 1,000,000 gallons per day, water level reporting, if required, may be specified in the permit. For withdrawals of 1,000,000 gallons per day or more monthly reports of water levels shall be furnished to the Director not later than 15 days after the end of each calendar month as follows:
  - (A) the pumping water level for each supply well as measured with a steel or electric tape from a fixed reference point each day—at approximately the same hour, or at such other

- time intervals as may be satisfactory to the Director. The measurements shall be within accuracy limits of plus or minus 0.25 of a foot or three inches.
- (B) The level of each surface water used as a source of supply, as measured by a method and at such frequency as specified in the permit.
- (C) The Water levels in observation wells other than supply wells as measured from a fixed reference point at intervals specified by the permit.
- (4) Other Reports. The Director may require reports of other data pertinent and necessary to the evaluation of the effects of withdrawals:

Authority G.S. 143-215.14; 143-215.15.

#### .0205 ACTIVITIES

Activities Requiring Prior Approval by the Commission. No construction or installation of works of improvement which may significantly affect the quantity or quality of the water resources shall be undertaken without prior approval from the Commission. These include, but are not necessarily limited to, the following:

- (1) Surface Drainage Projects
  - (a) Any project involving the drainage or diversion of ponded or standing water, except water temporarily impounded as the result of flooding, from an area in excess of five acres;
  - (b) Application for approval of any such project shall include:
    - (i) a description of the area,
    - (ii) purpose of the project and method of drainage, and
    - (iii) a general evaluation of the probable effects of the project on the water resources.
- (2) Subsurface Drainage Projects
  - (a) Any project involving the withdrawal or diversion of groundwater, except for the purpose of water supply or agricultural use, that will probably result in lowering existing groundwater levels or artesian head more than three feet for a period of one year in any area of more than five acres:
  - (b) Application for approval of any such project shall include a description of the area, purpose of the project and method of drainage, and a general evaluation of the probable effects of the project on the water resources
- (3) Well Mining Projects
  - (a) Any projects involving the removal or extraction of minerals through wells:
  - (b) Application for approval of any such project shall include:
    - (i) a description of the location and extent of the area;

- (ii) methods, procedures and processes of removal or extraction:
- (iii) well-plugging and abandonment procedures, and
- (iv) an evaluation of the effects of the water resources:
- (4) Excavation Projects
  - (a) Any project involving the excavation of any land that lies under water;
  - (b) Any project involving the excavation of any single area in excess of five acres to any depth below the highest natural level of groundwater;
  - (c) Application for approval of any such projects shall include a description of the location and the extent of the area, purpose, depth, and excavation methods:

Authority G.S. 143-215.14; 143-215.20.

#### SECTION .0500 - CENTRAL COASTAL PLAIN CAPACITY USE AREA

#### .0501 DECLARATION AND DELINEATION OF CENTRAL COASTAL PLAIN CAPACITY USE AREA

The Environmental Management Commission on [date to be inserted], declared and delineated all the area encompassed by the following 15 North Carolina counties as the Central Coastal Plain Capacity Use Area: Beaufort, Carteret, Craven, Duplin, Edgecombe, Greene, Jones, Lenoir, Martin, Onslow, Pamlico, Pitt, Washington, Wayne and Wilson.

Authority G.S. 143-215.13.

#### .0502 WITHDRAWAL PERMIT REQUIREMENTS (a) Permitting.

- (1) Existing withdrawal permits issued under 15A NCAC

  2E .0200 within the Central Coastal Plain Capacity

  Use Area shall remain in effect until the expiration
  date specified in each permit. Permits are no longer
  required for withdrawals in Hyde and Tyrrell
  Counties as of the effective date of this Rule.
- (2) No person shall, after {date to be inserted}, withdraw, obtain or utilize surface waters or groundwaters, or both, in excess of 100,000 gallons per day for any purpose unless such person shall first obtain a water use permit from the Director.
- (3) An application for a water use permit must be submitted, on a form approved by the Director, to the North Carolina Division of Water Resources. The application shall describe the purpose or purposes for which water will be used, shall set forth the method and location of withdrawals, shall justify the quantities needed, and shall document water conservation measures to be used by the applicant to ensure efficient use of water and avoidance of waste.

  (4) The Director shall issue, modify, revoke, or deny each

- permit as set forth in G.S. 143-215.15(h) (Water Use Act of 1967).
- (5) Permit duration and transferability are set by the Director as described in G.S. 143-215.16(a) and (b).
- (6) Requirements for the measurement of water use shall be specified in the permit and be consistent with G.S. 143-215.16(d) as determined by the Director.
- (7) Persons holding a permit shall submit water usage and water level reports to the Director not later than 30 days after the end of each permit reporting period as specified in the permit. Depending on Central Coastal Plain Water Management Plan requirements, the water sources used, withdrawal rates, and other criteria, reports may include:
  - (A) Amounts of daily withdrawal from each well or surface water intake.
  - (B) Pumping and static water levels for each supply well as measured with a steel or electric tape from a fixed reference point, or an alternative method as specified in the permit, at time intervals specified in the permit. The measurements shall be within accuracy limits of plus or minus 0.25 feet.
  - (C) Water level and associated flow rate of each surface water body used as a source of supply, as measured by a method and at such frequency as specified in the permit.
  - (D) Water levels in observations wells as measured from a fixed reference point at intervals specified in the permit.
  - (E) Any other information the Director determines to be pertinent and necessary to the evaluation of the effects of withdrawals.
- (8) Water use permits may include restrictions on the timing of withdrawals to control adverse effects on other water users.
- (9) Water use permits shall specify the locations of wells and the placement of screens or intakes to control salt water encroachment.
- (10) Water use permits are issued to a person for a specific maximum withdrawal rate and an average withdrawal rate over a specified period of time and for specific surface water intakes or groundwater wells or combinations of both. Permit holders shall not increase water usage beyond the permitted rate nor add new wells or surface water intakes without prior approval from the Director.
- (11) The Director may require permit holders to construct gaging stations and observation wells to observe water level and water quality conditions before and after water withdrawals begin.
- (12) Where the Director determines that the permitted withdrawals will be less than the amount requested, the following factors will be considered in determining the amount of the permitted withdrawal:
  - (A) water conservation measures that have been implemented or proposed by the applicant;

- (B) availability of alternative water sources, including costs, other permitting requirements, and practicability;
- (C) the proposed use of the water, and the benefits to be provided by that use, listed as follows in order of decreasing benefits:
  - (i) <u>public supply, including fire protection;</u>
  - (ii) other uses;
- (D) the effects of the proposed withdrawal on water resources and other natural resources.
- (13) General permits may be developed by the Division and issued by the Director for categories of withdrawal that involve the same or substantially similar operations, have similar withdrawal characteristics, require the same limitations or operating conditions, and require similar monitoring.
- (14)Water use permits shall be issued, modified, revoked, or denied based on the resource protection requirements provided in the Central Coastal Plain Water Management Plan to be approved by the Environmental Management Commission after public hearing  $\{G.S. 143-215.16(c)\}.$ The Water Management Plan shall be updated and approved at intervals not exceeding 10 years. The resource protection requirements specified in the Water Management Plan shall extend to all water users in the Central Coastal Plain Capacity Use Area, including those not required to secure a permit, but will not be extended to individual domestic withdrawals. The Water Management Plan shall include the following information:
  - (A) the current status of the area's water resources and water management goals for the Central Coastal Plain Capacity Use Area and its two hydrogeologic zones, the Western and Eastern:
  - (B) delineations and descriptions of regions within Central Coastal Plain Capacity Use Area where dewatering is taking place and the resource protection requirements to reduce or eliminate dewatering in these areas;
  - (C) delineations and descriptions of regions within Central Coastal Plain Capacity Use Area where salt water encroachment is occurring and the resource protection requirements to slow or stop encroachment;
  - (D) guidelines for water use during periods of drought;
  - (E) delineations and descriptions of regions within Central Coastal Plain Capacity Use Area where other problems associated with ground or surface water use are occurring or may occur and the resource protection requirements to mitigate or avoid those problems.
- (15) The Director will prepare and distribute to permit holders and other interested parties an annual status report for the Central Coastal Plain Capacity Use Area.

- (b) Specific criteria used for permitting ground or surface water use.
  - (1) Groundwater permitting criteria.
    - (A) Withdrawal rates will not be permitted that the Director determines have or will likely cause adverse impacts on the resource. Where adverse impacts are already occurring, the Water Management Plan will provide guidance on requirements to reverse those impacts. Adverse impacts include, but are not limited to:
      - (i) dewatering of aquifers;
      - (ii) encroachment of salt water;
      - (iii) land subsidence or sinkhole development;
      - (iv) increasing pumping water levels.
    - (B) Maximum well withdrawal rates and total use limits will be determined by the Director using available methods of hydrogeologic analysis, including, but not limited to, computer groundwater flow modeling.
    - (C) Maximum allowable pumping water levels will be determined based on the hydrogeological framework for the Central Coastal Plain developed by the Director.
    - (D) Water use permits may specify minimum distances between wells, screen depths, and pump intake depths to prevent adverse impacts.
    - (E) Withdrawals of water that cause lower quality water to displace higher quality water such that the available uses of the resource are significantly affected will not be permitted.
  - (2) Surface water permitting criteria.
    - (A) Surface water withdrawal permits will specify limits that maintain instream flows downstream of the intake. Instream flow requirements are based on consideration of:
      - (i) maintenance of water quality and quantity;
      - (ii) maintenance of fish and wildlife and their habitat;
      - (iii) maintenance of navigability (where applicable).

Authority G.S. 143-215.14; 143-215.15; 143-215.16.

#### .0503 DEFINITIONS

The following is a list of definitions for terms found in this Section.

- (1) Aquifer: Water-bearing earth materials that are capable of yielding water in usable quantities to a well or spring.
- (2) Confining unit: A geologic formation that does not yield economically practical quantities of water to wells or springs. Confining units separate aquifers and slow the movement of groundwater.
- (3) <u>Dewatering: Dewatering occurs when aquifer water</u> <u>levels are depressed below the top of a confined</u>

- aquifer or water table declines adversely affect the resource.
- (4) <u>Eastern Zone: The eastern portion of the Central Coastal Plain Capacity Use Area where the primary aquifers are the Castle Hayne and Yorktown.</u>
- (5) Gaging station: A surface water gage where stage, flow and other parameters can be measured.
- (6) Groundwater: Water in porespace or void spaces of subsurface sediments or consolidated rock.
- (7) <u>Hydrogeological framework: A three-dimensional representation of aquifers and confining units that will be updated as frequently as new information becomes available.</u>
- (8) Observation well: A well screened in a particular aquifer where water levels can be measured and water samples can be obtained.
- (9) Pumping water level: The depth to groundwater in a pumping well as measured from land surface.
- (10) Salt water: Water containing chloride concentrations in excess of 250 milligrams per liter.
- (11) Salt water encroachment: The lateral or vertical migration of salt water toward areas occupied by fresh water. This may occur in surface water bodies or aquifers due to natural or man-made causes.
- (12) Static water level: The depth to groundwater in a non-pumping well as measured from land surface.
- (13) Water table: The water level in an unconfined aquifer.
- (14) Western Zone: The western portion of the Central Coastal Plain Capacity Use Area where the primary aquifers are the Peedee, Black Creek, and Upper Cape Fear.

Authority G.S. 143-215.14.

#### .0504 ACTIVITIES REQUIRING WATER USE PERMITS

Activities include, but are not necessarily limited to, withdrawals of water for the following purposes:

- (1) Public water supply;
- (2) Self-supplied industry;
- (3) Irrigation;
- (4) Drainage;
- (5) Mine pit discharge;
- (6) Aquaculture; and
- (7) Livestock operations.

Authority G.S. 143-215.14.

Notice is hereby given in accordance with G.S. 150B-21.2 that the Commission for Health Services intends to amend the rules cited as 15 NCAC 19A .0401, .0404, .0406, .0502. Notice of Rule-making Proceedings was published in the Register on May 14, 1999 and December 1, 1998.

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Proposed Effective Date: August 1, 2000

A Public Hearing will be conducted at 9:00 a.m. on August 3, 1999 at the Archdale Building, Ground Floor Hearing Room, Raleigh, NC.

#### **Reason for Proposed Action:**

**15A NCAC 19A .0401** - Paragraphs were moved for the purposes of organization and simplification, include all rules concerning each vaccine in the same section, and locate all information about a vaccine requirement together.

For polio vaccine, the reason for proposed action is to comply with medical literature recently reviewed by agency staff regarding the inclusion of a sequential schedule of both injectable polio vaccine (IPV) and oral polio vaccine (OPV). The sequential schedule of two IPV followed by two OPV will reduce the threat of vaccine associated paralytic polio (VAPP) to North Carolina's citizens. The Centers for Disease Control and Prevention (CDC) state that each year, approximately 8 cases of VAPP are due to the administration of oral polio vaccine.

Rule 15A NCAC 19A .0401 has been filed as a temporary rule with an effective date of May 21, 1999. The Commission for Health Services will adopt this rule at their May 19, 1999 meeting.

Changed "by" to "before" to be consistent in several places.

15A NCAC 19A .0404 - The Advisory Committee on Immunization Practices (ACIP) medical exemption recommendations/language changes periodically as research indicates. Referring physicians to the most current ACIP is the most accurate and helpful method known in determining a true contraindication. Text outlining all contraindications was removed and references to ACIP were added.

15A NCAC 19A .0406 - In (a) (2), "daycare" was changed to "childcare" to be consistent with proper terminology associated with the centers that provide care for children and with G.S. 130-A-155. In (b) (6) "number" was added to clarify the intent that dose number may be released due to its importance in determining a child's immunization status and in (7), "local" was added to clarify that this reference is for the local health department.

15A NCAC 19A .0502 - As the vaccine program changes, the providers' agreement may need to change. The ten items listed in .0502 (c) of this section should remain constant, however, other requirements may change. "At a minimum" was added to release the program from making a rule change every time an eligibility requirement is added to the provider's agreement.

Comment Procedures: Comments, statements, data and other information may be submitted in writing within 60 days after the date of publication of this issue in the North Carolina Register. Copies of the proposed rules and information packages may be obtained by contacting the Immunization Program at (919) 715-6764. Written comments may be submitted to Barbara Laymon, Immunization Program, Women's and Children's Health, PO Box 29597, Raleigh, NC 27626.

**Fiscal Note:** These Rules do not affect the expenditures or revenues of state or local government funds. These Rules do not have a substantial economic impact of at least five million dollars (\$5,000,000) in a 12-month period.

#### **CHAPTER 19 - HEALTH: EPIDEMIOLOGY**

#### SUBCHAPTER 19A - COMMUNICABLE DISEASE CONTROL

#### SECTION .0400 - IMMUNIZATION

#### .0401 DOSAGE AND AGE REQUIREMENTS FOR IMMUNIZATION

- (a) Every individual in North Carolina required to be immunized pursuant to G.S. 130A-152 through 130A-157 shall be immunized against the following diseases by receiving the specified minimum doses of vaccines by the specified ages:
  - (1) Diphtheria, tetanus, and whooping cough vaccine -five doses: three doses by age seven months and two
    booster doses, one by age 19 months and the second
    on or after the fourth birthday and before enrolling in
    school (K-1) for the first time. However:
    - (a) An individual who has attained his or her seventh birthday without having been immunized against whooping cough shall not be required to be immunized with a vaccine preparation containing whooping cough antigen;
    - (b) Individuals who receive the first booster dose of diphtheria, tetanus, and whooping cough vaccine on or after the fourth birthday shall not be required to have a second booster dose;
    - (c) Individuals attending school, college or university or who began their tetanus/diphtheria toxoid series on or after the age of seven years shall be required to have three doses of tetanus/diphtheria toxoid of which one must have been within the last 10 years;
  - (2) oral Poliomyelitis vaccine--four doses: two doses of trivalent type by age five months; a third dose trivalent type by before age 19 months, and a booster dose of trivalent type on or after the fourth birthday and before enrolling in school (K-1) for the first time.

    However: two doses of enhanced-potency inactivated poliomyelitis vaccine may be substituted for two doses of oral poliomyelitis vaccine.
    - (a) An individual attending school who has attained his or her 18th birthday shall not be required to receive oral polio vaccine;
    - (b) Individuals who receive the third dose of oral poliomyelitis vaccine on or after the fourth birthday shall not be required to receive a fourth dose;
    - (c) The requirements for booster doses of oral poliomyelitis vaccine shall not apply to

- individuals who enrolled for the first time in the first grade before July 1, 1987;
- (3) Measles (rubeola) vaccine--two doses of live, attenuated vaccine administered at least 30 days apart: one dose on or after age 12 months and before age 16 months and a second dose before enrolling in school (K-1) for the first time. However:
  - (a) An individual who has been documented by serological testing to have a protective antibody titer against measles shall not be required to receive measles vaccine;
  - (b) An individual who has been diagnosed prior to January 1, 1994, by a physician licensed to practice medicine as having measles (rubeola) disease shall not be required to receive measles vaccine;
  - (c) An individual born prior to 1957 shall not be required to receive measles vaccine;
  - (d) The requirement for a second dose of measles vaccine shall not apply to individuals who enroll in school (K-1) or in college or university for the first time before July 1, 1994;
- (4) Rubella vaccine--one dose of live, attenuated vaccine on or after age 12 months and before age 16 months. However:
  - (a) An individual who has been documented by serologic testing to have a protective antibody titer against rubella shall not be required to receive rubella vaccine;
  - (b) An individual who has attained his or her fiftieth birthday shall not be required to receive rubella vaccine;
  - (e) An individual who entered a college or university after his or her thirtieth birthday and before February 1, 1989 shall not required to meet the requirement for rubella yaccine;
- (5) Mumps vaccine--one dose of live, attenuated vaccine administered on or after age 12 months and before age 16 months. <u>However:</u>
  - (a) An individual born prior to 1957 shall not be required to receive mumps vaccine;
  - (b) The requirements for mumps vaccine shall not apply to individuals who enrolled for the first time in the first grade before July 1, 1987 or in college or university before July 1, 1994. An individual who has been documented by serological testing to have a protective antibody titer against mumps shall not be required to receive mumps yaccine;
- (6) Haemophilus influenzae, b, conjugate vaccine--three doses of HbOC or two doses of PRP-OMP by before age seven months and a booster dose of any type on or after age 12 months and by age 16 months Individuals born before October 1, 1988 shall not be required to be vaccinated against Haemophilus influenzae, b. Individuals who receive the first dose of Haemophilus influenzae, b, vaccine on or after 12

months of age and before 15 months of age shall be required to have only two doses of HbOC or PRP-OMP. Individuals who receive the first dose of Haemophilus influenzae, b, vaccine on or after 15 months of age shall be required to have only one dose of any of the Haemophilus influenzae conjugate vaccines, including PRP-D. However, no individual who has passed their fifth birthday shall be required to be vaccinated against Haemophilus influenzae, b;

(7) Hepatitis B vaccine-three doses: one dose by age three months, a second dose by <u>before</u> age five months and a third dose by age 19 months. <u>Individuals born before July 1, 1994 shall not be</u> required to be vaccinated against hepatitis B.

(b) Notwithstanding the requirements of Paragraph (a) of this Rule:

- (1) An individual who has attained his or her seventh birthday without having been immunized against whooping cough shall not be required to be immunized with a vaccine preparation containing whooping cough antigen:
- (2) An individual who has been documented by serologic testing to have a protective antibody titer against rubella shall not be required to receive rubella vaccine.
- (3) An individual who has been documented by serological testing to have a protective antibody titer against measles shall not be required to receive measles vaccine. An individual who has been diagnosed prior to January 1, 1994, by a physician licensed to practice medicine as having measles (rubeola) disease shall not be required to receive measles vaccine.
- (4) An individual attending school who has attained his or her 18th birthday shall not be required to receive oral polio vaccine.
- (5) An individual born prior to 1957 shall not be required to receive measles or mumps vaccine. An individual who has attained his or her fiftieth birthday shall not be required to receive rubella vaccine. An individual who entered a college or university after his or her thirtieth birthday and before February 1, 1989 shall not be required to meet the requirement for rubella vaccine.
- (6) The requirements for mumps vaccine shall not apply to individuals who enrolled for the first time in the first grade before July 1, 1987 or in college or university before July 1, 1994. An individual who has been documented by serological testing to have a protective antibody titer against mumps shall not be required to receive mumps vaccine.
- (7) Individuals who receive the first booster dose of diphtheria, tetanus, and whooping cough vaccine on or after the fourth birthday shall not be required to have a second booster dose. Individuals who receive the third dose of oral poliomyelitis vaccine on or after the fourth birthday shall not be required to receive a

fourth dose.

- (8) The requirements for booster doses of diphtheria, tetanus, and whooping cough vaccine and oral poliomyelitis vaccine shall not apply to individuals who enrolled for the first time in the first grade before July 1, 1987. However, individuals attending a college or university or who began their tetanus/diphtheria toxoid series on or after the age of seven years shall be required to have three doses of tetanus/diphtheria toxoid of which one must have been within the last 10 years.
- (9) Individuals born before October 1, 1988 shall not be required to be vaccinated against Haemophilus influenzae, b. Individuals who receive the first dose of Haemophilus influenzae, b, vaccine on or after 12 months of age and before 15 months of age shall be required to have only two doses of HbOC or PRP-OMP. Individuals who receive the first dose of Haemophilus influenzae, b, vaccine on or after 15 months of age shall be required to have only one dose of any of the Haemophilus influenzae conjugate vaccines; including PRP-D. However, no individual who has passed their fifth birthday shall be required to be vaccinated against Haemophilus influenzae, b.
- (10) Individuals born before July 1, 1994 shall not be required to be vaccinated against hepatitis B:
- (11) The requirement for a second dose of measles vaccine shall not apply to individuals who enroll in school (K-1) or in college or university for the first time before July 1, 1994.

Authority G.S. 130A-152(c); 130A-155.1.

#### .0404 MEDICAL EXEMPTIONS FROM IMMUNIZATION

- (a) Certification of a medical exemption by a physician pursuant to G.S. 130A-156 shall be in writing and shall state the basis of the exemption, the specific vaccine or vaccines the individual should not receive, and the length of time the exemption will apply for the individual.
- (b) The following are medical Medical contraindications for which medical exemptions may be certified by a physician for immunizations are included in the most recent General Recommendations of the Advisory Committee on Immunization Practices, Public Health Services, U.S. Department of Health and Human Services, published in the Centers for Disease Control and Prevention publication, the Morbidity and Mortality Weekly Report, which is adopted by reference including subsequent amendments and additions. A copy is available for inspection in the Immunization Section at 1330 St. Mary's Street, Raleigh, North Carolina. Internet access is available by searching www.cdc.gov/nip.
  - (1) Pertussis vaccine:
    - (A) Permanent contraindications:
      - occurring within 48 hours after receipt of pertussis vaccine;
        - (I) fever greater than 40.5 C (104.9)

degrees);

- (II) collapse or shocklike state (hypotonic-hyporesponsive episode);
- (III) persistent, inconsolable unusual high-pitched crying lasting three hours or more;
- (ii) seizure within 72 hours 3 days of receiving a prior dose of pertussis vaccine:
- (iii) encephalopathy within seven days of receipt of pertussis vaccine;
- (iv) immediate allergic reaction to pertussis vaccine or vaccine component; manifested by hives or anaphylaxis
- (v) documented history of culture confirmed pertussis disease
- (B) Temporary contraindications:
  - (i) undiagnosed, unstable, or evolving neurological conditions, including seizures;
  - (ii) acute febrile illness.
- (2) Diphtheria or tetanus toxoids:
  - (A) Permanent contraindications: immediate allergic reaction to diphtheria or tetanus toxoids manifested by hives or anaphylaxis.
  - (B) Temporary contraindications: acute febrile illness.
- (3) Measles or mumps vaccine:
  - (A) Permanent contraindications:
    - (i) significantly immunocompromising conditions other than HIV infection;
    - (ii) allergic reaction to eggs or neomycin manifested by anaphylaxis.
  - (B) Temporary contraindications:
    - (i) acute febrile illness;
    - (ii) pregnancy.
- (4) Rubella vaccine:
  - (A) Permanent contraindications:
    - (i) significantly immunocompromising conditions other than HIV infection:
    - (ii) allergic reaction to neomycin manifested by anaphylaxis.
  - (B) Temporary contraindications:
    - (i) acute febrile illness;
    - (ii) pregnancy:
- (5) Live polio vaccine:
  - (A) Permanent contraindications:
    - (i) significantly immunocompromising conditions;
    - (iii) significantly immunocompromising condition in a household contact.
  - (B) Temporary contraindications:
    - (i) acute febrile illness;
    - (ii) pregnancy.
- (6) Hemophilus influenzae vaccine:
  - (A) Permanent contraindication: immediate allergic

reaction manifested by anaphylaxis.

- (B) Temporary contraindications:
  - (i) acute febrile illness;
  - (ii) pregnancy.

Authority G.S. 130A-152(c); 130A-156.

#### .0406 ACCESS TO IMMUNIZATION INFORMATION

- (a) Physicians, local health departments and the Department shall, upon request and without consent release the immunization information specified in Paragraph (b) of this Rule to the following organizations:
  - (1) schools K-12, whether public, private or religious;
  - (2) licensed and registered day <u>child</u>care facilities as defined in G.S. 110-86(3) and G.S. 110-101;
  - (3) Head Start; and
  - (4) colleges and universities, whether public, private or religious.
- (b) The following is the immunization information to be released to the organizations specified in Paragraph (a) of this Rule:
  - (1) name and address;
  - (2) name of the parent, guardian, or person standing *in loco parentis*;
  - (3) date of birth;
  - (4) gender;
  - (5) race and ethnicity;
  - (6) vaccine type, date and dose <u>number</u> administered:
  - (7) the name and address of the physician or <u>local</u> health department that administered each dose; and
  - (8) the existence of a medical or religious exemption determined by the Immunization Section to meet the requirements of G.S. 130A-156 and 15A NCAC 19A .0404 or G.S. 130A-157. If such a determination has not been made by the Immunization Section, the person shall have access to the certification of medical and religious exemptions required by G.S. 130A-156 or G.S. 130A-157 and 15A NCAC 19A .0404.

Authority G.S. 130A-153.

#### SECTION .0500 - PURCHASE AND DISTRIBUTION OF VACCINE

#### .0502 VACCINE FOR PROVIDERS OTHER THAN LOCAL HEALTH DEPARTMENTS

- (a) The Department of Environment, Health, and Natural Resources shall provide vaccines required by law free of charge to the following providers for administration to individuals who need vaccines to meet the requirement of G.S. 130A-152, 130-155.1 and 15A NCAC 19A .0401:
  - (1) Community, migrant, and rural health centers:
  - (2) Colleges and universities for students; and
  - (3) Physicians and other health care providers.
- (b) Upon request of the Department, required vaccines may be distributed by local health departments operating as agents of the State to providers listed in Subparagraphs (a) (1), (2) and (3)

of this Rule.

- (c) Providers authorized in Paragraph (a) of this Rule shall be eligible to receive free vaccines from the Department only if they sign an agreement with the Department. This agreement will be prepared by the Immunization Section and at a minimum will require the provider to:
  - (1) Charge no more for a single dose of vaccine than the rate established by the Health Care Financing Administration (HCFA); Charge no more than double the HCFA rate as a reasonable fee for the administration of two or more vaccines given at a single visit. The rate established by HCFA is published in the Federal Register (59FR50235), and is incorporated herein by reference along with any subsequent amendments and editions. The HCFA rate may be inspected at the Immunization Section of the Department of Environment, Health, and Natural Resources. Copies may also be obtained from the Immunization Section at no charge;
  - (2) Provide all vaccines needed during a visit unless a specific contraindication exists to one or more of the vaccine:
  - (3) Charge no office fee in addition to an administration fee for an immunization-only visit;
  - (4) Agree not to charge an administration fee to an individual who states that they are unable to pay;
  - (5) Impose no condition as a prerequisite to receiving vaccine:
  - (6) The providers shall submit a monthly doses administered report by the tenth of each month electronically through the North Carolina Immunization Registry or on a form provided by the Immunization Section.
  - (7) Report adverse vaccine reactions through the Vaccine Adverse Event Reporting System (VAERS);
  - Provide the latest edition of the applicable Important (8)Information Statement (IIS), or Vaccine Information Statement (VIS) to the parent, guardian, or person standing in loco parentis for each dose of vaccine administered; document this action within the patient's permanent medical record; retain the documentation for a period of 10 years following the end of the calendar year in which the vaccine dose was administered, or for 10 years following the recipient's age of majority, whichever is longer; upon request, furnish copies of the documentation to the local health department or the Department. Keep a record of the vaccine manufacturer, lot number, and date of administration for each dose of vaccine administered:
  - (9) Allow periodic inspection of their vaccine supplies and records by the Immunization Section; and
  - (10) Comply with the rules of this Section.
- (d) A provider who fails to submit timely and accurate reports as required each month shall have vaccine shipments withheld until that month's report is received by the Immunization Section.

Authority G.S. 130A-152; 130A-155.1; 130A-433; S.L. 1986, c. 1008, s.2.

#### TITLE 16 - DEPARTMENT OF PUBLIC EDUCATION

Notice is hereby given in accordance with G.S. 150B-21.2 that the State Board of Education intends to adopt rules cited as 16 NCAC 6D .0501 - .0507; amend rule cited as 6C .0205; and repeal rules cited as 6D .0103, .0304. Notice of Rule-making Proceedings was published in the Register on

Proposed Effective Date: October 1, 1999.

A Public Hearing will be conducted at 9:30 a.m. on July 2, 1999 at the State Board Room.

Reason for Proposed Action: SL 1997-443, s. 8.27(e) enacted G.S.115C-12(9b) which requires the Board to develop a plan to implement high school exit exams and grade-level student proficiency benchmarks. New Section 6D .0500, Student Accountability Standards, implements that law. Adoption of these rules requires that 6D .0103 and .0304 be repealed. Agency staff received recommendations from higher education institutions to provide them more flexibility in determining the requirements for lateral entry students. The amendment to 16 NCAC 6C .0205 accomplished the requested flexibility.

Comment Procedures: Any interested person may present comments orally at the hearing, in writing at the hearing, or in writing submitted by July 1, 1999 to the Rule-making Coordinator by mail, by e-mail, or by FAX at (919) 715-0764. Harry Wilson, Room 2084 Education Building, Raleigh, NC 27601-2825, phone (919) 715-1310, email, hwilson@dpi.state.nc.us.

**Fiscal Note:** 16 NCAC 6D .0103, .0304, .0501 - .0507, - These Rules affect the expenditure or distribution of State funds subject to the Executive Budget Act, Article 1 of Chapter 143.

**Fiscal Note:** 16 NCAC 6C .0205 - This Rule does not affect the expenditures or revenues of state or local government funds. This Rule does not have a substantial economic impact of at least five million dollars (\$5,000,000) in a 12-month period.

#### CHAPTER 6 - ELEMENTARY AND SECONDARY EDUCATION

**SUBCHAPTER 6C - PERSONNEL** 

#### **SECTION .0200 - TEACHER EDUCATION**

Note: Text that is in italic in the body of the Rules are waiting for action with the Rules Review Commission.

#### .0205 STATE BOARD REVIEW STANDARDS AND APPROVAL ACTIONS

- (a) Each IHE seeking approval must present documentation for each specialty area that:
  - (1) the state-approved professional studies guidelines for all *licensed* school personnel are adequately addressed:
  - (2) the state-approved professional studies competencies common to all *licensed* school personnel are adequately addressed;
  - (3) candidates for admission to *licensure* preparation programs meet minimum score requirements adopted by the SBE on *the Preprofessional Skills Test (PPST)* (*Reading, Writing, and Mathematics*) of the NTE before formal admission can occur. Undergraduate degree-seeking students do not complete more than one-half of the professional studies sequence (excluding student-teaching/internship) before being formally admitted into the *license* preparation program;
  - (4) sequentially planned field experiences for undergraduate degree-seeking students begin early in the student's program and culminate in a continuous and extended minimum ten-week period of student teaching in the area in which the student seeks *a license*. All field experiences are supervised and formal evaluations involving faculty, cooperating teachers and students occur as appropriate;
  - (5) preparation for entry licensure at the advanced level includes supervised internship or field experiences appropriate to the role(s) for which students are being prepared. These experiences are the basis for applying theory to practice, developing competencies at a high proficiency level, and evaluating the candidate's performance;
  - (6) the goals and objectives of the specialty studies are clearly stated in writing, are readily accessible to faculty, students and other consumers and reflect a clear conception of the role(s) in the public schools for which students are being prepared;
  - (7) an appropriate balance among general studies, specialty studies and professional studies exists at the undergraduate level to assure a well-rounded education for students;
  - (8) the program of specialty studies complies with stateapproved guidelines for the *license* area in which the student is being prepared;
  - (9) the specialty studies complies with state-approved competencies for the *license* area in which the student is being prepared;
  - (10) master's, sixth-year (e.g., CAS, Ed.S.) and doctoral curricula are clearly delineated and differentiated from one another and from the undergraduate curriculum;
  - (11) requirements for *license-only* students are clearly described and comparable to those for degree-seeking

- students; described. In determining requirements, the IHE shall consider alternative means of demonstrating the knowledge and competencies for licensure;
- (12) each faculty member teaching in the specialty area demonstrates competence in the area(s) of assignment;
- one appropriately specialized faculty member full-time to the institution is assigned major responsibility for teaching in and coordinating each specialty area offered. To ensure diversity, there must be a sufficient number of additional faculty, appropriately specialized, to deliver the level(s) offered; e.g., undergraduate, master's, specialist. Each advanced degree program that leads to the doctorate has at least three full-time faculty who have earned the doctorate in the field of specialization for which the degree is offered:
- (14) among the credentials of the faculty delivering (e.g., teaching, directing, coordinating) the specialty area, there is evidence of recent, substantive involvement with public school students, staff members or programs;
- (15) among the credentials of adjunct/part-time faculty delivering the specialty area there is evidence of recent, substantive involvement with the institution via students, other faculty or program development;
- (16) specialized books and periodicals, current curriculum guides, textbooks and courses of study adopted by local school systems and the SBE, instructional media, equipment and other forms of technology, testing materials and supplies for the production of teacher-made materials and library resources for the specialty studies are available and adequate in number to serve the student population:
- (17) instructional resources for the specialty area are organized for accessibility and there is evidence of use by both students and faculty;
- (18) appropriate and sufficiently-equipped classroom space is provided to meet the needs of each specialty area;
- (19) adequate facilities, including sufficient office space, are provided to serve the needs of staff and faculty, to counsel students, and to work effectively with local school personnel; and
- (20) an annual review of the specialty area is conducted and the resulting data are applied, as appropriate, for program improvement.
- (b) All IHEs with existing teacher education programs must have an NCATE/State review completed by December 31, 1992. Students who have been formally admitted before June 30, 1993 into a program that leads to *licensure* may complete that program and be eligible to be recommended for *licensure* on the condition that they complete such programs no later than June 30, 1995. After December 31, 1992, IHEs seeking initial approval for teacher education must be reviewed by NCATE/State to be eligible for SBE approval.
  - (c) The SBE shall receive and consider recommendations for

approval action on an IHE from its state evaluation committee on teacher education. The SBE shall accept any comments or additional information submitted by the IHE before making its decision under this Rule. The SBE shall render separate approval decisions at all levels appropriate to an IHE and its specialty area programs as follows:

- (1) Full approval. This status *shall indicate* that the IHE teacher education and specialty area programs at all levels are judged to be fully qualified to produce effective teachers for the public schools. The SBE *shall grant* approval for a five-year period. The SBE or the IHE may call for an interim on-site review at any time during the five year period if conditions warrant that action.
- specify minor exceptions that the IHE must address within one year. The exceptions will be limited to those that can be easily corrected and verified in a written report or by a small on-site State visitation team. The SBE will grant full approval if the IHE corrects the exceptions within the specified time. If the IHE does not correct the exceptions within the specified time, the SBE will place the IHE on provisional status. Approval for a five-year period shall begin with the date of the initial action by the SBE.
- (3) Provisional approval. This status *shall specify* critical deficiencies that the IHE must address within three years. The SBE may provisionally approve all programs at the IHE or individual specialty area programs or levels. The IHE must:
  - (A) submit to the SBE an annual written report of its actions taken to correct the deficiencies;
  - (B) be visited annually by a consultant from the Human Resource Management Division, Teacher Education Section, who shall monitor the IHE's progress; and
  - (C) be reevaluated for compliance by an on-site State visitation team.

The SBE will grant full approval or full approval with stipulations if the IHE corrects the deficiencies within the specified time. If the IHE does not correct the deficiencies within the specified time, the SBE will deny approval. Approval for a five-year period *shall begin* with the date of the initial action by the SBE.

(4) Denial of approval. This status *shall occur* when an IHE or one or more of its specialty areas or levels are judged to be unqualified to produce effective teachers for the public schools. After the IHE receives notice of the denial, no students completing the program will be eligible for *licensure* except those who were formally admitted to the program before the IHE received notice of the denial. An IHE must wait one year before beginning the process of seeking approval.

Authority G.S. 115C-12(9)a.; 115C-296(b); N.C. Constitution,

Article IX, Sec. 5.

### SUBCHAPTER 6D - INSTRUCTION

### **SECTION .0100 - CURRICULUM**

### .0103 GRADUATION REQUIREMENTS

(a) In order to graduate and receive a high school diploma, public school students must meet the requirements of Paragraph (b) and attain passing scores on competency tests adopted by the SBE and administered by the LEA. Students who satisfy all state and local graduation requirements but who fail the competency tests will receive a certificate of achievement and transcript and shall be allowed by the LEA to participate in graduation exercises.

- (1) The passing score for the competency test, which is the same as grade-level proficiency as set forth in Rule .0304 of this Subchapter, shall be level III or higher. The four possible levels of achievement on these tests and for all tests administered pursuant to Section .0300 of this Subchapter, shall be:
  - (A) Level I fails to achieve at a basic level. Students performing at this level do not have sufficient mastery of knowledge and skills in this subject area to be successful at the next grade level.
  - (B) Level II achieves at a basic level. Students performing at this level demonstrate inconsistent mastery of knowledge and skills that are fundamental in this subject area and that are minimally sufficient to be successful at the next grade level:
  - (C) Level III achieves at a proficient level.

    Students performing at this level consistently demonstrate mastery of grade level subject matter and skills and are well prepared for the next grade level.
  - (D) Level IV achieves at an advanced level.

    Students performing at this level consistently perform in a superior manner clearly beyond that required to be proficient at grade level work.
- (2) Special education students may apply in writing to be exempted from taking the competency tests. Before it approves the request, the LEA must assure that the parents, or the child if aged 18 or older, understand that each student must pass the competency tests to receive a high school diploma:
- (3) Any student who has failed to pass the competency tests by the end of the last school month of the year in which the student's class graduates may receive additional remedial instruction and continue to take the competency tests during regularly scheduled testing until the student reaches maximum school age.

(b) In addition to the requirements of Paragraph (a), students must successfully complete 20 course units in grades 9-12 as specified below.

- Effective with the class entering ninth grade for the 1998-99 school year, the 20 course units must include:
  - four units in English, which must be English I, (<del>A)</del> II. III, and IV:
  - (B) three units in mathematics, one of which must be Algebra I:
  - (C) three units in social studies, one of which must be in government and economics, one in United States history and one in world studies;
  - three units in science, one of which must be biology, one a physical science, and effective with the class of 2003, one earth/environmental science:
  - (E) one unit in physical education and health; and
  - (F) six units designated by the LEA, which may be undesignated electives or courses designated from the standard course of study:
- (2) LEAs may count successful completion of course work in the ninth grade at a school system which does not award course units in the ninth grade toward the requirements of this Rule.
- LEAs may count successful completion of course work in grades 9-12 at a summer school session toward the requirements of this Rule.
- (4) LEAs may count successful completion of course work in grades 9-12 at an off-campus institution toward the requirements of this Rule. No high school may approve enrollment in post-secondary institutions during the regular school year in excess of five percent of its enrollment in grades 10-12 except as allowed by the SBE. 23 NCAC 2C .0301 governs enrollment in community college institutions.
- (c) Effective with the class of 2001, all students must demonstrate computer proficiency as a prerequisite for high school graduation. The passing scores for this proficiency shall be 47 on the multiple choice test and 49 on the performance test. This assessment shall begin at the eighth grade: A student with disabilities shall demonstrate proficiency by the use of a portfolio if this method is required by the student's IEP.
- (d) Effective with the class entering ninth grade for the 1992-93 school year, special needs students as defined by G.S. 115C-109, excluding gifted and pregnant, who do not meet the requirements for a high school diploma will receive a graduation certificate and shall be allowed to participate in graduation exercises if they meet the following criteria:
  - successful completion of 20 course units by general subject area (4 English, 3 math, 3 science, 3 social studies, 1 health and physical education, and 6 local electives) under Paragraph (b). These students are not required to pass the specifically designated courses such as Algebra I, Biology or United States history,
  - completion of all IEP requirements.

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Authority G.S. 115C-12(9)c.; 115C-81(a); N.C. Constitution, Article IX. Sec. 5.

#### **SECTION .0300 - TESTING PROGRAMS**

#### .0304 GRADE-LEVEL PROFICIENCY

- (a) In grades K-2, teachers must identify those students who are not performing at grade-level expectations. In grades 3-8, grade-level-proficiency is Level III or above on end-of-grade tests in reading and mathematics.
- (b) LEAs shall issue the NC standardized high school transcript to high school students at the end of each school year to inform parents and students of student progress.
- (c) LEAs shall provide focused intervention to all students who do not demonstrate grade-level proficiency. This intervention shall include extended instructional opportunities that are different from and supplemental to the normal instructional opportunities and that are specifically designed to improve the student's performance to grade-level proficiency. Strategies may include but are not limited to alternative learning models, special homework, smaller classes, tutorial sessions, extended school day, Saturday school, modified instructional programs, parental involvement, summer school instruction, or retention.
- (d) LEAs shall use existing funding resources to provide focused assistance designed to improve student performance to grade-level proficiency.
- (e) LEAs decide to promote or retain a student based on local policy and discretion, but must consider test scores and other information that may indicate a student's grade-level proficiency.
- (f) The department shall monitor LEAs and schools annually for progress in increasing the number of students who meet the standard for grade-level proficiency. The department shall use percentages of students who are above grade-level proficiency and of those who have moved from Level-I to Fevel-II to compare progress from year to year.
- (g) The SBE shall use district-level report cards to monitor the progress of LEAs. LEAs shall use school improvement reports to monitor schools in their district.

Authority G.S. 115C-12(9)c.; 115C-81(b)(4).

#### **SECTION .0500 - DEFINITIONS**

#### .0501 **DEFINITIONS**

As used in this Subchapter:

- "adequate progress" shall mean student performance (1)at or near grade level as indicated by student work, assessment data, and other evaluation information.
- "focused intervention" shall mean help for students in (2)attaining competency goals and objectives. The help or assistance shall be based on a diagnosis of what the student knows and is able to do. The strategies for helping the student shall be based on the diagnosis of the student's work.
- "functional curriculum" shall mean an adapted course (3) of study that is age appropriate, presented in natural environments with natural routines, and referenced to eritical, basic skills such as personal/home management, community integration, effective

- communication, and career/employment.
- (4) "grade level proficiency" shall mean Level III or above on end-of-grade tests in reading and mathematics in grades 3-8. In grades K-2, teachers shall identify those students who are not performing at grade-level expectations. The levels of student performance shall be defined as follows:
  - (A) "Level I" shall mean that the student fails to achieve at a basic level. Students performing at this level do not have sufficient mastery of knowledge and skills in this subject area to be successful at the next grade level.
  - (B) "Level II" shall mean that the student achieves at a basic level. Students performing at this level demonstrate inconsistent mastery of knowledge and skills that are fundamental in this subject area and that are minimally sufficient to be successful at the next grade level.
  - (C) "Level III" shall mean that the student achieves at a proficient level. Students performing at this level consistently demonstrate mastery of grade level subject matter and skills and are well prepared for the next grade level.
  - (D) "Level IV" shall mean that the student achieves at an advanced level. Students performing at this level consistently perform in a superior manner clearly heyond that required to he proficient at grade level work.
- (5) "instructionally sound" shall mean a practice or strategy that reflects research findings and the achievement needs of students. The practice shall take into account student learning styles, effective delivery of content and skills, diagnosis, monitoring, and evaluation.

Authority G.S. 115C-12(9b); 115C-81(b)(4); N.C. Constitution, Article IX, Sec. 5.

### .0502 STUDENT ACCOUNTABILITY STANDARDS

- (a) Gateway 1—Grade 3. In addition to meeting local promotion requirements, students in grade 3 shall demonstrate proficiency by having test scores at Level III or above on endof-grade tests in both reading and mathematics. Students who score at Level III or above and who meet all local promotion requirements shall be promoted to grade 4 unless the school principal shall determine otherwise in consultation with teacher(s). These requirements shall become effective with the 2001-02 school year.
- (b) Gateway 2—Grade 5. In addition to meeting local promotion requirements, students in grade 5 shall demonstrate proficiency by having test scores at Level III or above on end-of-grade tests in both reading and mathematics. Additionally, LEAs shall use the grade 4 writing assessment as a screen to determine whether students are making adequate progress in developing writing skills. If a student has not scored at or above proficiency level 2.5 on the grade 4 writing assessment, the

- school shall provide intervention and assistance to develop writing skills. The principal and teacher(s) shall use locally developed and scored writing samples during grade 5 to determine if students have made adequate progress in order to be promoted to grade 6. tudents who score at Level III or above on reading and mathematics, who meet all local promotion standards, and who make adequate progress in writing shall be promoted to grade 6, unless the school principal shall determine otherwise in consultation with teacher(s). These requirements shall become effective with the 2000-01 school year.
- (c) Gateway 3—Grade 8. In addition to meeting local promotion requirements, students in grade 8 shall demonstrate proficiency by having test scores at Level III or above on an end-of-grade test in both reading and mathematics. Additionally, the LEA shall use the grade 7 writing assessment as a screen to determine whether students are making adequate progress in developing writing skills. If a student has not scored at or above proficiency level 2.5 on the grade 7 writing assessment, the school shall provide intervention and assistance to develop writing skills. The principal and teacher(s) shall use locally developed and scored writing samples during grade 8 to determine if students have made adequate progress to be promoted to grade 9. Sudents who score at Level III or above on reading and mathematics, who meet all local promotion standards, and who make adequate progress in writing shall be promoted to grade 9 unless the school principal shall determine otherwise in consultation with teacher(s). These requirements shall become effective with the 2001-02 school year.
- (d) <u>Gateway 4—Grade 12. Students shall meet the following requirements to receive a North Carolina high school diploma:</u>
  - (1) meet existing local and state graduation requirements;
  - (2) score at proficiency level III or above on the exit exam of essential skills. Students shall take this exam in the spring of the students' 11th grade year. This requirement shall apply to students who enter the ninth grade for the 1999-2000 school year.
  - (3) achieve a passing score on the computer skills test as set forth in Rule .0503(c) of this Subchapter.

Authority G.S. 115C-12(9b); 115C-81(b)(4); N.C. Constitution, Article IX, Sec. 5.

### .0503 STATE GRADUATION REQUIREMENTS

- (a) In order to graduate and receive a high school diploma, public school students shall meet the requirements of Paragraph (b) of this rule and shall attain passing scores on competency tests adopted by the SBE and administered by the LEA. Students who satisfy all state and local graduation requirements but who fail the competency tests will receive a certificate of achievement and transcript and shall be allowed by the LEA to participate in graduation exercises.
  - (1) The passing score for the competency test, which is the same as grade-level proficiency as set forth in Rule .0502 of this Subchapter, shall be level III or higher.
  - (2) Special education students may apply in writing to be exempted from taking the competency tests. Before it

- approves the request, the LEA must assure that the parents, or the child if aged 18 or older, understand that each student must pass the competency tests to receive a high school diploma.
- (3) Any student who has failed to pass the competency tests by the end of the last school month of the year in which the student's class graduates may receive additional remedial instruction and continue to take the competency tests during regularly scheduled testing until the student reaches maximum school age.
- (b) In addition to the requirements of Paragraph (a) of this Rule, students must successfully complete 20 course units in grades 9-12 as specified in this Paragraph.
  - (1) Effective with the class entering ninth grade for the 1998-99 school year, the 20 course units must include:
    - (A) four units in English, which must be English I, II, 111, and 1V;
    - (B) three units in mathematics, one of which must be Algebra I;
    - (C) three units in social studies, one of which must be in government and economics, one in United States history and one in world studies;
    - (D) three units in science, one of which must be biology, one a physical science, and effective with students who enter the ninth grade for the 2000-2001 school year, one earth/environmental science;
    - (E) one unit in physical education and health; and
    - (F) six units designated by the LEA, which may be undesignated electives or courses designated from the standard course of study.
  - (2) LEAs may count successful completion of course work in the ninth grade at a school system which does not award course units in the ninth grade toward the requirements of this Rule.
  - (3) LEAs may count successful completion of course work in grades 9-12 at a summer school session toward the requirements of this Rule.
  - (4) LEAs may count successful completion of course work in grades 9-12 at an off-campus institution toward the requirements of this Rule. No high school may approve enrollment in post-secondary institutions during the regular school year in excess of five percent of its enrollment in grades 10-12 except as allowed by the SBE. 23 NCAC 2C .0301 shall govern enrollment in community college institutions.
- (c) Effective with the class of 2001, all students must demonstrate computer proficiency as a prerequisite for high school graduation. The passing scores for this proficiency shall be 47 on the multiple choice test and 49 on the performance test. This assessment shall begin at the eighth grade. A student with disabilities shall demonstrate proficiency by the use of a portfolio if this method is required by the student's IEP.
- (d) Special needs students as defined by G.S. 115C-109, excluding gifted and pregnant, who do not meet the requirements for a high school diploma will receive a graduation

- <u>certificate</u> <u>and</u> <u>shall</u> <u>be</u> <u>allowed</u> <u>to</u> <u>participate</u> <u>in</u> <u>graduation</u> <u>exercises</u> <u>if</u> they <u>meet</u> <u>the</u> <u>following</u> <u>criteria</u>:
  - (1) successful completion of 20 course units by general subject area (4 English, 3 math, 3 science, 3 social studies, 1 health and physical education, and 6 local electives) under Paragraph (b) of this Rule. These students are not required to pass the specifically designated courses such as Algebra I, Biology or United States history,
  - (2) completion of all IEP requirements.

Authority G.S. 115C-12(9b); 115C-81(b)(4); N.C. Constitution, Article IX, Sec. 5.

#### .0504 REVIEW PROCEDURES

For students who do not score at Level III or above on the reading and mathematics tests and for students in Grades 5 and 8 who are not making adequate progress in developing writing skills, the school district shall follow these procedures to determine if students are performing at grade level and are able to succeed at the next grade:

- (1) Students who score below Level III on an end-of-grade test shall be given a second test no later than three weeks from the receipt of test results. Parents may request that their child be excused from the second administration of the test. In this case, the parents and child shall be deemed to have accepted participation in focused intervention.
- (2) Teachers or parents may request a promotion for students who score below Level III on an end-of-grade test after the second or third test administration.

  Teachers shall provide documentation of the students' performance during a review process. Documentation may include:
  - (a) student work samples
  - (b) other test data
  - (c) <u>information supplied by parents</u>
  - (d) for students with disabilities, information that is included in the individualized education program (IEP).
  - (e) other information that verifies that a student is at grade level. Students with disabilities shall be at grade level or be making adequate progress to meet requirements at upper elementary levels.
- (3) Students who are not promoted after the second or third administration of the test shall be given focused intervention of a time period that is instructionally sound. Strategies may include, but are not limited to, alternative learning models, special homework, smaller classes, tutorial sessions, extended school day, Saturday school, modified instructional programs, parental involvement, summer school instruction, or retention.
- (4) The LEA shall appoint a committee to review student waiver requests. This committee shall be composed of teachers and principals from other schools or the

central office staff and shall make recommendations to the student's principal about whether the student should be promoted to the next grade. This recommendation shall be based on documentation presented by teachers on behalf of the student. Special education personnel shall be on the committee if a student with a disability is being considered for a waiver. Parents of any student being presented for review shall have the right to be a non-voting participant, and further shall have the right to speak on behalf of their child.

Authority G.S. 115C-12(9b); 115C-81(b)(4); N.C. Constitution, Article IX, Sec. 5.

#### .0505 LOCAL ACCOUNTABILITY PROCEDURES

- (a) Promotion decisions shall be made according to local policy and discretion, but shall include statewide student accountability standards at grades 3, 5, 8 and high school. At a minimum, each local board of education shall adopt procedures to ensure that students are treated fairly. The policy shall recognize the statutory authority of the principal to make promotion decisions.
- (b) Local boards of education policies shall be consistent with statewide student accountability policies. The policies shall include notification and involvement of parents and agreement of parental expectations signed by parents or guardians.
- (c) School districts shall provide focused intervention to all students who do not meet statewide student accountability standards. This intervention shall involve extended instructional opportunities that are different and supplemental and that are specifically designed to improve these students' performance to grade level proficiency. Students who are not promoted shall have personalized education plans with the following components: diagnostic evaluation, intervention strategies, and monitoring strategies. Strategies may include, but are not limited to, alternative learning models, special homework, smaller classes, tutorial sessions, extended school day, Saturday school, modified instructional programs, parental involvement, summer school instruction, or retention.
- (d) LEAs and schools shall report annually to the Department their progress in increasing the number of students who meet the standard for grade-level promotion. LEAs and schools shall use percentages of students who are above grade-level proficiency and of those who have moved from Level I to Level II to compare progress from year to year. Annually, local boards of education shall report the following information by race, ethnicity, exceptionality, and socio-economic status to the State Board of Education:
  - <u>(1)</u> number and percent of students promoted by school who did not score at Level III or above on the designated tests at gateways 1, 2, and 3;
  - (2) number and percent of students who have moved across achievement; and
  - <u>(3)</u> levels in reading and mathematics at gateways 1, 2,
  - (e) The NC standardized high school transcript shall certify

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a level of proficiency in high school courses through both grades and test scores. Test scores must be recorded on the standardized transcript. In order to inform parents and students of student progress, LEAs shall issue the transcript to students at the end of each year.

(f) End-of-course test results shall be used as part of the student's final grade. Local school boards shall set policies regarding the use of end-of course test results in assigning final

Authority G.S. 115C-12(9b); 115C-81(b)(4); N.C. Constitution. Article IX, Sec. 5.

#### STUDENTS WITH DISABILITIES .0506

- (a) Unless exempted pursuant to Paragraph (b) of this Rule, all students with disabilities shall participate in the statewide student accountability standards for elementary, middle, and high school levels.
- (b) Students with disabilities may be exempted from the statewide student accountability promotion standards by the IEP team, including the principal or school district representative, if the team determines that the students do not have the ability to participate in the standard course of study. However, these students shall be enrolled in a functional curriculum and shall demonstrate evidence of progress on alternate assessments. Alternate assessments shall be performance measures that assess the educational progress of students with disabilities who are unable to participate in the general large-scale assessment system even when accommodations are provided to the student. These students shall receive a certificate of achievement or graduation certificate.
- (c) All interventions/remediation and other opportunities, benefits and resources that are made available to students without disabilities shall be made available to students with disabilities who participate in the student promotion standards. All services offered shall be in addition to the special education services provided to the student.

Authority G.S. 115C-12(9b); 115C-81(b)(4); N.C. Constitution, Article IX, Sec. 5.

#### .0507 STUDENTS WITH LIMITED **ENGLISH PROFICIENCY**

Students of limited English proficiency shall meet the same standards as all students. However, in accordance with federal law, English language proficiency shall not be the factor that determines that a student has not met performance standards at each gateway. Therefore, LEAs shall use the following guidelines:

<u>(1)</u> Students who are exempt from statewide testing in accordance with the provisions of 16 NCAC 6G .0305(g)(1) of Subchapter 6G of this Subchapter shall also be exempt from the test standard for passage through each of the gateways. Instead, schools shall submit an instructional portfolio containing documentation of the students' English language proficiency and progress in all academic areas to a

- <u>local</u> committee of teachers and administrators to determine if students are ready to be promoted to the next level.
- (2) Gateways 1, 2, and 3. Once limited English proficient students are no longer eligible for exemption from statewide testing, these students shall be eligible for a waiver up to two additional years. These students shall receive a waiver from the test standard at the gateway the students first encounter if the student's English language proficiency is below "superior" in reading and writing. A local committee of teachers and administrators shall examine the students' instructional portfolios to determine whether:
  - (a) the students' English language proficiency is the cause of their inability to perform at grade level on the required test; and
  - (b) documentation indicates that a student is making adequate progress in all academic areas to be promoted to the next level.
- (3) <u>High School Graduation Requirements. Limited English proficient students shall meet the same standards as all students for high school graduation.</u>
- (4) School districts shall provide focused intervention for these students until they have met statewide promotion standards and high school graduation requirements (up to age 21). This intervention shall involve extended, supplemental instructional opportunities that include assistance in the development of English language proficiency. These students shall have personalized education plans with the following components: diagnostic evaluation, intervention strategies, and monitoring strategies.

Authority G.S. 115C-12(9b); 115C-81(b)(4); N.C. Constitution, Article IX, Sec. 5.

### TITLE 21 - OCCUPATIONAL LICENSING BOARDS

## CHAPTER 12 - LICENSING BOARD FOR GENERAL CONTRACTORS

Notice is hereby given in accordance with G.S. 150B-21.2 that the North Carolina Licensing Board for General Contractors intends to amend the rule cited as 21 NCAC 12 .0504. Notice of Rule-making Proceedings was published in the Register on January 4, 1999.

**Proposed Effective Date:** August 1, 2000

Instructions on How to Demand a Public Hearing (must be requested in writing within 15 days of notice): In order to demand a public hearing, a written request for public hearing must be submitted to Mark D. Selph at the Board's office. The Board's address is PO Box 17187, Raleigh, NC 27619.

**Reason for Proposed Action:** To delete the term "qualified" from the phrase "qualified independent accountant" so that the rule is consistent with other Board rules.

Comment Procedures: Written comments may be submitted to Mark D. Selph at the Board's office. The Board's address is PO Box 17187, Raleigh, NC 27619. Any person may file written submission of comments or arguments at any time up to and including July 15, 1999 or the date of a public hearing is held, whichever is longer.

**Fiscal Note:** This Rule does not affect the expenditures or revenues of state or local government funds. This Rule does not have a substantial economic impact of at least five million dollars (\$5,000,000) in a 12-month period.

### SECTION .0500 - LICENSE

### .0504 INCREASE IN LIMITATION

- (a) General. A person, firm or corporation holding a valid license to engage in the practice of general contracting in North Carolina may apply for a different limitation by making application for such different limitation with the Board on a form prescribed and furnished by the Board.
- (b) Request. A request for the required application form may be made at the address shown in Rule .0101 of this Chapter.
- (c) Form. The application form for a change in limitation requires the applicant to set forth his professional qualifications and his present and past experience in general contracting. An audited financial statement prepared by a certified public accountant or by a qualified an independent accountant who is engaged in the public practice of accountancy is required with the application for change in limitation.
- (d) Eligibility. An applicant for a new limitation is eligible for the requested change if he possesses the qualifications for the limitations as set forth in Rule .0204 of this Chapter with the exception that such applicant shall not be required to take a written examination.
- (e) Filing Deadline. An applicant who wishes to have his application considered for a change in limitation must file his application no later than the first day of the month preceding any regularly scheduled meeting of the Board. At such meeting the Board will consider the application. Regular meetings of the Board are in January, April, July and October of each year.
- (f) Fees. The fees for issuance of limited, intermediate, and unlimited licenses are as provided by G.S. 87-10.
- (g) Notice of Approval. Within two weeks after the regular meeting of the Board in which a timely filed application is considered, the Board will notify the applicant of its decision. If the application is approved, a certification of license in the new limitation will be issued by the Board and the applicant, as of the time of notice of the approval, may conduct himself or itself in accordance with the rights available under the limitation granted.

Authority G.S. 87-1; 87-10.

#### **CHAPTER 46 - BOARD OF PHARMACY**

Notice is hereby given in accordance with G.S. 150B-21.2 that the North Carolina Board of Pharmacy intends to adopt the rule cited as 21 NCAC 46.1815. Notice of Rulemaking Proceedings was published in the Register on December 1.1998.

Proposed Effective Date: August 1, 2000

Instructions on How to Demand a Public Hearing (must be requested in writing within 15 days of notice): In order to demand a public hearing, a written request for public hearing must be submitted to David R. Work at the Board's office. The Board's address is PO Box 459, Carrboro, NC 27510-0459.

**Reason for Proposed Action:** The adoption of this Rule is necessary to allow patients to obtain an emergency prescription refill when refill authorization from the prescriber is not readily obtainable due to an interruption in medical services.

Comment Procedures: Written comments may be submitted to David R. Work at the Board's office. The Board's address is PO Box 459, Carrboro, NC 27510-0459. Any person may file written submission of comments or arguments at any time up to and including July 15, 1999 or the date of a public hearing is held, whichever is longer.

**Fiscal Note:** This Rule does not affect the expenditures or revenues of state or local government funds. This Rule does not have a substantial economic impact of at least five million dollars (\$5,000,000) in a 12-month period.

### **SECTION .1800 - PRESCRIPTIONS**

### .1815 EMERGENCY PRESCRIPTON REFILL DUE TO INTERRUPTION OF MEDICAL SERVICES

In the event a pharmacist or device and medical equipment permit holder receives a request for a prescription refill and the pharmacist or permit holder is unable to obtain readily refill authorization from the prescriber because of the prescriber's inability to provide medical services to the patient, the pharmacist or permit holder may dispense a one-time emergency supply of up to 90 days of the prescribed medication, provided that:

- (1) The prescription is not for a Schedule II controlled substance;
- (2) The medication is essential to the maintenance of life or to the continuation of therapy in a chronic condition;
- (3) In the pharmacist's or permit holder's professional judgment, the interruption of therapy might reasonably produce undesirable health consequences;
- (4) The dispensing pharmacist or permit holder creates a written order entered in the pharmacy's automated data processing system containing all of the prescription information required by Section .2300 of these Rules and signs that order;
- (5) The dispensing pharmacist or permit holder notifies, or makes a good faith attempt to notify, the prescriber or the prescriber's office of the emergency dispensing within 72 hours after such dispensing.

Authority G.S. 90-85.6; 90-85.25; 90-85.32.

The Codifier of Rules has entered the following temporary rule(s) in the North Carolina Administrative Code. Pursuant to G.S. 150B-21.1(e), publication of a temporary rule in the North Carolina Register serves as a notice of rule-making proceedings unless this notice has been previously published by the agency.

### TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

Rule-making Agency: Environmental Management Commission

Rule Citation: 15A NCAC 2B .0233

Effective Date: June 22, 1999

Findings Reviewed and Approved by: Julian Mann, III

**Authority for the rule-making:** G.S. 143-214.1; 143-214.7; 143-215.3(a)(1); Chapter 571, 1995 S.L.; Chapter 221, 1998 S.L.

Reason for Proposed Action: The purpose of the Neuse Buffer Rule is to protect the Neuse River Estuary from nuisance environmental conditions such as algal blooms and fish kills resulting from nitrogen over-enrichment. Chapter 572, 1995 Session Laws requires the Environmental Management Commission to develop a strategy to reduce nitrogen inputs to the Neuse River basin by 30 percent. The Neuse Buffer Rule contributes to that reduction goal by protecting one of the most important mechanisms that exists for removing nitrogen-riparian buffers. These vegetated areas adjacent to surface waters (intermittent and perennial streams, lakes, ponds and estuaries) are highly effective at removing nitrogen from surface and subsurface flows. If riparian buffers in the Neuse River basin were not protected, it is highly unlikely that it would be possible to reach a 30 percent overall reduction in nitrogen. The purpose of this particular request for temporary rulemaking certification is to meet the requirements in Chapter 221, 1998 Session Laws. This bill requires the Environmental Commission to revise the existing temporary Neuse Buffer Rule based on the recommendations of the Stakeholder Advisory Under these statutory requirements, the Commission approved a revised temporary Neuse Buffer Rule on May 13, 1999. The Commission requests that the amended temporary rule be approved simultaneously with the commencement of the permanent rule-making process.

Comment Procedures: Comments were accepted on a previous version of this Rule for 20 days as noticed in the North Carolina Register, Vol. 12:6; September 15, 1997. Comments were also accepted by the N.C. General Assembly during their consideration of this Rule during the 1998 legislative session. Comments may be directed to Lin Xu at 919-733-5083, ext. 357, Division of Water Quality, Planning Branch, PO Box 29535, Raleigh, NC 27626-0535.

### **CHAPTER 2 - ENVIRONMENTAL MANAGEMENT**

### SUBCHAPTER 2B - SURFACE WATER AND WETLAND STANDARDS

SECTION .0200 - CLASSIFICATIONS AND WATER QUALITY STANDARDS APPLICABLE TO SURFACE WATERS AND WETLANDS OF NORTH CAROLINA

# .0233 NEUSE RIVER BASIN: NUTRIENT SENSITIVE WATERS MANAGEMENT STRATEGY: PROTECTION AND MAINTENANCE OF RIPARIAN BUFFERS

The following is the management strategy for maintaining and protecting riparian areas in the Neuse River Basin:

- Riparian areas shall be protected and maintained in accordance with this Rule on all sides of surface waters in the Neuse River Basin (intermittent streams: perennial streams, lakes, ponds, and estuaries) as indicated on the most recent versions of United States Geological Survey 1:24,000 scale (7.5 minute quadrangle) topographic maps or other site-specific evidence: This Rule only applies to riparian areas where forest vegetation is established in 7 one 1 [as described in Sub-Item (3)(a) of this Rule | as of July 22, 1997. Forest vegetation, as defined in 15A NCAC 2B .0202, of any width in Zone 1 must be protected and maintained in accordance with this Rule. This Rule does not establish new buffers in riparian areas. Exceptions to the requirements of this Rule for riparian areas are described in Sub-Items (2)(a)-(h) of this Rule. Maintenance of the riparian areas shall be such that, to the maximum-extent possible, sheet flow of surface water is achieved. This Rule specifies requirements that shall be implemented in riparian areas to ensure that the pollutant removal functions of the riparian area are protected and maintained.
- (2) The following waterbodies and land uses are exempt from the riparian area protection requirements:
  - (a) Ditches and manmade conveyances other than modified natural streams:
  - (b) Areas mapped as intermittent streams, perennial streams, lakes, ponds, or estuaries on the most recent versions of United States Geological Survey 1:24,000 scale (7.5 minute quadrangle) topographic maps where no perennial waterbody, intermittent waterbody, lake, pond or estuary actually exists on the ground:

- (c) Ponds and lakes created for animal watering; irrigation, or other agricultural uses that are not part of a natural drainage way that is classified in accordance with 15A NCAC 2B .0100:
- (d) Water dependent structures as defined in 15A NCAC 2B .0202, provided that they are located, designed, constructed and maintained to provide maximum nutrient removal, to have the least adverse effects on aquatic life and habitat and to protect water quality;
- The following uses may be allowed where no <del>(e)</del> practical alternative exists. A lack of practical alternatives may be shown by demonstrating that, considering the potential for a reduction in size, configuration or density of the proposed activity and all alternative designs, the basic project purpose cannot be practically accomplished in a manner which would avoid or result in less adverse impact to surface waters. Also, these structures shall be located, designed, constructed, and maintained to have minimal disturbance; to provide maximum nutrient removal and erosion protection, to have the least adverse effects on aquatic life and habitat, and to protect water quality to the maximum extent practical through the use of best management practices.
  - (i) Road crossings, railroad crossings; bridges, airport facilities, and utility crossings may be allowed if conditions specified in Sub-Item (2)(e) of this Rule are met.
  - (ii) Stormwater management facilities and ponds, and utility construction and maintenance corridors for utilities such as water, sewer or gas, may be allowed in Zone 2 of the riparian area as long as the conditions specified in Sub-Item (2)(e) of this Rule are met and they are located at least 30 feet from the top of bank or mean high water line. Additional requirements for utility construction and maintenance corridors are listed in Sub-Item (2)(f) of this Rule:
- (f) A corridor for the construction and maintenance of utility lines, such as water, sewer or gas; (including access roads and stockpiling of materials) may run parallel to the stream and may be located within Zone 2 of the riparian area, as long as no practical alternative exists and they are located at least 30 feet from the top of bank or mean high water line and best management practices are installed to minimize runoff and maximize water quality protection to the maximum extent practicable. Permanent, maintained access corridors shall be restricted to the minimum

- width practicable and shall not exceed 10 feet in width except at manhole locations. A 10 feet by 10 feet perpendicular vehicle turnaround is allowed provided they are spaced at least 500 feet apart along the riparian area.
- Stream restoration projects, scientific studies, (g) stream gauging, water wells, passive recreation facilities such as boardwalks, trails, pathways; historic preservation and archaeological activities are allowed provided that they are located in Zone 2 and are at least 30 feet from the top of bank or mean high water line and are designed, constructed and maintained to provide the maximum nutrient removal and erosion protection, to have the least adverse effects on aquatic life and habitat, and to protect water quality to the maximum extent practical through the use of best management practices. Activities that must cross the stream or be located within Zone 1 are allowed as long as all other requirements of this Item are met.
- (h) Stream crossings associated with timber harvesting are allowed if performed in accordance with the Forest Practices Guidelines Related to Water Quality (15A NCAC 1J.0201-.0209).
- (3) The protected riparian area shall have two zones as follows:
  - (a) Zone I shall be an undisturbed area of forest vegetation. Any forest vegetation, as defined in Rule .0202 of this Section, in Zone I as of July 22, 1997 shall be maintained and protected in accordance with this Rule.
    - ti) Location of Zone 1: Zone 1 begins at the top of bank for intermittent streams and perennial streams and extends landward a distance of 30 feet on all sides of the waterbody, measured horizontally on a line perpendicular to the waterbody. For all other waterbodies, Zone 1 begins at the top of bank or mean high water line and extends landward a distance of 30 feet, measured horizontally on a line perpendicular to the waterbody:
    - (ii) The following practices and activities are allowed in Zone 1:
      - (A) Natural regeneration of forest vegetation and planting vegetation to enhance the riparian area if disturbance is minimized, provided that any plantings shall primarily consist of locally native trees and shrubs;
      - (B) Selective cutting of individual trees of high value in the outer 20 feet of Zone 1, provided that the

basal area of this outer 20-foot wide area remains at or above 75 square feet per acre and is computed according to the following method. Basal area of this outer 20-foot wide area shall be computed every 100 feet along the stream to ensure even distribution of forest vegetation and shall be based on all trees measured at 4.5 feet from ground level. No tracked or wheeled equipment is allowed in Zone 1 except at stream crossings which are designed, constructed and maintained in accordance with Forest Practice Guidelines Related to Water Quality (15A NCAC 1J .0201 - .0209);

- (C) Horticulture or silvicultural practices to maintain the health of individual trees;
- (D) Removal of individual trees which are in danger of causing damage to dwellings, other structures or the stream channel;
- (E) Removal of dead trees and other timber cutting techniques necessary to prevent extensive pest or disease infestation if recommended by the Director, Division of Forest Resources and approved by the Director, Division of Water Quality; and
- (F) Ongoing agricultural operations provided that existing forest vegetation is protected and requirements in Rules .0236 and .0238 of this Section are followed:
- (iii) The following practices are not allowed in Zone 1:
  - (A) Land-disturbing activities and placement of fill and other materials, other than those allowed in Items (2) and (3)(a)(ii) of this Rule, that would disturb forest vegetation, as defined in Rule .0200 of this Section;
  - (B) New development, except as provided in Sub-Items (2)(d), (2)(e) and (2)(f) of this Rule;
  - (C) New on-site sanitary sewage systems which use ground adsorption;
  - (D) The application of fertilizer; and

- (E) Any activity that threatens the health and function of the vegetation including, but not limited to, application of chemicals in amounts exceeding the manufacturer's recommended rate, uncontrolled sediment sources on adjacent lands, and the creation of any areas with bare soil.
- (b) Vegetation in Zone 2 shall consist of a dense ground cover composed of herbaceous or woody species which provides for diffusion and infiltration of runoff and filtering of pollutants.
  - (i) Location of Zone 2: Zone 2 begins at the outer edge of Zone 1 and extends landward a minimum of 20 feet as measured horizontally on a line perpendicular to the waterbody. The combined minimum width of Zones 1 and 2 shall be 50 feet on all sides of the waterbody.
  - (ii) The following practices and activities are allowed in Zone 2 in addition to those allowed in Zone 1:
    - (A) Periodic mowing and removal of plant products such as timber, nuts, and fruit is allowed on a periodic basis provided the intended purpose of the riparian area is not compromised by harvesting, disturbance, or loss of forest or herbaccous ground cover.
    - (B) Forest vegetation in Zone 2 may be managed to minimize shading on adjacent land outside the riparian area if the water quality function of the riparian area is not compromised:
    - On-going agricultural operations provided that requirements of Rules .0236 and .0238 of this Section are followed:
  - (iii) The following practices and activities are not allowed in Zone-2:
    - (A) Land disturbing activities and placement of fill and other materials, other than those allowed in Items (2) and (3)(b)(ii) of this Rule:
    - (B) New development, except as provided in Sub-Items (2)(c) and (2)(f) of this Rule;
    - (C) New on-site samtary sewage systems which use ground

adsorption;

- (D) The application of fertilizer; and
- (E) Any activity that threatens the health and function of the vegetation including, but not limited to, application of chemicals in amounts exceeding the manufacturer's recommended rate, uncontrolled sediment sources on adjacent lands, and the creation of any areas with bare soil.
- tc) Timber removal and skidding of trees shall be directed away from the water course or water body. Skidding shall be done in a manner to prevent the creation of ephemeral channels perpendicular to the water body. Any tree removal must be performed in a manner that does not compromise the intended purpose of the riparian area and is in accordance with the Forest Practices Guidelines Related to Water Quality (15A NCAC 1J-0201-.0209).
- (d) Maintenance of sheet flow in Zones 1 and 2 is required in accordance with this Item:
  - (i) Sheet flow must be maintained to the maximum extent practical through dispersing concentrated flow and reestablishment of vegetation to maintain the effectiveness of the riparian area.
  - tii) Concentrated runoff from new ditches or manmade conveyances must be dispersed into sheet flow before the runoff enters Zone 2 of the riparian area. Existing ditches and manmade conveyances, as specified in Sub-Item (2)(a) of this Rule, are exempt from this requirement; however, care shall be taken to minimize pollutant loading through these existing ditches and manmade conveyances from fertilizer application or erosion.
  - (iii) Periodic corrective action to restore sheet flow shall be taken by the landowner if necessary to impede the formation of erosion gullies which allow concentrated flow to bypass treatment in the riparian area.
- (e) Periodic maintenance of modified natural streams such as canals is allowed provided that disturbance is minimized and the structure and function of the riparian area is not compromised. A grassed travelway is allowed on one side of the waterbody when alternative forms of maintenance access are not practical. The width and specifications of the travelway shall be only that needed for equipment access and operation. The travelway shall be located

to maximize stream shading.

- (4) If a local government has been issued a Municipal Separate Stormwater Sewer System permit or has been delegated to implement a local stormwater program, then the local government shall ensure that the riparian areas to be protected are, recorded on new or modified plats.
- (5) Where the standards and management requirements for riparian areas are in conflict with other laws, regulations, and permits regarding streams, steep slopes, erodible soils, wetlands, floodplains, forest harvesting, surface mining, land disturbance activities, development in Coastal Area Management Act Areas of Environmental Concern, or other environmental protection areas, the more protective shall apply.
- (6) Where application of this Rule would prevent all reasonable uses of a lot platted and recorded prior to the effective date of this Rule, a variance may be granted by the Environmental Management Commission if it finds that:
  - (a) practical difficulties or unnecessary hardships would result in strict application of the rule;
  - (b) such difficulties or hardships result from conditions which are peculiar to the property involved; and
  - (c) the general purpose and intent of the rule would be preserved, water quality would be protected and substantial justice would be done if the variance were granted.

The following is the management stategy for maintaining and protecting riparian buffers in the Neuse River Basin.

- (1) PURPOSE. The purpose of this Rule shall be to protect and preserve riparian buffers in the Neuse River Basin to maintain their nutrient removal functions.
- (2) <u>DEFINITIONS</u>. For the purpose of this Rule, these terms shall be defined as follows:
  - (a) 'Channel' means a natural water-carrying trough cut vertically into low areas of the land surface by erosive action of concentrated flowing water or a ditch or canal excavated for the flow of water. (current definition in Forest Practice Guidelines Related to Water Quality, 15A NCAC II .0102)
  - (b) 'DBH' means Diameter at Breast Height of a tree, which is measured at 4.5 feet above ground surface level.
  - (c) 'Ditch or canal' means a man-made channel other than a modified natural stream constructed for drainage purposes that is typically dug through inter-stream divide areas.

    A ditch or canal may have flows that are perennial, intermittent, or ephemeral and may exhibit hydrological and biological characteristics similar to perennial or intermittent streams.

- (d) 'Ephemeral (stormwater) stream' means a feature that carries only stormwater in direct response to precipitation with water flowing only during and shortly after large precipitation events. An ephemeral stream may or may not have a well-defined channel, the aquatic bed is always above the water table, and stormwater runoff is the primary source of water. An ephemeral stream typically lacks the biological, hydrological, and physical characteristics commonly associated with the continuous or intermittent conveyance of water.
- (f) 'Forest plantation' means an area of planted trees that may be conifers (pines) or hardwoods. On a plantation, the intended crop trees are planted rather than naturally regenerated from seed on the site, coppice (sprouting), or seed that is blown or carried into the site.
- (g) 'High Value Tree' means a tree that meets or exceeds the following standards: for pine species, 14-inch DBH or greater or 18-inch or greater stump diameter; and, for hardwood or wetland species, 16-inch DBH or greater or 24-inch or greater stump diameter.
- (h) 'Intermittent stream' means a well-defined channel that contains water for only part of the year, typically during winter and spring when the aquatic bed is below the water table. The flow may be heavily supplemented by stormwater runoff. An intermittent stream often lacks the biological and hydrological characteristics commonly associated with the continuous conveyance of water.
- (i) 'Modified natural stream' means an on-site channelization or relocation of a stream channel and subsequent relocation of the intermittent or perennial flow as evidenced by topographic alterations in the immediate watershed. A modified natural stream must have the typical biological, hydrological, and physical characteristics commonly associated with the continuous conveyance of water.
- (j) 'Perennial stream' means a well-defined channel that contains water year round during a year of normal rainfall with the aquatic bed located below the water table for most of the year. Groundwater is the primary source of water for a perennial stream, but it also carries stormwater runoff. A perennial stream exhibits the typical biological, hydrological, and physical characteristics commonly associated with the continuous conveyance of water.
- (k) <u>'Perennal waterbody' means a natural or man-</u> made basin that stores surface water permanently at depths sufficient to preclude

- growth of rooted plants, including lakes, ponds, sounds, non-stream estuaries and ocean. For the purpose of the State's riparian buffer protection program, the waterbody must be part of a natural drainageway (i.e., connected by surface flow to a stream).
- (l) 'Stream' means a body of concentrated flowing water in a natural low area or natural channel on the land surface.
- (m) 'Tree' means a woody plant with a DBH equal to or exceeding five inches.
- APPLICABILITY. This Rule shall apply to 50-foot (3) wide riparian buffers directly adjacent to surface waters in the Neuse River Basin (intermittent streams, perennial streams, lakes, ponds, and estuaries), excluding wetlands. The riparian buffers protected by this Rule shall be measured pursuant to Item (4) of this Paragraph. For the purpose of this Rule, a surface water shall be present if the feature is approximately shown on either the most recent version of the soil survey map prepared by the Natural Resources Conservation Service of the United States Department of Agriculture or the most recent version of the 1:24,000 scale (7.5 minute) quadrangle topographic maps prepared by the United States Geologic Survey (USGS). Riparian buffers adjacent to surface waters that do not appear on either of the maps shall not be subject to this Rule. Riparian buffers adjacent to surface waters that appear on the maps shall be subject to this Rule unless one of the following applies.
  - EXEMPTION WHEN AN ON-SITE <u>(a)</u> DETERMINATION SHOWS THAT SURFACE WATERS ARE NOT PRESENT. When a landowner or other affected party believes that the maps have inaccurately depicted surface waters, he or she shall consult the Division or the appropriate delegated local authority. Upon request, the Division or delegated local authority shall make on-site determinations. Any disputes over on-site determinations shall be referred to the Director in writing. A determination of the Director as to the accuracy or application of the maps is subject to review as provided in Articles 3 and 4 of G.S. 150B. Surface waters that appear on the maps shall not be subject to this Rule if an on-site determination shows that they fall into one of the following categories.
    - (i) <u>Ditches</u> and <u>manmade</u> <u>conveyances</u> other than <u>modified</u> natural <u>streams</u>.
    - (ii) Manmade ponds and lakes that are located outside natural drainage ways.
    - (iii) Ephemeral (stormwater) streams.
  - (b) EXEMPTION WHEN EXISTING USES ARE PRESENT AND ONGOING. This Rule shall not apply to portions of the riparian buffer

where a use is existing and ongoing according to the following:

- A use shall be considered existing if it was present within the riparian buffer as of July 22, 1997. Existing uses shall include, but not be limited to, agriculture, buildings, industrial facilities, commercial areas, transportation facilities, maintained lawns, utility lines and on-site sanitary sewage systems. Only the portion of the riparian buffer that contains the footprint of the existing use is exempt from this Rule. Activities necessary to maintain uses are allowed provided that no additional vegetation is removed from Zone 1, existing diffuse flow is maintained, and surface waters are not disturbed. Grading and revegetating Zone 2 is allowed provided that the health of the vegetation in Zone 1 is not compromised, the ground is stabilized and existing diffuse flow is maintained.
- (ii) At the time an existing use is converted to another use, this Rule shall apply. An existing use shall be considered to be converted to another use if any of the following applies:
  - (A) Impervious surface is added to the riparian buffer in locations where it did not exist previously.
  - (B) An agricultural operation within the riparian buffer is taken out of production.
  - (C) A lawn within the riparian buffer ceases to be maintained.
- (4) ZONES OF THE RIPARIAN BUFFER. The protected riparian buffer shall have two zones as follows:
  - (a) Zone 1 shall consist of a vegetated area that is undisturbed except for uses provided for in Item (6) of this Paragraph. The location of Zone 1 shall be as follows:
    - (i) For intermittent and perennial streams,

      Zone 1 shall begin at the most landward limit of the top of bank or the rooted herbaceous vegetation and extend landward a distance of 30 feet on all sides of the surface water, measured

- horizontally on a line perpendicular to the surface water.
- (ii) For ponds, lakes and reservoirs located within a natural drainage way, Zone 1 shall begin at the most landward limit of the normal water level or the rooted herbaceous vegetation and extend landward a distance of 30 feet, measured horizontally on a line perpendicular to the surface water.
- (iii) For surface waters within the 20 Coastal Counties (defined in 15A NCAC 2B .0202) within the jurisdiction of the Division of Coastal Management, Zone 1 shall begin at the most landward limit of the normal high water level, the normal water level, or the landward limit of coastal wetlands as defined by the Division of Coastal Management and extend landward a distance of 30 feet, measured horizontally on a line perpendicular to the surface water.
- (b) Zone 2 shall consist of a stable, vegetated area that is undisturbed except for activities and uses provided for in Item (6) of this Paragraph.

  Grading and revegetating Zone 2 is allowed provided that the health of the vegetation in Zone 1 is not compromised. Zone 2 shall begin at the outer edge of Zone 1 and extend landward 20 feet as measured horizontally on a line perpendicular to the surface water. The combined width of Zones 1 and 2 shall be 50 feet on all sides of the surface water.
- (5) DIFFUSE FLOW REQUIREMENT. Diffuse flow of runoff shall be maintained in the riparian buffer by dispersing concentrated flow and reestablishing vegetation.
  - (a) Concentrated runoff from new ditches or manmade conveyances shall be converted to diffuse flow before the runoff enters the riparian buffer.
  - (b) Periodic corrective action to restore diffuse flow shall be taken if necessary to impede the formation of erosion gullies.
- (6) TABLE OF USES. The following chart sets out the uses and their designation under this Rule as exempt, allowable, allowable with mitigation, or prohibited. The requirements for each category are given in Item (7) of this Paragraph.

	Exempt	Allowable	Allowable with Mitigation	Prohibited
Airport facilities:  • Airport facilities that impact equal to or less than 150 linear feet or one-third of an acre of riparian buffer  • Airport facilities that impact greater than 150 linear feet or one-third of an acre of riparian buffer		X	X	
Archaeological activities	<u>X</u>			
<u>Bridges</u>		<u>X</u>		
Dam maintenance activities	<u>X</u>			
<ul> <li>Drainage ditches, roadside ditches and stormwater outfalls through riparian buffers:         <ul> <li>Existing drainage ditches, roadside ditches, and stormwater outfalls provided that they are managed to minimize the sediment, nutrients and other pollution that convey to waterbodies</li> <li>New drainage ditches, roadside ditches and stormwater outfalls provided that a stormwater management facility is installed to control nitrogen and attenuate flow before the conveyance discharges through the riparian buffer</li> <li>New drainage ditches, roadside ditches and stormwater outfalls that do not provide control for nitrogen before discharging through the riparian buffer</li> <li>Excavation of the streambed in order to bring it to the</li> </ul> </li> </ul>	X	X		<u>X</u>
<u>Same elevation as the invert of a ditch</u> <u>Drainage of a pond in a natural drainage way provided that a new riparian buffer that meets the requirements of Items (4) and (5) is established adjacent to the new channel</u>	X			
<ul> <li>Driveway crossings:         <ul> <li>Driveway crossings on single family residential lots that disturb equal to or less than 25 linear feet or 2.500 square feet of riparian buffer</li> <li>Driveway crossings on single family residential lots that disturb greater than 25 linear feet or 2,500 square feet of riparian buffer</li> <li>In a subdivision that cumulatively disturb equal to or less than 150 linear feet or one-third of an acre of riparian buffer</li> <li>In a subdivision that cumulatively disturb greater than 150 linear feet or one-third of an acre or riparian buffer</li> </ul> </li> </ul>	X	<u>X</u> X	X	
Fences provided that disturbance is minimized and installation does not result in removal of forest vegetation	X			
Forest harvesting - sec Item (11) of this Rule				
Fertilizer application:  One-time fertilizer application to establish replanted vegetation  Ongoing fertilizer application	X			<u>X</u>

### TEMPORARY RULES

		r		
Grading and revegetation in Zone 2 only provided that diffuse flow and the health of existing vegetation in Zone 1 is not compromised and disturbed areas are stabilized	X			
Greenway trails		<u>X</u>		
Historic preservation	<u>X</u>			
Landfills				<u>X</u>
<ul> <li>Mining activities:</li> <li>Mining activities that are covered by the Mining Act provided that new riparian buffers that meet the requirements of Items (4) and (5) are established adjacent to the relocated channels</li> <li>Mining activities that are not covered by the Mining Act OR where new riparian buffers that meet the</li> </ul>		X	<u>X</u>	
requirements or Items (4) and (5) are not established adjacent to the relocated channels		:		
Non-electric utility lines:  • Impacts other than perpendicular crossings in Zone 2 only		<u>X</u>		
<ul> <li>Impacts other than perpendicular crossings in Zone 1</li> <li>Perpendicular crossings that disturb equal to or less than 40 linear feet of riparian buffer</li> <li>Perpendicular crossings that disturb greater than 40 linear feet but equal to or less than 150 linear feet of</li> </ul>	X	X	<u>X</u>	
riparian huffer Perpendicular crossings that disturb greater than 150 linear feet of riparian huffer			X	
On-site sanitary sewage systems - new ones that use ground absorption				X
Overhead electric utility lines:  • Impacts other than perpendicular crossings in Zone 2 only	<u>X</u>			
<ul> <li>Impacts other than perpendicular crossings in Zone 1 1.2</li> <li>Perpendicular crossings that disturb equal to or less than 150 linear feet of riparian buffer 1</li> <li>Perpendicular crossings that disturb greater than 150</li> </ul>	<u>X</u> <u>X</u>	<u>X</u>		
linear feet of riparian buffer 1.2				
Periodic maintenance of modified natural streams such as canals and a grassed travelway on one side of the surface water when alternative forms of maintenance access are not practical		X		
Playground equipment:  • Playground equipment on single family lots provided that installation and use does not result in removal of vegetation	X			
<ul> <li><u>Playground equipment installed on lands other than</u> <u>single-family lots or that requires removal of vegetation</u></li> </ul>		X		

<sup>&</sup>lt;sup>1</sup> Provided that, in Zone 1, all of the following BMPs for overhead utility lines are used. If all of these BMPs are not used, then the overhead utility lines shall require a no practical alternatives evaluation by the Division.

<sup>•</sup> A minimum zone of 10 feet wide immediately adjacent to the water body shall be managed such that only vegetation that poses a hazard or has the potential to grow tall enough to interfere with the line is removed.

### TEMPORARY RULES

- Woody vegetation shall be cleared by hand. No land grubbing or grading is allowed.
- Vegetative root systems shall be left intact to maintain the integrity of the soil. Stumps shall remain where trees are cut.
- Rip rap shall not be used unless it is necessary to stabilize a tower.
- No fertilizer shall be used other than a one-time application to re-establish vegetation.
- Construction activities shall minimize the removal of woody vegetation, the extent of the disturbed area, and the time in which areas remain in a disturbed state.
- Active measures shall be taken after construction and during routine maintenance to ensure diffuse flow of stormwater through the buffer.
- In wetlands, mats shall be utilized to minimize soil disturbance.

  2 Provided that poles or towers shall not be installed within 10 feet of a water body unless the Division completes a no practical alternatives evaluation.

Ponds in natural drainage ways:  New ponds provided that a riparian buffer that meets the requirements of Items (4) and (5) is established adjacent to the pond  New ponds where a riparian buffer that meets the requirements of Items (4) and (5) is NOT established adjacent to the pond		X	X	
Protection of existing structures and facilities when this requires additional disturbance of the riparian buffer or the stream channel		<u>X</u>		
Railroad crossings:  • Railroad crossings that impact equal to or less than 150 linear feet or one-third of an acre of riparian buffer  • Railroad crossings that impact greater than 150 linear feet or one-third of an acre of riparian buffer		X	X	
Removal of previous fill or debris provided that diffuse flow is maintained and any vegetation removed is restored	<u>X</u>			
Road crossings:  • Road crossings that impact equal to or less than 150 linear feet or one-third of an acre of riparian buffer  • Road crossings that impact greater than 150 linear feet or one-third of an acre of riparian buffer		X	X	
Scientific studies and stream gauging	X			
Stormwater management ponds:  • New stormwater management ponds provided that a riparian buffer that meets the requirements of Items (4) and (5) is established adjacent to the pond  • New stormwater management ponds where a riparian buffer that meets the requirements of Items (4) and (5) is NOT established adjacent to the pond		<u>X</u>	X	
Stream restoration	X			
Streambank stabilization		<u>X</u>		

### TEMPORARY RULES

Temporary roads:			
• Temporary roads that disturb less than or equal to 2,500 square feet provided that vegetation is restored within	<u>X</u>		
<ul> <li>six months</li> <li>Temporary roads that disturb greater than 2,500 square feet provided that vegetation is restored within six months</li> </ul>		X	
Temporary sediment and erosion control devices:  In Zone 2 only provided that the vegetation in Zone 1 is not compromised and that discharge is released as	<u>X</u>		
diffuse flow in accordance with Item (5)  In Zones 1 and 2 to control impacts associated with uses approved by the Division or that have received a		<u>X</u>	
variance provided that sediment and erosion control for upland areas is addressed to the maximum extent practical outside the buffer			
In-stream temporary erosion and sediment control measures for work within a stream channel	<u>X</u>		
Underground electric utility lines:  • Impacts other than perpendicular crossings in Zone 2	<u>X</u>		
only			
<ul> <li>Impacts other than perpendicular crossings in Zone 1<sup>3</sup></li> <li>Perpendicular crossings that disturb less than or equal to 40 linear feet of riparian buffer<sup>3</sup></li> </ul>	<u>X</u> <u>X</u>		
Perpendicular crossings that disturb greater than 40     linear feet of riparian buffer <sup>3</sup>		X	
<u>Vegetation management:</u> • Emergency fire control measures provided that	<u>X</u>		
<ul> <li>topography is restored</li> <li>Periodic mowing and harvesting of plant products in Zone 2 only</li> </ul>	X		
<ul> <li>Planting vegetation to enhance the riparian buffer</li> <li>Pruning forest vegetation provided that the health and function of the forest vegetation is not compromised</li> </ul>	<u>X</u> <u>X</u>		
• Removal of individual trees which are in danger of causing damage to dwellings, other structures or human	X		
life Removal or poison ivy	$\frac{X}{X}$		
Removal of understory nuisance vegetation as defined in:			
Smith, Cherri L. 1998. Exotic Plant Guidelines.			
Department of Environment and Natural Resources.  Division of Parks and Recreation. Raleigh, NC. Guideline			
#30			

<sup>&</sup>lt;sup>2</sup> Provided that, in Zone 1, all of the following BMPs for underground utility lines are used. If all of these BMPs are not used, then the underground utility line shall require a no practical alternatives evaluation by the Division.

- Woody vegetation shall be cleared by hand. No land grubbing or grading is allowed.
- Vegetative root systems shall be left intact to maintain the integrity of the soil. Stumps shall remain, except in the trench, where trees are cut.
- Underground cables shall be installed by vibratory plow or trenching.
- The trench shall be backfilled with the excavated soil material immediately following cable installation.
- No fertilizer shall be used other than a one-time application to re-establish vegetation.

- Construction activities shall minimize the removal of woody vegetation, the extent of the disturbed area, and the time in which areas remain in a disturbed state.
- Active measures shall be taken after construction and during routine maintenance to ensure diffuse flow of stormwater through the buffer.
- In wetlands, mats shall be utilized to minimize soil disturbance.

	Exempt	Allowable	Allowable with Mitigation	Prohibited
Water dependent structures as defined in 15A NCAC 2B .0202		X		
Water supply reservoirs:  New reservoirs provided that a riparian buffer that meets the requirements of Items (4) and (5) is established adjacent to the reservoir  New reservoirs where a riparian buffer that meets the requirements of Items (4) and (5) is NOT established adjacent to the reservoir		X	X	
Water wells	X			
Wetland restoration	<u>X</u>			

- (7) REQUIREMENTS FOR CATEGORIES OF USES.

  Uses designated as exempt, allowable, allowable with mitigation and prohibited in Item (6) of this Paragraph shall have the following requirements:
  - (a) EXEMPT. Uses designated as exempt are allowed within the riparian buffer. Exempt uses shall be designed, constructed and maintained to minimize soil disturbance and to provide the maximum water quality protection practicable. In addition, exempt uses shall meet requirements listed in Item (6) of this Paragraph for the specific use.
  - (b) ALLOWABLE. Uses designated as allowable may proceed within the riparian buffer provided that there are no practical alternatives to the requested use pursuant to Item (8) of this Paragraph. These uses require written authorization from the Division or the delegated local authority.
  - (c) ALLOWABLE WITH MITIGATION. Uses designated as allowable with mitigation may proceed within the riparian buffer provided that there are no practical alternatives to the requested use pursuant to Item (8) of this Paragraph and an appropriate mitigation strategy has been approved pursuant to Item (10) of this Paragraph. These uses require written authorization from the Division or the delegated local authority.
  - (d) PROHIBITED. Uses designated as prohibited may not proceed within the riparian buffer unless a variance is granted pursuant to Item

(9) of this Paragraph.

- (8) DETERMINATION OF "NO PRACTICAL ALTERNATIVES." Persons who wish to undertake uses designated as allowable or allowable with mitigation shall submit a request for a "no practical alternatives" determination to the Division or to the delegated local authority. The applicant shall certify that the criteria identified in Sub-Item (8)(a) of this Paragraph are met. The Division or the delegated local authority shall grant an Authorization Certificate upon a "no practical alternatives" determination. The procedure for making an Authorization Certificate shall be as follows:
  - (a) For any request for an Authorization
    Certificate, the Division or the delegated local
    authority shall review the entire project and
    make a finding of fact as to whether the
    following requirements have been met in
    support of a "no practical alternatives"
    determination:
    - (i) The basic project purpose cannot be practically accomplished in a manner that would better minimize disturbance, preserve aquatic life and habitat, and protect water quality.
    - (ii) The use cannot practically be reduced in size or density, reconfigured or redesigned to better minimize disturbance, preserve aquatic life and habitat, and protect water quality.
    - (iii) Best management practices will be used if necessary to minimize disturbance.

preserve aquatic life and habitat, and protect water quality.

- (b) Requests for an Authorization Certificate shall be reviewed and either approved or denied within 60 days of receipt of a complete submission based on the criteria in Sub-Item (8)(a) of this Paragraph by either the Division or the delegated local authority. Failure to issue an approval or denial within 60 days shall constitute that the applicant has demonstrated "no practical alternatives." The Division or the delegated local authority may attach conditions to the Authorization Certificate that support the purpose, spirit and intent of the riparian buffer protection program. Complete submissions shall include the following:
  - (i) The name, address and phone number of the applicant;
  - (ii) The nature of the activity to be conducted by the applicant;
  - (iii) The location of the activity, including the jurisdiction;
  - (iv) A map of sufficient detail to accurately delineate the boundaries of the land to be utilized in carrying out the activity, the location and dimensions of any disturbance in riparian buffers associated with the activity, and the extent of riparian buffers on the land;
  - (v) An explanation of why this plan for the activity cannot be practically accomplished, reduced or reconfigured to better minimize disturbance to the riparian buffer, preserve aquatic life and habitat and protect water quality; and
  - (vi) Plans for any best management practices
    proposed to be used to control the
    impacts associated with the activity.
- (c) Any disputes over determinations regarding
  Authorization Certificates shall be referred to
  the Director for a decision. The Director's
  decision is subject to review as provided in
  Articles 3 and 4 of G.S. 150B.
- (9) VARIANCES. Persons who wish to undertake uses designated as prohibited have the option of pursuing a variance. The Division or the appropriate delegated local authority may grant minor variances. The variance request procedure shall be as follows:
  - (a) For any variance request, the Division or the delegated local authority shall make a finding of fact as to whether the following requirements have been met:
    - (i) There are practical difficulties or unnecessary hardships that prevent compliance with the strict letter of the riparian buffer protection requirements;
    - (ii) The variance is in harmony with the

- general purpose and intent of the State's riparian buffer protection requirements and preserves its spirit; and
- (iii) In granting the variance, the public safety and welfare have been assured water quality has been protected, and substantial justice has been done.
- (b) MINOR VARIANCES. A minor variance request pertains to activities that are proposed only to impact any portion of Zone 2 of the riparian buffer. Minor variance requests shall be reviewed and approved based on the criteria in Sub-Item (9)(a) of this Paragraph by the either the Division or the delegated local authority pursuant to G.S. 153A-Article 18, or G.S. 160A-Article 19. The Division or the delegated local authority may attach conditions to the variance approval that support the purpose, spirit and intent of the riparian buffer protection program. Requests for appeals of decisions made by the Division shall be made to the Office of Administrative Hearings. Request for appeals made by the delegated local authority shall be made to the appropriate Board of Adjustment under G.S. 160A-388 or G.S. 153A-345.
- MAJOR VARIANCES. A major variance (c) request pertains to activities that are proposed to impact any portion of Zone 1 or any portion of both Zones 1 and 2 of the riparian buffer. If the Division or the delegated local authority has determined that a major variance request meets the requirements in Sub-Item (9)(a) of this Paragraph, then it shall prepare a preliminary finding and submit it to the Commission. Preliminary findings on major variance requests shall be reviewed by the Commission within 90 days after receipt by the Director. Requests for appeals of determinations that the requirements of Sub-Item (9)(a) of this Paragraph have not been met shall be made to the Office of Administrative Hearings for determinations made by the Division or the appropriate Board of Adjustments under G.S. 160-388 or G.S. 153A-345 for determinations made by the delegated local authority. The purpose of the Commission's review is to determine if it agrees that the requirements in Sub-Item (9)(a) of this Paragraph have been met. Requests for appeals of decisions made by the Commission shall be made to the Office of Administrative Hearings. The following actions shall be taken depending on the Commission's decision on the major variance request:
  - (i) Upon the Commission's approval, the Division or the delegated local authority

- shall issue a final decision granting the major variance.
- (ii) Upon the Commission's approval with conditions or stipulations, the Division or the delegated local authority shall issue a final decision, which includes these conditions or stipulations.
- (iii) Upon the Commission's denial, the Division or the delegated local authority shall issue a final decision denying the major variance.
- (10) MITIGATION. Persons who wish to undertake uses designated as allowable with mitigation shall meet the following requirements in order to proceed with their proposed use.
  - (a) Obtain a determination of "no practical alternatives" to the proposed use pursuant to Item (8) of this Paragraph.
  - (b) Obtain approval for a mitigation proposal pursuant to 15A NCAC 2B .0242.
- (11) REQUIREMENTS SPECIFIC TO FOREST HARVESTING. The following requirements shall apply for forest harvesting operations and practices.
  - (a) The following measures shall apply in the entire riparian buffer:
    - (i) Logging decks and sawmill sites shall not be placed in the riparian buffer.
    - (ii) Access roads and skid trails shall be prohibited except for temporary and permanent stream crossings established in accordance with 15A NCAC 11 .0203. Temporary stream crossings shall be permanently stabilized after any site disturbing activity is completed.
    - (iii) Timber felling shall be directed away from the stream or water body.
    - (iv) Skidding shall be directed away from the stream or water body and shall be done in a manner that minimizes soil disturbance and prevents the creation of channels or ruts.
    - (v) Individual trees may be treated to maintain or improve their health, form or vigor.
    - (vi) Harvesting of dead or infected trees or application of pesticides necessary to prevent or control extensive tree pest and disease infestation shall be allowed. These practices must be approved by the Division of Forest Resources for a specific site. The Division of Forest Resources must notify the Division of all approvals.
    - (vii) Removal of individual trees that are in danger of causing damage to structures or human life shall be allowed.
    - (viii) Natural regeneration of forest vegetation

- and planting of trees, shrubs, or ground cover plants to enhance the riparian buffer shall be allowed provided that soil disturbance is minimized. Plantings shall consist primarily of native species.
- (ix) <u>High intensity prescribed burns shall not be allowed.</u>
- (x) Application of fertilizer shall not be allowed except as necessary for permanent stabilization. Broadcast application of fertilizer or herbicides to the adjacent forest stand shall be conducted so that the chemicals are not applied directly to or allowed to drift into the riparian buffer.
- (b) In Zone 1, forest vegetation shall be protected and maintained. Selective harvest as provided for this Paragraph is allowed on forest lands that have a deferment for use value under forestry in accordance with G.S. 105-277.2 through 277.6 or on forest lands that have a forest management plan prepared or approved by a registered professional forester. Copies of either the approval of the deferment for use value under forestry or the forest management plan shall be produced upon request. For such forest lands, selective harvest is allowed in accordance with the following:
  - (i) Tracked or wheeled vehicles are not permitted except at stream crossings designed, constructed and maintained in accordance with 15A NCAC II.0203.
  - (ii) Soil disturbing site preparation activities are not allowed.
  - (iii) Trees shall be removed with the minimum disturbance to the soil and residual vegetation.
  - (iv) The following provisions for selective harvesting shall be met:
    - (A) The first 10 feet of Zone 1 directly adjacent to the stream or waterbody shall be undisturbed except for the removal of individual high value trees as defined provided that no trees with exposed primary roots visible in the streambank be cut.
    - (B) In the outer 20 feet of Zone 1, a maximum of 50 percent of the trees greater than five inches dbh may be cut and removed. The reentry time tor harvest shall be no more frequent than every 15 years, except on forest plantations where the reentry time shall be no more frequent than every five years. In either

- case, the trees remaining after harvest shall be as evenly spaced as possible.
- (C) In Zone 2, harvesting and regeneration of the forest stand shall be allowed provided that sufficient ground cover is maintained to provide for diffusion and infiltration of surface runoff.
- (12) REQUIREMENTS SPECIFIC TO LOCAL GOVERNMENTS WITH STORMWATER PROGRAMS FOR NITROGEN CONTROL. Local governments that are required to have local stormwater programs pursuant to 15A NCAC 2B .0235 shall have two options for ensuring protection of riparian buffers on new developments within their jurisdictions as follows.
  - (a) Obtain authority to implement a local riparian buffer protection program pursuant to 15A NCAC 2B .0241.
  - (b) Refrain from issuing local approvals for new development projects unless either:
    - (i) The person requesting the approval does not propose to impact the riparian buffer of a surface water that appears on either the most recent versions of the soil survey maps prepared by the Natural Resources Conservation Service of the United States Department of Agriculture or the most recent versions of the 1:24,000 scale (7.5 minute quadrangle) topographic maps prepared by the United States Geologic Survey (USGS).
    - (ii) The person requesting the approval proposes to impact the riparian buffer of a surface water that appears on the maps described in Sub-Item (12)(b)(i) of this Paragraph and either:
      - (A) Has received an on-site determination from the Division pursuant to Sub-Item (3)(a) of this Paragraph that surface waters are not present;
      - (B) Has received an Authorization
        Certificate from the Division
        pursuant to Item (8) of this
        Paragraph for uses designated as
        Allowable under this Rule;
      - (C) Has received an Authorization
        Certificate from the Division
        pursuant to Item (8) of this
        Paragraph and obtained the
        Division's approval on a
        mitigation plan pursuant to Item
        (10) of this Paragraph for uses
        designated as Allowable with

- Mitigation under this Rule; or
  (D) Has received a variance from the
  Commission pursuant to Item (9)
  of this Paragraph.
- (13) OTHER LAWS, REGULATIONS AND PERMITS.

  In all cases, compliance with this Rule does not preclude the requirement to comply with all federal, state and local regulations and laws.

History Note: Authority G.S. 143-214.1; 143-214.7; 143-215.3(a)(1); S.L. 1995, c. 572;

Temporary Adoption Eff. July 22, 1997;

Temporary Amendment Eff. <u>June</u> <u>22</u>, <u>1999</u>; April 22, 1998; January 22, 1998.

**Rule-making Agency:** Environmental Management Commission

Rule Citation: 15A NCAC 2B .0242

Effective Date: June 22, 1999

Findings Reviewed and Approved by: Julian Mann, 111

**Authority for the rule-making:** G.S. 143-214.1; 143-214.7; 143-215.3(a)(1); Chapter 571, 1995 S.L.; Chapter 221, 1998 S.L.

**Reason for Proposed Action:** The purpose of the Neuse Buffer Rule is to protect the Neuse River Estuary from nuisance environmental conditions such as algal blooms and fish kills resulting from nitrogen over-enrichment. Chapter 572, 1995 Session Laws requires the Environmental Management Commission to develop a strategy to reduce nitrogen inputs to the Neuse River basin by 30 percent. The Neuse Buffer Rule contributes to that reduction goal by protecting one of the most important mechanisms that exists for removing nitrogen-riparian buffers. These vegetated areas adjacent to surface waters (intermittent and perennial streams, lakes, ponds and estuaries) are highly effective at removing nitrogen from surface and subsurface flows. If riparian buffers in the Neuse River basin were not protected, it is highly unlikely that it would be possible to reach a 30 percent overall reduction in nitrogen. The purpose of this particular request for temporary rulemaking certification is to meet the requirements in Chapter 221, 1998 Session Laws. This bill requires the Environmental Management Commission to revise the Neuse River Basin riparian buffer protection program to include options for riparian buffer mitigation. Under these statutory requirements, the Commission approved a new temporary rule to establish a Riparian Buffer Mitigation Program on May 13, 1999.

Comment Procedures: This temporary rule was initiated as a result of public comments received by the NC General Assembly during their consideration of this Rule during the 1998

legislative session. Comments may be directed to Lin Xu at 919-733-5083, ext. 357, Division of Water Quality, Planning Branch, PO Box 29535, Raleigh, NC 27626-0535.

### **CHAPTER 2 - ENVIRONMENTAL MANAGEMENT**

### SUBCHAPTER 2B - SURFACE WATER AND WETLAND STANDARDS

# SECTION .0200 - CLASSIFICATIONS AND WATER QUALITY STANDARDS APPLICABLE TO SURFACE WATERS AND WETLANDS OF NORTH CAROLINA

## .0242 MITIGATION PROGRAM FOR PROTECTION AND MAINTENANCE OF RIPARIAN BUFFERS

The following are the requirements for the Riparian Buffer Mitigation Program.

- (1) PURPOSE. The purpose of this Rule is to set forth the mitigation requirements that apply to the State's riparian buffer protection program.
- (2) <u>APPLICABILITY</u>. This Rule applies to persons who wish to impact a riparian buffer when one of the following applies:
  - (a) A person has received an Authorization Certificate pursuant to 15A NCAC 2B .0233 for a proposed use that is designated as "allowable with mitigation."
  - (b) A person has received a variance pursuant to 15A NCAC 2B .0233 and is required to perform mitigation as a condition of a variance approval.
- (3) THE AREA OF MITIGATION. The required area of mitigation shall be determined by either the Division or the delegated local authority according to the following:
  - (a) The impacts in square feet to each zone of the riparian buffer shall be determined by the Division or the delegated local authority by adding the following:
    - (i) The area of the footprint of the use causing the impact to the riparian buffer.
    - (ii) The area of the boundary of any clearing and grading activities within the riparian buffer necessary to accommodate the use.
    - (iii) The area of any ongoing maintenance corridors within the riparian buffer associated with the use.
  - (b) The required area of mitigation shall be determined by applying the following multipliers to the impacts determined in Subitem (3)(a) of this Paragraph to each zone of the riparian buffer:
    - (i) Impacts to Zone 1 of the riparian buffer shall be multiplied by 3.
    - (ii) Impacts to Zone 2 of the riparian buffer

- shall be multiplied by 1.5.
- (iii) Impacts to wetlands within Zones 1 and 2 of the riparian buffer that are subject to mitigation under 15A NCAC 2H .0506 shall comply with the mitigation ratios in 15A NCAC 2H .0506.
- (4) THE LOCATION OF MITIGATION. The mitigation effort shall be located in the same Nutrient Management Zone of the Neuse River Basin of the proposed impact or lower in the basin. The four Nutrient Management Zones are laid out in the Division's Report, 'Total Maximum Daily Load for Total Nitrogen to the Neuse River Estuary, North Carolina' (February 1999).
- (5) ISSUANCE OF THE MITIGATION
  DETERMINATION. The Division or the delegated local authority shall issue a mitigation determination that specifies the required area and location of mitigation pursuant to Items (3) and (4) of this Paragraph.
- (6) OPTIONS FOR MEETING THE MITIGATION
  DETERMINATION. The mitigation determination
  made pursuant to Item (5) of this Paragraph may be
  met through one of the following options:
  - (a) Payment of a compensatory mitigation fee to the Riparian Buffer Restoration Fund pursuant to Item (7) of this Paragraph.
  - (b) Donation of real property or of an interest in real property pursuant to Item (8) of this Paragraph.
  - (c) Restoration or enhancement of a riparian buffer that is not otherwise required to be protected.

    This shall be accomplished by the applicant after submittal and approval of a restoration plan pursuant to Item (9) of this Paragraph.
- (7) PAYMENT TO THE RIPARIAN BUFFER RESTORATION FUND. Persons who choose to satisfy their mitigation determination by paying a compensatory mitigation fee to the Riparian Buffer Restoration Fund shall meet the following requirements:
  - (a) SCHEDULE OF FEES: The amount of payment into the Fund shall be determined by multiplying the acres or square feet of mitigation determination made pursuant to Item (5) of this Paragraph by ninety six cents (\$0.96) per square foot or forty one thousand six hundred twenty-five dollars (\$41,625) per acre.
  - (b) The required fee shall be submitted to the Division of Water Quality. Wetlands Restoration Program, P.O. Box 29535. Raleigh, NC 27626-0535 prior to any activity that results in the removal or degradation of the protected riparian buffer for which a "no practical alternatives" determination has been made.

- (c) The payment of a compensatory mitigation fee may be fully or partially satisfied by donation of real property interests pursuant to Item (8) of this Paragraph.
- (d) The fee outlined in Sub-item (7)(a) of this Paragraph shall be reviewed every two years and compared to the actual cost of restoration activities conducted by the Department, including site identification, planning, implementation, monitoring and maintenance costs. Based upon this biennial review, revisions to Sub-Item (7)(a) of this Paragraph will be recommended when adjustments to this Schedule of Fees are deemed necessary.
- (8) DONATION OF PROPERTY. Persons who choose to satisfy their mitigation determination by donating real property or an interest in real property shall meet the following requirements:
  - The donation of real property interests may be used to either partially or fully satisfy the payment of a compensatory mitigation fee to the Riparian Buffer Restoration Fund pursuant to Item (7) of this Paragraph. The value of the property interest shall be determined by an appraisal performed in accordance with Sub-Item (8)(d)(iv) of this Paragraph. The donation shall satisfy the mitigation determination if the appraised value of the donated property interest is equal to or greater than the required fee. If the appraised value of the donated property interest is less than the required fee calculated pursuant to Sub-Item (7)(a) of this Paragraph, the applicant shall pay the remaining balance due.
  - (b) The donation of conservation easements to satisfy compensatory mitigation requirements shall be accepted only if the conservation easement is granted in perpetuity.
  - (c) Donation of real property interests to satisfy the mitigation determination shall be accepted only if such property meets all of the following requirements:
    - (i) The property shall be located within an area that is identified as a priority for restoration in the Basinwide Wetlands and Riparian Restoration Plan or shall be located at a site that is otherwise consistent with the goals outlined in the Basinwide Wetlands and Riparian Restoration Plan.
    - (ii) The property shall contain riparian buffers not currently protected by the State's riparian buffer protection program that are in need of restoration.
    - (iii) The restorable riparian buffer on the property shall have a minimum length of 1000 linear feet along a surface water

- and a minimum width of 50 feet as measured horizontally on a line perpendicular to the surface water.
- (iv) The size of the restorable riparian buffer on the property to be donated shall equal or exceed the acreage of riparian buffer required to be mitigated under the mitigation responsibility determined pursuant to Item (3) of this Paragraph.
- (v) The property shall not require excessive measures for successful restoration, such as removal of structures or infrastructure. Restoration of the property shall be capable of fully offsetting the adverse impacts of the requested use.
- (vi) The property shall be suitable to be successfully restored, based on existing hydrology, soils, and vegetation.
- (vii) The estimated cost of restoring and maintaining the property shall not exceed the value of the property minus site identification and land acquisition costs.
- (ix) The property shall not contain cultural or historic resources.
- (x) The property shall not contain any hazardous substance or solid waste.
- (xi) The property shall not contain structures or materials that present health or safety problems to the general public. If wells, septic, water or sewer connections exist, they shall be filled, remediated or closed at owner's expense in accordance with state and local health and safety regulations.
- (xii) The property shall have the potential to remove nitrogen, improve water quality and enhance natural resources after restoration. The Division shall consider whether the property is adjacent to or includes:
  - (A) <u>a Department-approved</u> restoration or preservation project or public lands;
  - (B) a sensitive natural resource, as identified in the Basinwide Wetland and Riparian Restoration Plan:
  - (C) known occurrences of rare species as identified by the North Carolina Natural Heritage Program in the "Natural Heritage Program List of Rare Animal Species of North Carolina" or the "Natural Heritage Program List of the Rare Plant Species of

- North Carolina;"
- significant Natural Heritage Area (D) as identified by the North Carolina Natural Heritage Program in the "North Carolina Natural Heritage Program Biennial Protection Plan, List of Significant Natural Heritage Areas." Copies of these documents may be obtained from the Department of Environment and Natural Resources, Division of Parks and Recreation, Natural Heritage Program, P.O. Box 27687, Raleigh, North Carolina 27611:
- (E) <u>federally or state-listed sensitive</u>, <u>endangered</u>, <u>or threatened</u> <u>species</u>, <u>or their critical habitat</u>;
- (F) non-supporting, partially supporting, or support-threatened waters as designated by the Division pursuant to 40 CFR 131.10(a) through (g). This material is available at the Department of Environment and Natural Resources, Division of Water Quality, Water Quality Section, 512 North Salisbury Street, Raleigh, North Carolina 27604;
- (xiii) The property and adjacent properties shall not have prior, current, and known future land use that would inhibit the function of the restoration effort.
- (xiv) The property shall not have any encumbrances or conditions on the transfer of the property interests.
- (d) At the expense of the applicant or donor, the following information shall be submitted to the Division with any proposal for donations or dedications of interest in real property:
  - (i) <u>Documentation that the property meets</u> the requirements laid out in <u>Sub-Item</u> (8)(c) of this Paragraph.
  - (ii) US Geological Survey 1:24,000 (7.5 minute) scale topographic map, county tax map, USDA Natural Resource Conservation Service County Soil Survey Map, and county road map showing the location of the property to be donated along with information on existing site conditions, vegetation types, presence of existing structures and easements.
  - (iii) A current property survey performed in accordance with the procedures of the

- North Carolina Department of Administration, State Property Office as identified by the State Board of Registration for Professional Engineers and Land Surveyors in "Standards of Practice for Land Surveying in North Carolina." Copies may be obtained from the North Carolina State Board of Registration for Professional Engineers and Land Surveyors, 3620 Six Forks Road, Suite 300, Raleigh, North Carolina 27609.
- (iv) A current appraisal of the value of the property performed in accordance with the procedures of the North Carolina Department of Administration, State Property Office as identified by the Appraisal Board in the "Uniform Standards of Professional North Carolina Appraisal Practice." Copies may be obtained from the Appraisal Foundation, Publications Department, P.O. Box 96734, Washington, D.C. 20090-6734.
- (v) A title certificate.
- (9) RIPARIAN BUFFER RESTORATION OR ENHANCEMENT. Persons who choose to meet their mitigation requirement through riparian buffer restoration or enhancement shall meet the following requirements:
  - (a) The applicant may restore or enhance a ciparian buffer that is not protected under the State's riparian buffer protection program if either of the following applies:
    - (i) The area of riparian buffer restoration is equal to the required area of mitigation determined pursuant to Item (3) of this Paragraph.
    - (ii) The area of riparian buffer enhancement is three times larger than the required area of mitigation determined pursuant to Item (3) of this Paragraph.
  - (b) The location of the riparian buffer restoration or enhancement shall comply with the requirements in Item (4) of this Paragraph.
  - (c) The riparian buffer restoration or enhancement site shall have a minimum width of 50 feet as measured horizontally on a line perpendicular to the surface water.
  - (d) The applicant shall first receive an Authorization Certificate for the proposed use according to the requirements of 15A NCAC 2B .0233. After receiving this determination, the applicant shall submit a restoration or enhancement plan for approval by the Division. The restoration or enhancement plan shall contain the following.

- (i) A map of the proposed restoration or enhancement site.
- (ii) A vegetation plan. The vegetation plan shall include a minimum of at least two native hardwood tree species planted at a density sufficient to provide 320 trees per acre at maturity.
- (iii) A grading plan. The site shall be graded in a manner to ensure diffuse flow through the riparian buffer.
- (iv) A fertilization plan.
- (v) A schedule for implementation.
- (e) Within one year after the Division has approved the restoration or enhancement plan, the applicant shall present proof to the Division that the riparian buffer has been restored or enhanced. If proof is not presented within this timeframe, then the person shall be in violation of the State's or the delegated local authority's riparian buffer protection program.
- (f) The mitigation area shall be placed under a perpetual conservation easement whose terms are acceptable to the Division.
- (g) The applicant shall submit annual reports for a period of five years after the restoration or enhancement showing that the trees planted have survived and that diffuse flow through the riparian buffer has been maintained. The applicant shall be responsible for replacing trees that do not survive and for restoring diffuse flow if needed during that five-year period.

History Note: Authority G.S. 143-214.1; 143-214.7; 143-215.3(a)(1); 1998 S. L., c.221; Temporary Adoption Eff. June 22, 1999.

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Rule-making Agency: DENR - Environmental Management

Rule Citation: 15A NCAC 2D .1805

Effective Date: May 25, 1999

Commission

Findings Reviewed and Approved by: Julian Mann 111

**Authority for the rule-making:** G.S. 143-213; 143-215.3(a)(1); 143-215.65; 143-215.66; 143-215.107(a)(1); 143-215.108

**Reason for Proposed Action:** To repeal Rule 2D .1805, Implementation Plan. The Environmental Management Commission voted not to adopt this rule at its meeting on February 11, 1999 but the version of the rules containing 2D .1805 presented to the EMC prior to this decision was

inadvertently filed and appeared in NCR 13:18.

**Comment Procedures:** Comments on this temporary rule should be sent to:

Mr. Thomas C. Allen Division of Air Quality PO Box 29580 Raleigh, NC 27604-0580 (919) 733-1489 Phone (919) 715-7476 Fax

thom\_allen@aq.ehnr.state.nc.us (email)

### **CHAPTER 2 - ENVIRONMENTAL MANAGEMENT**

# SUBCHAPTER 2D - AIR POLLUTION CONTROL REQUIREMENTS

#### SECTION .1800 - CONTROL OF ODORS

#### .1805 IMPLEMENTATION PLAN

(a) On March 1, 1999, the Division of Air Quality shall have in place an inventory of the State's existing animal operations. This inventory shall be sorted into the following three classes:

- (1) Class I High Nuisance Odor potential;
- (2) Class II Moderate Nuisance Odor potential; and
- (3) Class III Low Nuisance Odor potential.
- (b) The classifications in Paragraph (a) of this Rule shall be made by the Director based on input from Division of Water Quality and Division of Soil and Water Conservation staff using the following criteria:
  - (1) size of animal operation;
  - (2) proximity to other animal operations;
  - (3) proximity to nearest residence or public area not located on the property on which the animal operation is based:
  - (4) overall performance and enforcement history of the operation;
  - (5) records of health concerns related to the operation's odor emissions; and
  - (6) history of complaints.
- (c) The Director may move an animal operation to a different Class based on new information obtained after March 1, 1999.

History Note: Authority G.S. 143-215.3(a)(1): 143-215.107(a)(11);

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Temporary Adoption Eff. March 1, 1999; Temporary Repeal Eff. May 25, 1999.

Rule-making Agency: Commission for Health Services

Rule Citation: 15A NCAC 19A .0401

Effective Date: May 21, 1999

Findings Reviewed by Julian Mann III: Approved

Authority for the rule-making: G.S. 130A-152

**Reason for Proposed Action:** To include both oral and injectable polio in accordance with the Advisory Committee on Immunization Practices. Taking this action will further reduce incidence of vaccine associated polio.

Comment Procedures: Comments, statements, data, and other information may be submitted in writing within 60 days after the day of publication of this issue in the NC Federal Register. Copies of the proposed rule and information packages may be obraiined by contracting the Immunization Program at 919-715-6764. Written comments may be submitted to Barbara Laymon, Immunization Program, PO Box 29597, Raleigh, NC 27626.

### **CHAPTER 19 - HEALTH: EPIDEMIOLOGY**

### SUBCHAPTER 19A - COMMUNICABLE DISEASE CONTROL

### **SECTION .0400 - IMMUNIZATION**

### .0401 DOSAGE AND AGE REQUIREMENTS FOR IMMUNIZATION

- (a) Every individual in North Carolina required to be immunized pursuant to G.S. 130A-152 through 130A-157 shall be immunized against the following diseases by receiving the specified minimum doses of vaccines by the specified ages:
  - (1) Diphtheria, tetanus, and whooping cough vaccine—
    five doses: three doses by age seven months and two
    booster doses, one by age 19 months and the second
    on or after the fourth birthday and before enrolling in
    school (K-1) for the first time. However:
    - (a) An individual who has attained his or her seventh birthday without having been immunized against whooping cough shall not be required to be immunized with a vaccine preparation containing whooping cough antigen:
    - (b) Individuals who receive the first booster dose of diphtheria, tetanus, and whooping cough vaccine on or after the fourth birthday shall not be required to have a second booster dose;
    - (c) Individuals attending school, college or university or who hegan their tetanus/diphtheria toxoid series on or after the age of seven years shall be required to have three doses of tetanus/diphtheria toxoid of which one must have been within the last 10 years.
  - (2) oral Poliomyelitis vaccine--four doses: two doses of trivalent type by age five months; a third dose trivalent type by before age 19 months, and a booster dose of trivalent type on or after the fourth birthday and before enrolling in school (K-1) for the first time. However: two doses of enhanced-potency inactivated poliomyelitis vaccine may be substituted for two

doses of oral poliomyelitis vaccine.

- (a) An individual attending school who has attained his or her 18th birthday shall not be required to receive polio vaccine;
- (b) Individuals who receive the third dose of polio myelitis vaccine on or after the fourth birthday shall not be required to receive a fourth dose;
- (c) The requirements for booster doses of polio myelitis vaccine shall not apply to individuals who enrolled for the first time in the first grade before July 1, 1987.
- (3) Measles (rubeola) vaccine--two doses of live, attenuated vaccine administered at least 30 days apart: one dose on or after age 12 months and before age 16 months and a second dose before enrolling in school (K-1) for the first time. However:
  - (a) An individual who has been documented by serological testing to have a protective antibody titer against measles shall not be required to receive measles vaccine;
  - (b) An individual who has been diagnosed prior to January 1, 1994, by a physician licensed to practice medicine as having measles (rubeola) disease shall not be required to receive measles vaccine;
  - (c) An individual born prior to 1957 shall not be required to receive measles vaccine;
  - (d) The requirement for a second dose of measles vaccine shall not apply to individuals who enroll in school (K-1) or in college or university for the first time before July 1, 1994.
- (4) Ruhella vaccine--one dose of live, attenuated vaccine on or after age 12 months and before age 16 months. However:
  - (a) An individual who has been documented by serologic testing to have a protective antibody titer against rubella shall not be required to receive rubella yaccine;
  - (b) An individual who has attained his or her fiftieth birthday shall not be required to receive rubella yaccine;
  - (c) An individual who entered a college or university after his or her thirtieth birthday and before February 1, 1989 shall not required to meet the requirement for rubella vaccine.
- (5) Mumps vaccine--one dose of live, attenuated vaccine administered on or after age 12 months and before age 16 months. However:
  - (a) An individual born prior to 1957 shall not be required to receive mumps vaccine;
  - (b) The requirements for mumps vaccine shall not apply to individuals who enrolled for the first time in the first grade before July 1, 1987 or in college or university before July 1, 1994. An individual who has been documented by serological testing to have a protective antibody titer against mumps shall not be

required to receive mumps vaccine.

- Haemophilus influenzae, b, conjugate vaccine--three (6)doses of HbOC or two doses of PRP-OMP by before seven months and a booster dose of any type on or after age 12 months and by age 16 months. Individuals born before October 1, 1988 shall not be required to be vaccinated against Haemophilus influenzae, b. Individuals who receive the first dose of Haemophilus influenzae, b, vaccine on or after 12 months of age and before 15 months of age shall be required to have only two doses of HbOC or PRP-OMP. Individuals who receive the first dose of Haemophilus influenzae, b, vaccine on or after 15 months of age shall be required to have only one dose of any of the Haemophilus influenzae conjugate vaccines, including PRP-D. However, no individual their fifth birthday shall be who has passed required to be vaccinated against Haemophilus influenzae, b.
- (7) Hepatitis B vaccine-three doses: one dose by age three months, a second dose by <u>before</u> age five months and a third dose by age 19 months. <u>Individuals born before July 1, 1994 shall not be required to be vaccinated against hepatitis B.</u>

(b) Notwithstanding the requirements of Paragraph (a) of this Rule:

- (1) An individual who has attained his or her seventh birthday without having been immunized against whooping cough shall not be required to be immunized with a vaccine preparation containing whooping cough antigen.
- (2) An individual who has been documented by serologic testing to have a protective antibody titer against rubella shall not be required to receive rubella vaccine:
- (3) An individual who has been diagnosed prior to January 1, 1994, by a physician licensed to practice medicine as having measles (rubeola) disease shall not be required to receive measles vaccine:
- (4) An individual attending school who has attained his or her 18th birthday shall not be required to receive oral polio vaccine:
- (5) An individual born prior to 1957 shall not be required to receive measles or mumps vaccine. An individual who has attained his or her fiftieth birthday shall not be required to receive rubella vaccine. An individual who entered a college or university after his or her thirtieth birthday and before February 1, 1989 shall not be required to meet the requirement for rubella vaccine.
- (6) The requirements for mumps vaccine shall not apply to individuals who enrolled for the first time in the

- first gradebefore July 1, 1987 or in college or university before July 1, 1994. An individual who has been documented by serological testing to have a protective antibody titer against mumps shall not be required to receive mumps vaccine.
- (7) Individuals who receive the first booster dose of diphtheria, tetanus, and whooping cough vaccine on or after the fourth birthday shall not be required to have a second booster dose. Individuals who receive the third dose of oral poliomyelitis vaccine on or after the fourth birthday shall not be required to receive a fourth dose.
- (8) The requirements for booster doses of diphtheria, tetanus, and whooping cough vaccine and oral poliomyelitis vaccine shall not apply to individuals who enrolled for the first time in the first grade before July 1, 1987. However, individuals attending a college or university or who began their tetanus/diphtheria toxoid series on or after the age of seven years shall be required to have three doses of tetanus/diphtheria toxoid of which one must have been within the last 10 years.
- (9) Individuals born before October 1, 1988 shall not be required to be vaccinated against Haemophilus influenzae, b. Individuals who receive the first dose of Haemophilus influenzae, b, vaccine on or after 12 months of age and before 15 months of age shall be required to have only two doses of HbOC- or PRP-OMP. Individuals who receive the first dose of Haemophilus influenzae, b, vaccine on or after 15 months of age shall be required to have only one dose of any of the Haemophilus influenzae conjugate vaccines, including PRP-D. However, no individual who has passed their fifth birthday shall be required to be vaccinated against Haemophilus influenzae, b.
- (10) Individuals born before July 1, 1994 shall not be required to be vaccinated against hepatitis B.
- (11) The requirement for a second dose of measles vaccine shall not apply to individuals who enroll in school (K-1) or in college or university for the first time before July 1, 1994.

History Note: Authority G.S. 130A-152(c); 130A-155.1;

Eff. February 1, 1976;

Amended Eff. July 1, 1977;

Readopted Eff. December 5, 1977;

Filed as a Temporary Amendment Eff. February 1, 1988, for a period of 180 days to expire on July 29, 1988;

Amended Eff. October 1, 1995; October 1, 1994; January 1, 1994; January 4, 1993;

Temporary Amendment Eff. May 21, 1999.

This Section includes the Register Notice citation to Rules approved by the Rules Review Commission (RRC) at its meeting of February 21, 1999 pursuant to G.S. 150B-21.17(a)(1) and reported to the Joint Legislative Administrative Procedure Oversight Committee pursuant to G.S. 150B-21.16. The full text of rules are published below when the rules have been approved by RRC in a form different from that originally noticed in the Register or when no notice was required to be published in the Register. The rules published in full text are identified by an \* in the listing of approved rules. Statutory Reference: G.S. 150B-21.17.

These rules unless otherwise noted, will become effective on the 31st legislative day of the 2000 Session of the General Assembly or a later date if specified by the agency unless a bill is introduced before the 31st legislative day that specifically disapproves the rule. If a bill to disapprove a rule is not ratified, the rule will become effective either on the day the bill receives an unfavorable final action or the day the General Assembly adjourns. Statutory reference: G.S. 150B-21.3.

### APPROVED RULE CITATION

## REGISTER CITATION TO THE NOTICE OF TEXT

12.07 NOD 504

10	NCAC 14V	.4302*
10	NCAC 26H	.0401*
10	NCAC 41E	.0401
10	NCAC 41E	.04030406
10	NCAC 41E	.05010518
10	NCAC 41E	.06010606
10	NCAC 41E	.07010704
10	NCAC 41G	.05010502
10	NCAC 41G	.05040513
10	NCAC 41G	.06010606
10	NCAC 41G	.07010708
10	NCAC 41G	.08010809
10	NCAC 41G	.0902
10	NCAC 41G	.10011002
10	NCAC 41G	.10041013
10	NCAC 41G	.11011106
10	NCAC 41G	.12011208
10	NCAC 41G	.13011309
10	NCAC 41G	.1402
10	NCAC 41R	.01010107
10	NCAC 41S	.0101*
10	NCAC 41S	.0102
10	NCAC 41S	.02010204*
10	NCAC 41S	.03010307
10	NCAC 41S	.04010404
10	NCAC 41S	.0405*
10	NCAC 41S	.04060407
10	NCAC 41S	.0501
10	NCAC 41S	.05020503*
10	NCAC 41S	.05040506
10	NCAC 41S	.06010610
10	NCAC 41S	.0611*
10	NCAC 41S	.0612
10	NCAC 41S	.0613*
10	NCAC 41S	.06140615
10	NCAC 41S	.07010703
10	NCAC 41S	.0704*
10	NCAC 41S	.07050706
10	NCAC 41S	.0707*
10	NCAC 41S	.07080713
10	NCAC 41T	.0101*
10	NCAC 41T	.0102

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10	NCAC 4	41T	.0103*	13:11 NCR 886
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10	NCAC 4	41T	.02010202*	13:11 NCR 886
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10	NCAC :	50B	.0302	13:10 NCR 806
10	NCAC :	50B	.0313*	13:10 NCR 806
15A	NCAC	10F	.0310*	13:11 NCR 905
15A	NCAC	10F	.0342*	13:11 NCR 905
21	NCAC	11	.0109*	13:03 NCR 314

### TITLE 10 - DEPARTMENT OF HEALTH AND HUMAN SERVICES

**CHAPTER 14 - MENTAL HEALTH: GENERAL** 

# SUBCHAPTER 14V - RULES FOR MENTAL HEALTH, DEVELOPMENTAL DISABILITIES, AND SUBSTANCE ABUSE FACILITIES AND SERVICES

#### SECTION .4300 - THERAPEUTIC COMMUNITY

#### .4302 DEFINITIONS

In addition to the terms defined in G.S. 122C-3 and Rule .0103 of this Subchapter, the following terms shall apply:

- (1) "ADD/ADHD" means the same as described in DSM-IV Diagnoses and Codes.
- (2) "Qualified therapeutic community professional" means:
  - (a) a person who has five years of supervised experience in a therapeutic community and has graduated from either a licensed therapeutic community of a therapeutic community accredited by Therapeutic Communities of America, Washington, D.C., or
  - (b) has a bachclor's degree and two years of experience in a licensed 24-Hour residential facility or service for substance abuse, or
  - (c) is a certified substance abuse counselor with two years experience in a licensed 24-Hour residential facility or service for substance abuse, or
  - (d) has ten years of supervised experience in licensed 24-Hour residential facility or service for substance abuse.

History Note: Authority G.S. 143B-147; Eff. August 1, 2000.

CHAPTER 26 - MEDICAL ASSISTANCE

SUBCHAPTER 26H - REIMBURSEMENT PLANS

SECTION .0400 - PROVIDER FEE SCHEDULES

### .0401 PHYSICIAN'S FEE SCHEDULE

- (a) Effective January 1, 1995, (see Paragraph (b) of this Rule) physicians' services whether furnished in the office, the patient's home, a hospital, a nursing facility or elsewhere shall be reimbursed based on the North Carolina Medicaid Fee Schedule, except for payments to the various Medical Faculty Practice Plans of the University of North Carolina Chapel Hill and East Carolina University which shall be reimbursed at cost and cost settled at year end. Effective January 1, 1998, the North Carolina Medicaid Fee Schedule is based on the Medicare Fee Schedule Resource Based Relative Value System (RBRVS), in effect in fiscal year 1997 (as adopted by Medicare at 56 F.R. 59501 (November 25, 1991, effective January 1, 1992, applicable to services furnished beginning January 1, 1992), but with the following clarifications and modifications:
  - (1) A maximum fee is established for each service and is applicable to all specialties and settings in which the service is rendered. Payment is equal to the lower of the maximum fee or the providers customary charge to the general public for the particular service rendered.
  - (2) Fees are established on a statewide basis using the Medicare Geographic Practice Cost Indices for North Carolina.
  - (3) There will be no transition period in applying the Medicaid fees whereas Medicare has a five year phase-in period.
  - (4) Annual changes in the Medicaid payments shall be applied each January 1 and fee increases shall be applied based on the forecasted Gross National Product (GNP) Implicit Price Deflator. Said annual changes in the Medicaid payments shall not exceed the percentage increase granted by the North Carolina General Assembly.
  - (5) Fees for services deemed to be associated with adequacy of access to health care services may be increased based on administrative review. The service must be essential to the health needs of the Medicaid recipients, no other comparable treatment available and a fee adjustment must be necessary to maintain physician participation at a level adequate to meet the needs of Medicaid recipients. A fee may also be decreased based on administrative review if it is determined that the fee may exceed the Medicare allowable amount for the same or similar services, or if the fee is higher than Medicaid fees for similar

- services, or if the fee is too high in relation to the skills, time, and other resources required to provide the particular service.
- (6) Fees for new services are established based on this Rule, utilizing the most recent RBRVS, if applicable. If there is no relative value unit (RVU) available from Medicare, fees shall be established based on the fees for similar services. If there is no RVU or similar service, the fee shall be set at 75 percent of the provider's customary charge to the general public.
- (b) This reimbursement limitation shall become effective in accordance with the provisions of G.S. 108A-55(c). These changes to the Physician's Fee Schedule allowables shall become effective when the Health Care Financing Administration, U. S. Department of Health and Human Services, approves amendment to HCFA by the Director of the Division of Medical Assistance on or about January 1, 1998 as #MA 98-07, wherein the Director proposes amendments of the State Plan to amend Physician's Fee Schedule.

History Note: Authority G.S. 108A-25(b); S.L. 1985, c. 479, s. 86:

Eff. October 1, 1982;

Amended Eff. July 1, 1995; January 4, 1993; June 1, 1990; December 1, 1988:

Temporary Amendment Eff. January 22, 1998;

Temporary Amendment Eff. July 22, 1998;

Amended Eff. August 1, 2000; April 1, 1999.

### **CHAPTER 41 - CHILDREN'S SERVICES**

# SUBCHAPTER 41S - MINIMUM LICENSING STANDARDS FOR RESIDENTIAL CHILD CARE

## SECTION .0100 - GENERAL LICENSING REQUIREMENTS

### .0101 LICENSING ACTIONS

- (a) License.
  - (1) The Department of Health and Human Services shall issue a license when it determines that the residential child-care facility is in compliance with rules in Subchapters 41S and 41T.
  - (2) A license may remain in effect for one year.
  - (3) The Department of Health and Human Services shall automatically provide a 90 day grace period at the expiration date of the license.
  - (4) If licensure materials are submitted after the license expires, but within the 90 day grace period, the Department of Health and Human Services may issue a license one year from the expiration date of the previous license.
- (b) Changes in any information on the license.
  - (1) The Department of Health and Human Services shall change a license during the period of time it is in effect if the change is in compliance with rules in Subchapters 41S and 41T.

- (2) The Department of Health and Human Services shall not change a license during the 90 day grace period.
- (3) A residential child-care facility must notify the Children's Services Section in writing of its request for a change in license, including such information as is necessary to assure that the change is in compliance with the rules in Subchapters 41S and 41T.

### (c) Termination.

- (1) When a residential child-care facility voluntarily discontinues child caring operations, either temporarily or permanently, the residential child care facility must notify the Children's Services Section in writing of the date, reason and anticipated length of closing.
- (2) If a license is not renewed by the end of the 90 day grace period, the Department of Health and Human Services shall automatically terminate the license.
- (d) Adverse Licensure Action.
  - (1) The Department of Health and Human Services shall deny, suspend or revoke a license when a residential child-care facility is not in compliance with the rules in Subchapters 41S and 41T and it determines that compliance cannot be accomplished within a reasonable time as established by the Children's Services Section of the Division of Social Services.
  - (2) The Department of Health and Human Services shall notify a residential child-care facility in writing of the decision to deny, suspend or revoke a license.
  - (3) Appeal procedures specified in 10 NCAC 41A .0007, WAIVER OF LICENSING RULES AND APPEAL PROCEDURES, are applicable for persons seeking an appeal to the Department's decision to deny, suspend or revoke a license.

History Note: Authority G.S. 131D-10.5; 143B-153; <u>Eff. July 1</u>, 2000.

# SECTION .0200 - MINIMUM LICENSURE STANDARDS

### .0201 DEFINITIONS

The following definitions apply to the rules in Subchapters 41S and 41T:

- (1) Case Plan means a written document which describes the social and child welfare services and activities to be provided by the county department of social services in the Family Services Case Plan or other state and local agencies for the purpose of achieving a permanent family relationship for the child.
- (2) Child Caring Institution means a residential child-care facility utilizing permanent buildings located on one site for 10 or more foster children.
- (3) Children's Foster Care Camp means a residential child-care facility which provides foster care at either a permanent camp site or in a wilderness setting.
- (4) Direct Service Personnel means staff responsible for the direct services provided to children and their

- families including, but not limited to, child eare workers, residential counselors, house/teaching parents, social workers, recreation and education staff.
- (5) Director means the person who is in charge of the agency and who is responsible for developing and supervising the program of residential child care and services.
- (6) Emergency Shelter Care means 24 hour care provided in a residential child care facility for a period not to exceed 90 days, in accordance with 10 NCAC 41T .0200.
- (7) Family Time means specific period arranged for a child who resides in a residential child care facility to spend with kin either on-site or away from the residential child care facility.
- (8) Foster Child means an individual less than 18 years of age who has not been emancipated under the provisions of Article 56 of G.S. 7A, or one who is 18 to 21 years of age and continues to reside in the residential child-care facility, who is dependent, neglected, abandoned, destitute, orphaned, delinquent, or otherwise in need of care away from home and not held in detention.
- (9) Full License means a license issued for one year when all minimum licensing requirements are met.
- (10) Group Home means a residential child-care facility operated either under public or private auspices which receives for 24 hour care no more than nine children. This number includes the eare givers' own relatives residing in the home under the ages of 18. The composition of the group shall include no more than two children under the age of two, four children under the age of six, and six children under the age of 12. A group home shall not provide day care, nor shall it be available to adults in the community who wish to rent rooms.
- (11) Individualized Service Plan means a written document which describes a child's needs, goals and objectives in a residential child care facility and the direct services staff tasks and assignments to meet a child's and family's needs, goals and objectives.
- (12) License means permission granted in writing to a corporation, agency or county government by the Department of Health and Human Services to engage in the provision of full time child care or child placing activities based upon an initial determination, and annually thereafter, that such corporation, agency, or a county government has met and complied with minimum standards set forth in this Subchapter.
- (13) Private Agency Residential Child-Care Facility means a residential child care facility under the auspices of a licensed child placing agency or another private residential child care facility.
- (14) Private Residential Child-Care Facility means a residential child care facility under the control, management and supervision of a private non-profit or for-profit corporation, sole proprietorship or

- partnership which operates independently of a licensed child placing agency or any other residential child care facility.
- (15) Provisional License means a license issued for a maximum of six months enabling a facility to operate while some below standard component of the program is being corrected. A provisional license for the same below standard program component shall not be renewed.
- (16) Public Agency Residential Child-Care Facility means a residential child care facility under the auspices of a county department of social services.
- (17) Public Residential Child-Care Facility means a residential child care facility under the control, management and supervision of a county government.
- (18) Visiting Resource means volunteers from the community whose homes children visit on the weekends, holiday or vacations.
- (19) Volunteer means a person working for an agency who is not paid.

### .0202 RESPONSIBILITY TO DIVISION OF SOCIAL SERVICES

- (a) The residential child-care facility shall annually submit to the Division of Social Services the information and materials required by rules in Subchapters 41S and 41T to document compliance and to support issuance of a license.
- (b) The residential child-care facility shall submit to the Division of Social Services an annual statistical report of program activities as required in Subchapters 41S and 41T.
- (c) The residential child-care facility shall provide written notification to the Division of Social Services of a change in the director.
- (d) The office of a residential child care facility shall be maintained within the geographical boundaries of North Carolina. The licensee shall carry out activities under the North Carolina license from this office.
- (e) The current license of a residential child care facility shall be posted at all times in a conspicuous place within the facility.
- (f) When there is a report alleging abuse or neglect in a residential child-care facility, the director or his designee shall immediately notify the Division of Social Services.
- (g) The residential child-care facility shall submit to the Division of Social Services a report on the circumstances of the allegation and results of the investigation of the allegation of abuse or neglect. This report, along with other information, shall be reviewed and evaluated by the Division of Social Services and used in consultation and technical assistance to the residential child-care facility to improve services to protect children in placement in the residential child care facility.
- (h) The residential child-care facility shall have and follow policies and procedures for handling any suspected incidents of child abuse or neglect involving staff. The policies and procedures must include:

- A provision for reporting any allegations of abuse or neglect to the appropriate county department of social services for investigation in accordance with G.S. 7A-543.
- (2) A provision for recording any suspected incident of abuse or neglect and for promptly reporting it to the executive director or to the governing body or advisory board.
- (3) A provision for promptly notifying the Division of Social Services of any allegations of abuse or neglect of any child in care.
- (4) A provision for preventing a recurrence of the alleged incident pending investigation.
- (5) A provision for written notification to the Division of Social Services of any findings of such an investigation of child abuse or neglect, specifying only whether there was substantiation or unsubstantiation of the case.

## .0203 SUBSTANTIATIONS OF NEGLECT AGAINST THE FACILITY

- (a) When there is a substantiation of neglect against the residential child care facility by a county department of social services, a corrective action plan shall be submitted by the director to the Division of Social Services within 30 days of the substantiated report.
- (b) Following the receipt of the corrective action plan, the Division of Social Services may make one unannounced on-site visit to the facility within the 30 days following the receipt of the corrective action plan.
- (c) The Division of Social Services may make subsequent onsite visits at varying frequencies and times throughout the ensuing year to determine that the corrective actions have been implemented.

History Note: Authority G.S. 131D-10.5; 143B-153; Eff. July 1, 2000.

### .0204 LICENSURE PROCEDURES

- (a) Private Residential Child Care Facility Licensure Procedures.
  - (1) The following materials shall be submitted to the Division of Social Services during the application phase:
    - (A) Articles of Incorporation;
    - (B) Bylaws; and
    - (C) Governing board list with addresses, occupations and classes on the board, including officers and terms of officers, if applicable.
  - (2) The following materials shall be submitted to the Division of Social Services during the investigatory phase before an initial license can be issued:
    - (A) License Application and Summary.
    - (B) Program policies and procedures stating the

- purpose, outlining admission criteria, as well as defining areas of responsibilities for services which the facility will assume for children in care and for services to be provided by the referring agency or individual, and discharge criteria.
- (C) Description of the child care program and evaluation method.
- (D) Program forms.
- (E) Budget outlining anticipated costs and sources of revenue for the first year of operation.
- (F) Personnel policies.
- (G) Organizational chart.
- (H) Job descriptions.
- (I) Staff resumes.
- (J) Full and part-time staff work schedules.
- (K) Medical examinations on full and part-time direct-care personnel and any relatives in the home. The medical examinations must be completed by a licensed medical provider within six months prior to the license date.
- (L) Fire and Building Safety Inspection Report (for fewer than seven residents, for seven to nine residents or 10 or more residents), completed and approved by the county building inspector or fire marshal.
- (M) Inspection Form for Residential Care Facilities, completed and approved by the county sanitarian.
- (N) Floor plan indicating room dimensions, usage of each room, window and door locations and sizes and method of heating.
- (O) Written approval from the Division of Facility Services for a design capacity of seven to nine residents or 10 or more residents.
- (3) Division of Social Services shall make one or more visits to the residential child care facility to complete the licensing study.
- (4) Based on information obtained during the investigatory phase, Department of Health and Human Services staff shall evaluate the residential child care facility's proposed program and methods of operation to determine compliance with rules in Subchapters 41S and 41T.
- (5) The Division of Social Services shall notify the residential child-care facility in writing of the licensure decision, conditions of the license and any recommendations regarding the child care program.
- (b) Licensure Procedures for Private Agency, Public Agency and Public Residential Child Care Facilities.
  - (1) The following materials shall be submitted to the Division of Social Services before a license may be issued:
    - (A) License Application and Summary.
    - (B) Program policies and procedures stating purpose, outlining admission criteria, as well as defining areas of responsibilities and discharge

- criteria.
- (C) Description of the child care program and evaluation method.
- (D) Program forms.
- (E) Budget outlining anticipated costs and sources of revenue for the first year of operation.
- (F) Job descriptions.
- (G) Staff resumes.
- (H) Full and part-time staff work schedules.
- (I) Medical examinations on full and part-time direct care personnel and any relatives in the home. The medical reports must be completed by a licensed medical provider within six months prior to the license date.
- (J) Fire and Building Safety Inspection Report (for fewer than seven residents, seven to nine residents, or I0 or more residents), completed and approved by the county building inspector or fire marshał.
- (K) Inspection Form for Residential Care Facilities, completed and approved by the county sanitarian.
- (L) Floor plan indicating room dimensions, usage of each room, window and door locations and sizes and method of heating.
- (M) Written approval from the Division of Facility Services for a design capacity of seven to nine residents or 10 or more residents.
- (2) Department of Health and Human Services staff shall notify the residential child-care facility in writing of the licensure decision, conditions of the license, and any recommendations regarding the child care program.
- (c) Licensure Procedures Following First Year of Operation.
  - (I) Prior to the license expiration date, the Division of Social Services shall notify the residential child care facility in writing of the licensure renewal requirements.
  - (2) The following materials shall be submitted to the Division of Social Services before a license for a residential child care facility can be renewed:
    - (A) License Application and Summary.
    - (B) Governing board list, with addresses, occupations and classes on the board, officers and terms of office, if applicable.
    - (C) Budget outlining anticipated costs and sources of revenue of the next operating year, with estimation of daily cost of care per child for past year.
    - (D) Annual Information Report on Children.
    - (E) Biennial medical reports on full and part-time direct care personnel staff and any relatives in the facility. These physicals must be completed by a licensed medical provider.
    - (F) Health Questionnaire on the year when the physical examination is not required.
    - (G) Fire and Building Safety Inspection Report (for

- fewer than seven residents, seven to nine residents, or 10 or more residents), completed and approved by the county building inspector or fire marshal.
- (H) Inspection Form for Residential Care Facilities, completed and approved by the county sanitarian.
- (I) Updated or revised materials to include, but not limited to, policies, procedures, forms, or amendments to Bylaws or Articles.
- (J) Independent annual financial audit, if a private residential child care facility.
- (3) With the exception of residential child care facilities which are accredited by the Council on Accreditation, the Division of Social Services shall annually conduct onsite visits to private residential child-care facilities and conduct desk reviews of the licensure materials of a private agency, public agency or public residential child care facilities to determine if minimum licensure standards for residential child care facilities continue to be met.
- (4) For residential child care facilities which are accredited by the Council on Accreditation, the Division of Social Services shall conduct onsite visits to these residential child care facilities every other year in accordance with the agreement between the Division of Social Services and the Council on Accreditation.

### SECTION .0400 - PERSONNEL

### .0405 PERSONNEL POSITIONS

- (a) Director. There shall be a full time director for an agency with one or more facilities licensed for 20 or more children. At a minimum, there shall be a part-time director for an agency with one or more facilities licensed for less than 20 children.
- (b) A director shall have management skills that enable the effective utilization of the residential child care facility's human and financial resources and the coordination of the facility's services with those provided by other community resources.
  - (c) The director shall:
  - (1) Be responsible for the general management and administration of the residential child care facility in accordance with licensing requirements and policies established by the governing board;
  - (2) Interpret licensing standards, established child care standards and the residential child care facility's services to the board, the facility's constituency, other human service agencies and the public;
  - (3) Initiate and carry out the program of residential child care as approved by the governing board:
  - (4) Report to the governing board on all phases of operation at least quarterly:
  - (5) Delegate authority and responsibility to qualified staff

- to ensure the maintenance of the residential child care facility's operations;
- (6) Establish and oversee fiscal practices, present the annual operating budget and quarterly reports to the governing board;
- (7) Evaluate, at least annually, the training needs of the staff; plan and implement staff training and consultation to address identified needs;
- (8) Employ and discharge staff and meet on a regular basis with administrative and management staff to review, discuss and formulate policies and procedures; and
- (9) Supervise all staff responsible to the director's position and conduct an annual written evaluation which shall contain both a review of job responsibilities and goals for future job performance.
- (d) Clerical, Maintenance and Other Support Personnel. The residential child care facility shall employ or contract qualified personnel to perform all clerical, support and maintenance duties.
- (e) Business and Financial Personnel. The residential child care facility shall employ or contract personnel to perform all business, accounting and financial functions.
  - (f) Direct Service Personnel.
    - (1) Direct service personnel, hired after the effective date of these Rules, shall:
      - (A) Have education or experience to meet the responsibilities of the job;
      - (B) Have a physical examination by a licensed medical provider prior to assuming the position and biennially thereafter. This examination shall include a TB test, unless the medical provider advises against this test. This examination shall include a statement indicating the presence of any communicable disease which may pose a significant risk of transmission in the residential child care facility. After the initial examination, the cost of the physical examinations as required by licensure shall be at the expense of the facility.
    - (2) Standards for direct service personnel in the living unit:
      - (A) There shall be at least one direct service personnel assigned to every 10 children six years of age and older and at least one direct care personnel assigned for every five children younger than six years of age. Supervisory personnel within voice range shall be allowed to be included in the child to staff ratio:
      - (B) The residential child care facility shall ensure that direct services personnel receive supervision and training in the areas of, but not limited to, first aid and CPR, child development, permanency planning methodology, group management, preferred discipline techniques, family relationships, human sexuality, health care and socialization,

- leisure time and recreation. In addition, the residential child care facility shall provide training to direct service personnel in accordance with the needs of the client population, including but not limited to, training in child sexual abuse;
- (C) The child care facility shall ensure that direct service personnel receive supervision in food preparation and nutrition when meals are prepared in the living unit; and
- (D) Any duties other than direct services duties assigned to direct service personnel shall be specified in writing and assigned in accordance with the residential child care program.
- (3) Standards for supervisory personnel:
  - (A) There shall be at least one supervisor for every 15 direct service personnel.
  - (B) Supervisory staff shall be selected on the basis of the knowledge, experience and competence required to manage direct service personnel.
- (4) Standards for other direct service personnel: For residential child care facilities that employ staff as social workers, the following applies:
  - (A) There shall be at least one social worker assigned for every 16 children and, for every six social workers, at least one supervisor shall be assigned:
  - (B) The residential child care facility shall ensure that staff employed as social workers receive supervision and training in the areas of, but not limited to, child development, permanency planning methodology, group dynamics, family systems and relationships, and child sexual abuse;
  - (C) Staff employed as social workers shall be familiar with community resources for children and their families in addition to the agency's incare services; and
  - (D) Any duties other than social work duties assigned to staff employed as social workers shall be specified in writing and assigned in accordance with the residential child care program.

### **SECTION .0500 - SERVICE PLANNING**

### .0502 ADMISSION PROCEDURES

- (a) The residential child care facility shall establish and implement an intake process which includes:
  - receipt of an application and a face-to-face interview with the child, legal custodian, and family whenever possible, with a specific effort to help the child understand the purpose of and need for out of home care and residential services;

- (2) an exchange of information about the facility's program and the child's needs, and to provide written information required in 10 NCAC 41S .0307(a) and 10 NCAC 41S .0504(a).
- (b) A written intake study shall be completed for each child accepted into residential care which includes:
  - (1) circumstances that led to the need for placement, and the child's understanding of the placement;
  - (2) assessment of family issues and justification that the facility meets the needs of the child and family;
  - (3) short term placement goals and long range permanent plan, including the parent(s)/legal custodian's expectations;
  - (4) description of the child's family and significant others;
  - (5) description of the child's behavior;
  - (6) child specific information, including:
    - (A) medical history, including any current medical problems;
    - (B) developmental history and current level of functioning;
    - (C) educational history, if applicable; and
    - (D) the results of current psychological testing, if applicable.

### .0503 ADMISSION AGREEMENT

- (a) At admission, the residential child care facility must develop a written agreement between the parents or legal custodian and the facility which specifies the services to be provided by the facility and the responsibilities of the parents or legal custodian which includes the following:
  - (1) statement of consent for placement by the parents or legal custodian, with the date of admission;
  - (2) plan for providing admission information on the child'scare, developmental, educational, medical, and psychological needs to the parent(s) or legal custodian, the frequency of service plan reviews, and receipt of program information required by 10 NCAC 41S .0307(a) and 10 NCAC 41S .0504(a);
  - (3) statement of facility responsibility for working with the child's parents;
  - (4) statement related to the provision of religious training and practices and consent to these by the parents or legal custodian;
  - (5) plan for family time;
  - (6) fees and plan for payment of care;
  - (7) plan for discharge to include projected length of stay; and
  - (8) statement of facility responsibility for aftercare services.
- (b) For youths 18 years of age and older residing in the residential child care facility, the facility shall obtain a voluntary placement consent signed by the youth which specifies the conditions for continued residential care and services.

History Note: Authority G.S. 131D-10.5; 143B-153; <u>Eff. July 1</u>, 2000.

### SECTION .0600 - SERVICE DELIVERY

#### .0611 PERSONAL POSSESSIONS AND MONEY

- (a) The residential child care facility shall allow each child to bring and acquire personal belongings, but may supervise or limit the use of these items while the child is in care.
- (b) The residential child care facility shall ensure that each child has clean, well-fitting, attractive, seasonal clothing appropriate to the age, sex and individual needs of each child.
- (c) The residential child care facility shall ensure that each child has individual items necessary for personal hygiene and grooming.
- (d) The residential child care facility shall provide opportunities for each child to learn the value and use of money.
- (e) The residential child care facility shall provide security measures for each child's money separate from the agency's financial accounts.

History Note: Authority G.S. 131D-10.5; 143B-153; Eff. July 1, 2000.

### .0613 DISCIPLINE AND BEHAVIOR MANAGEMENT

- (a) The residential child care facility shall have written policies and procedures on discipline and behavior management which shall be provided to and discussed with all children, parents or legal custodians, which include:
  - Proactive means for interacting with and teaching children which emphasize praise and encouragement for exhibiting self control and desired behavior; and
  - (2) Methods for protecting children and others when a child is out of control.
- (b) The residential child care facility shall implement standards for behavior which are reasonable and developmentally appropriate.
- (c) The residential child care facility shall not engage in discipline or behavior management which includes:
  - (1) Corporal/physical punishment;
  - (2) Cruel, severe, or humiliating actions:
  - (3) Discipline of one child by another child;
  - (4) Denial of food, sleep, clothing or shelter;
  - (5) Denial of family contact, including family time, telephone or mail contacts with family;
  - (6) Assignment of extremely strenuous exercise or work;
  - (7) Verbal abuse or ridicule:
  - (8) Chemical, mechanical, or physical restraints except as specified in 10 NCAC 41S .0613(e); or
  - (9) Locked rooms.
- (d) The residential child care facility shall prohibit isolation as a behavioral control measure except when the facility provides it in an unlocked room within hearing distance of a staff member and the length of time alone is appropriate to the child's age and stages of development.
- (e) If physical restraints are used, the residential child care facility shall have written policies and procedures on the types

of and use of physical restraints which shall be discussed with each child, parents or legal custodian prior to or upon admission. The facility shall train and supervise staff in the safe use of physical restraint. The facility shall documents each incident of physical restraint on an incident report which shall be filed in the child's record. The facility shall assign supervisory staff to review and initial each incident report within 24 hours of the physical restraint to evaluate that the correct steps were followed by the direct child care staff who applied the physical restraint.

History Note: Authority G.S. 131D-10.5; 143B-153; Eff. July 1, 2000.

## SECTION .0700 - BUILDINGS, GROUNDS AND EQUIPMENT

### .0704 FIRE AND BUILDING SAFETY

- (a) Each building shall have a non-pay telephone available at all times. Emergency telephone numbers shall be posted at the telephone.
- (b) Care must be exercised by the staff in allowing children to use matches or to handle combustible materials.
- (c) Emergency plans and fire evacuation plans approved by the local fire authority must be developed and posted on each floor of each building.
- (d) Fire drills must be held monthly at different times during the day and quarterly at night for both children and staff. Documentation of fire drills must be kept.
- (e) The staff and children residing in a facility must be trained in the proper reporting of a fire and the ways of escaping from a fire. New residents must be instructed within the first day upon admittance.
- (f) For every 2,500 square feet of floor area or portion thereof and for each floor there must be at least one fire extinguisher. Fire extinguishers must be provided in accordance with the standards of the National Fire Protection Association Standard for Portable Fire Extinguishers NFPA Number 10. They must be inspected annually and kept charged and filled at all times in accordance with NFPA Number 10. NFPA Number 10 is hereby incorporated by reference including subsequent amendments and additions. The NFPA Number 10 may be obtained from NFPA, 11 Tracy Drive, Avon, Massachusetts, 02322 at a cost of twenty four dollars and seventy-five cents (\$24.75).
- (g) For seven or more residents, each floor level must be separated from other floors in accordance with the requirements of the applicable building code, and by not less than walls and a solid core, self-closing, 20 minute fire-rated door.
- (h) Each floor level must be provided with a minimum of two remotely-located exits.
- (i) Fire exits and all exit-access paths including doors, hallways, and stairs, must be well lighted and kept clear of obstructions.
- (j) No locks shall be installed on exit or room doors which would prevent occupants from getting out of the building by the simple operation of a single knob or lever.
  - (k) Emergency lighting must be provided for exiting from the

building.

- (1) Windows in children's bedrooms must be openable without the use of keys or special tools.
- (m) Fire alarm and smoke detector systems must be installed in each child care facility in accordance with the North Carolina State Building Code, be audible throughout the building, be kept in working order and be readily identifiable by the staff and children.
- (n) All electrical and heating equipment must be UL listed or be listed by a testing agency recognized by the NC Department of Insurance; the electrical wiring in the building must conform to the requirements stated in the National Electrical Code for the applicable occupancy. The National Electrical Code is hereby incorporated by reference including subsequent amendments and additions. The National Electrical Code may be obtained from the Department of Insurance, Code Council Section, 410 Boylan Avenue, Raleigh, North Carolina 27603 at a cost of forty-five dollars (\$45.00).
- (o) Hot water at fixtures used by residents for bathing or hand-washing must be maintained at a minimum of 100 degrees Fahrenheit and a maximum of not more than 116 degrees Fahrenheit.

History Note: Authority G.S. 131D-10.5; 143B-153; Eff. July 1, 2000.

#### .0707 SLEEPING AREAS

- (a) Bedrooms in existing facilities licensed before October 31, 1977 must provide a minimum of 60 square feet of floor space for each child in multi-occupancy bedrooms and a minimum of 80 square feet of floor space in single-occupancy bedrooms. Floor area shall not include closets we wardrobes.
- (b) Except as provided in Paragraph (c) of this Rule, bedrooms in facilities licensed or developed after October 31, 1977 must provide a minimum of 80 square feet of floor space for each child in multi-occupancy bedrooms and a minimum of 100 square feet of floor space in single-occupancy bedrooms. Floor area shall not include closets or wardrobes.
- (c) Bedrooms in facilities housing children with a maximum stay of not more than 15 days must provide a minimum of 60 square feet of floor space for each child in multi-occupancy bedrooms and a minimum of 80 square feet of floor space in single-occupancy bedrooms. Floor area shall not include closets or wardrobes.
- (d) The only door access to a bedroom must not be through another bedroom.
  - (e) No child may share a bedroom with a staff member.
  - (f) No bedroom shall house more than four children.
- (g) Children of different sexes more than five years of age must not share a bedroom.
  - (h) Each child must have a bed of his or her own.
- (i) Bunk beds shall be limited to no more than one hed above the other bed at least four feet apart vertically
- (j) Beds must be at least three feet apart at the head, foot and sides; bunk beds must be at least five feet apart, horizontally.
  - (k) Each bed must be provided with a mattress in good repair.
  - (1) No day-bed, convertible sofa or other bedding of a

temporary nature may be used.

- (m) Bedrooms must be provided with a minimum of 48 cubic feet of closet or wardrobe space per child and four cubic feet of drawer space per child.
- (n) Each bedroom must be provided with window area equal to eight percent of the floor area of the room.

History Note: Authority G.S. 131D-10.5; 143B-153; Eff. July 1, 2000.

#### SUBCHAPTER 41T - MINIMUM LICENSING STANDARDS FOR SPECIALIZED RESIDENTIAL CHILD CARE PROGRAMS

### SECTION .0100 - CHILDREN'S FOSTER CARE CAMPS

#### .0101 APPLICABILITY

In addition to the rules in 10 NCAC 41S, the rules in this Section shall apply to all persons licensed or seeking licensure for a children's foster care camp as defined in 10 NCAC 41S .0201.

History Note: Authority G.S. 131D-10.5; 143B-153; Eff. July 1, 2000.

#### .0103 PERSONNEL

- (a) Direct service personnel and supervisory personnel, in addition to supervision and training specified in 10 NCAC 41S .0405(f)(2)(B), shall be provided supervision and training in the following areas:
  - (1) rescue evacuation, updated every three years; and
  - (2) basic emergency water safety course, with certification documented in the camp files and updated every three years.
- (b) There shall be a minimum of two counselors with certification in Basic Rescue and Water Safety for each 10 children participating in activities involving water, including, but not limited to: swimming, boating, canoeing, and rafting.

History Note: Authority G.S. 131D-10.5; 143B-153; Eff. July 1, 2000.

## SECTION .0200 - EMERGENCY SHELTER CARE PROGRAM

#### .0201 APPLICABILITY

In addition to the rules in 10 NCAC 41S, the rules in this Section shall apply to all persons licensed or seeking licensure for an emergency shelter care program as defined in 10 NCAC 41S .0201.

History Note: Authority G.S. 131D-10.5; 143B-153; <u>Eff. July 1, 2000.</u>

#### .0202 ADMISSION PROCEDURES

(a) At the time of admission, the residential child care facility

shall obtain the following information:

- (1) The name, sex, race, birth date and birth place of the child:
- (2) When available, the parents' names, addresses, telephone numbers, hirth dates, races, religion and marital status;
- (3) When available, the names, addresses and telephone numbers of siblings and other significant relatives; a record of the child's prior placements with names of care givers, addresses and dates of care; and
- (4) If the child has had prior placements, the names of care-givers, addresses and dates of prior placements.
- (b) Within 72 hours of admission, the facility shall obtain the following:
  - (1) a written agreement for admission from the legal custodian:
  - (2) consent for release of information;
  - (3) consent for emergency medical treatment; and
  - (4) consent for family time/visitation.
- (c) Within two weeks of admission, the facility shall obtain the following:
  - (1) Report of the physical examination in accordance with 10 NCAC 41S .0604(1)(a); and
  - (2) A social summary which includes background information on the child, his/her family, his/her presenting problems, and current circumstances.

History Note: Authority G.S. 131D-10.5; 143B-153; Eff. July 1, 2000.

#### **CHAPTER 50 - MEDICAL ASSISTANCE**

# SUBCHAPTER 50B - ELIGIBILITY DETERMINATION

#### SECTION .0300 - CONDITIONS FOR ELIGIBILITY

#### .0313 INCOME

- (a) For family and children's cases, income from the following sources shall be counted in the calculation of financial eligibility:
  - (1) Unearned.
    - (A) RSDI,
    - (B) Veteran's Administration,
    - (C) Railroad Retirement,
    - (D) Pensions or retirement benefits,
    - (E) Workmen's Compensation,
    - (F) Unemployment Compensation,
    - (G) Support Payments,
    - (H) Contributions,
    - (I) Dividends or interest from stocks, bonds, and other investments.
    - (J) Trust fund income,
    - (K) Private disability or employment compensation,
    - (L) That portion of educational loans, grants, and scholarships for maintenance,

- (M) Work release,
- (N) Lump sum payments,
- (O) Military allotments,
- (P) Brown Lung Benefits,
- (Q) Black Lung Benefits,
- (R) Trade Adjustment benefits,
- (S) SSI when the client is in long term care,
- (T) VA Aid and Attendance when the client is in long term care,
- (U) Foster Care Board payments in excess of state maximum rates for M-AF clients who serve as foster parents,
- (V) Income allocated from an institutionalized spouse to the client who is the community spouse as stated in 42 U.S.C. 1396r-5(d),
- (W) Income allowed from an institutionalized spouse to the client who is a dependent family member as stated in 42 U.S.C. 1396r-5(d),
- (X) Sheltered Workshop Income,
- (Y) Loans if repayment of a loan and not counted in reserve,
- (Z) Income deemed to Family and Children's elients.
- (2) Earned Income.
  - (A) Income from wages, salaries, and commissions,
  - (B) Farm Income,
  - (C) Small business income including self-employment,
  - (D) Rental income,
  - (E) Income from roomers and boarders,
  - (F) Earned income of a child client who is a part-time student and a full-time employee.
  - (G) Supplemental payments in excess of state maximum rates for Foster Care Board payments paid by the county to Family and Children's clients who serve as foster parents,
  - (H) VA Aid and Attendance paid to a budget unit member who provides the aid and attendance.
- (3) Additional sources of income not listed in Subparagraphs (a)(1) or (2) of this Rule shall be considered available unless specifically excluded by Paragraph (b) of this Rule, or by regulation or statute.
- (b) For family and children's cases, income from the following sources shall not be counted in the calculation of financial eligibility:
  - (1) Earned income of a child who is a part-time student but is not a full-time employee;
  - (2) Earned income of a child who is a full-time student;
  - (3) Incentive payments and training allowances made to WIN training participants;
  - (4) Payments for supportive services or reimbursement of out-of-pocket expenses made to volunteers serving as VISTA volunteers, foster grandparents, senior health aides, senior companions, Service Corps of Retired Executives, Active Corps of Executives, Retired Senior Volunteer Programs, Action Cooperative

- Volunteer Program, University Year for Action Program, and other programs under Titles I, II, and III of Public Law 93-113;
- (5) Foster Care Board payments equal to or below the state maximum rates for Family and Children's clients who serve as foster parents;
- (6) Income that is unpredictable, i.e., unplanned and arising only from time to time. Examples include occasional yard work and sporadic babysitting;
- (7) Relocation payments;
- (8) Value of the coupon allotment under the Food Stamp Program;
- (9) Food (vegetables, dairy products, and meat) grown by or given to a member of the household. The amount received from the sale of home grown produce is earned income:
- (10) Benefits received from the Nutrition Program for the Elderly;
- (11) Food Assistance under the Child Nutrition Act and National School Lunch Act;
- (12) Assistance provided in cash or in kind under any governmental, civic, or charitable organization whose purpose is to provide social services or vocational rehabilitation. This includes V.R. incentive payments for training, education and allowance for dependents, grants for tuition, chore services under Title XX of the Social Security Act, VA aid and attendance or aid to the home bound if the individual is in a private living arrangement;
- (13) Loans or grants such as the GI Bill, civic, honorary and fraternal club scholarships, loans, or scholarships granted from private donations to the college, etc., except for any portion used or designated for maintenance;
- (14) Loans, grants, or scholarships to undergraduates for educational purposes made or insured under any program administered by the U.S. Department of Education;
- (15) Benefits received under Title VII of the Older Americans Act of 1965;
- (16) Payments received under the Experimental Housing Allowance Program (EHAP);
- (17) In-kind shelter and utility contributions paid directly to the supplier. For Family and Children's cases, shelter, utilities, or household furnishings made available to the client at no cost;
- (18) Food/clothing contributions in Family and Children's cases (except for food allowance for persons temporarily absent in medical facilities up to 12 months);
- (19) Income of a child under 21 in the budget unit who is participating in JTPA and is receiving as a child;
- (20) Housing Improvement Grants approved by the N.C. Commission of Indian Affairs or tunds distributed per capital or held in trust for Indian tribe members under P.L. 92-254, P.L. 93-134 or P.L. 94-540;
- (21) Payments to Indian tribe members as permitted under

- P.L. 94-114;
- (22) Payments made by Medicare to a home renal dialysis patient as medical benefits;
- (23) SSI except for individuals in long term care;
- (24) HUD Section 8 benefits when paid directly to the supplier or jointly to the supplier and client;
- (25) Benefits received by a client who is a representative payee for another individual who is incompetent or incapable of handling his affairs. Such benefits must be accounted for separate from the payee's own income and resources;
- (26) Special one time payments such as energy, weatherization assistance, or disaster assistance that is not designated as medical;
- (27) The value of the U.S. Department of Agriculture donated foods (surplus commodities);
- (28) Payments under the Alaska Native Claims Settlement Act, Public Law 92-203;
- (29) Any payment received under Title II of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970;
- (30) HUD Community Development Block Grant funds received to finance the renovation of a privately owned residence:
- (31) Reimbursement for transportation expenses incurred as a result of participation in the Community Work Experience Program or for use of client's own vehicle to obtain medical care or treatment;
- (32) Adoption assistance;
- (33) Incentive payments made to a client participating in a vocational rehabilitation program;
- (34) Title XX funds received to pay for services rendered by another individual or agency;
- (35) Any amount received as a refund of taxes paid.
- (36) The first fifty-dollars (\$50) of each child support/spousal obligation or military allotment paid monthly to the budget unit in a private living arrangement.
- (c) For aged, blind, and disabled cases, income counted in the determination of financial eligibility is based on standards and methodologies in Title XVI of the Social Security Act.
- (d) For aged, blind, and disabled cases, income from the following sources shall not be counted:
  - Any Cost of Living Allowance (COLA) increase or receipt of RSDI benefit which resulted in the loss of SSI for those individuals described in Item (17) of Rule .0101 of this Subchapter.
  - (2) Earnings for those individuals who have a plan for achieving self-support (PASS) that is approved by the Social Security Administration.
- (e) Income levels for purposes of establishing eligibility are those amounts approved by the N.C. General Assembly and stated in the Appropriations Act for categorically needy and medically needy classifications, except for the following:
  - (1) The income level shall be reduced by one-third when an aged, blind or disabled individual lives in the household of another person and does not pay his

- proportionate share of household expenses. The onethird reduction shall not apply to children under nineteen years of age who live in the home of their parents;
- (2) An individual living in a long term care facility or other medical institution shall be allowed as income level deduction for personal needs described under Rule .0314 (Personal Needs Allowance) of this Subchapter;
- (3) The categorically needy income level for an aged, blind, and disabled individual or couple is the SSI individual or couple amount. This is the current Federal Benefit Rate (FBR).
- (4) The income level to be applied for Qualified Medicare Beneficiaries described in 42 U.S.C. 1396d and individuals described in 42 U.S.C. 1396e is based on the income level for one; or two for a married couple who live together and both receive Medicare.

History Note: Filed as a Temporary Rule Effective July 1, 1987, for a period of 120 days to expire on October 31, 1987; Authority G.S. 108A-25(b); 108A-61; 42 C.F.R. 435.135; 42 C.F.R. 435.731; 42 C.F.R. 435.831; 42 C.F.R. 435.733; 42 C.F.R. 435.811; 42 C.F.R. 435-812; 42 U.S.C 1383c(d); 45 C.F.R. 233.20; P.L. 99-272; Section 12202; Alexander v. Flahterty Consent Order filed February 14, 1992; Eff. September 1, 1984;

Amended Eff. <u>August 1, 2000;</u> January 1, 1996; January 1, 1995; September 1, 1994; September 1, 1993; April1, 1993.

# TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

# CHAPTER 10 - WILDLIFE RESOURCES AND WATER SAFETY

# SUBCHAPTER 10F - MOTORBOATS AND WATER SAFETY

# SECTION .0300 - LOCAL WATER SAFETY REGULATIONS

#### .0310 DARE COUNTY

- (a) Regulated Areas. This Rule applies to the following waters and portions of waters:
  - Manteo. Doughs Creek adjacent to Shallowhag Bay and all canals situated within the territorial limits of the Town of Manteo.
  - (2) Hatteras.
    - (A) The waters of Hatteras Harbor and Muddy Creek bounded on the north and south by the high-water mark, on the west by a straight line between channel markers number 20 and 17, and on the east by the mouth of Muddy Creek at Sandy Bay.
    - (B) The waters of Hatteras Inlet North Dock ferry

basin and the Hatteras Landing channel, including the vicinity of Coast Guard Beacon Number One in the approach channel as delineated by appropriate markers.

- (3)Mann's Harbor. The waters of Ferry Dock Road Canal.
- (4)Nags Head:
  - Those waters contained within the canals of Old Nags Head Cove Development;
  - (B) The Roanoke Sound inlets at Pond Island on either side of Marina Drive extending north from US 64-264.
- Wanchese: (5)
  - (A) The waters of Wanchese Harbor;
  - The Canal from its beginning where it connects (B) with the Roanoke Sound south of the dead end road SR 1141 extending northwest roughly parallel to SR 1141 and SR 1142, then westward roughly parallel to NC 345. and finally curving to the southwest roughly parallel to the C.B. Daniels Road to its end.
- Stumpy Point Canal. That portion of Stumpy Point (6)Canal beginning at the Wildlife Resources Commission boating access area and extending inland for a distance of 3,600 feet.
- (7) Stumpy Point Basin. That portion of the Stumpy Point Basin, at the head of the Stumpy Point Bay, which is next to Highway 264 in the dock area and designated by the appropriate markers.
- Town of Southern Shores. The waters contained in (8)the canals and lagoons within the territorial limits of the Town of Southern Shores.
- (9)Colington Harbour. The waters contained in the canals of Colington Harbour.
- Kitty Hawk. Those waters contained in the canals of (10)Kitty Hawk Landing Subdivision.
- (11)Washington Baum Bridge. Those waters of the Roanoke Sound from marker 24B north of the bridge to marker 24A south of the bridge, and 50 yards east of the navigation span west to the shore as designated by the appropriate markers.
- (12)Colington Island. The waters contained in an area beginning at the bath house and recreation center on the western shore of Colington Island, running 600 feet in a northerly direction and extending 300 feet into Albemarle Sound as marked.
- (13)The waters of Baum Bay Harbor.
- (14)The waters of High Bridge Creek.
- (15)Mill Creek - The waters of Pamlico Sound at Mill Creek near Avon as delineated by appropriate markers.
- (16)Ginguite Creek - The waters of Ginguite Creek from Highway 158 north to Lot 15 in Martins Point Subdivision.
- Marina Canal The waters of Marina Canal starting (17)at the mouth of the canal from the Pamlico Sound to the boat basin adjoining Palmetto Shores Subdivision.

- (b) Speed Limit. No person shall operate any motorboat or vessel at greater than no-wake speed within any of the regulated areas described in Paragraph (a) of this Rule.
- (c) Restricted Swimming Area. No person operating or responsible for the operation of any vessel, surfboard, water skis, or jet skis shall permit the same to enter any marked swimming area described in Subparagraph (12) of Paragraph (a) of this Rule.
- (d) Placement and Maintenance of Markers. Subject to the approval of the United States Coast Guard and the United States Army Corps of Engineers, the following agencies are designated suitable agencies for placement and maintenance of markers implementing this Rule as to the regulated areas listed in the several Subparagraphs of Paragraph (a) of this Rule:
  - the Board of Commissioners of the Town of Manteo as to the areas indicated in Subparagraph (1);
  - (2) the Board of Commissioners of Dare County as to the areas indicated in Subparagraphs (2) through (7), (9), (11), (13), (15), (16) and (17).
  - the Board of Commissioners of the Town of Southern (3) Shores as to the areas indicated in Subparagraph (8);
  - the Board of Commissioners of the Town of Kitty (4) Hawk as to the areas indicated in Subparagraph (10) and (14).

History Note: Authority G.S. 75A-3; 75A-15;

Eff. February 1, 1976;

Amended Eff. April 1, 1997; December 1, 1994; May 1, 1994; March 1, 1993; May 1, 1988;

Temporary Amendment Eff. February 1, 1999; July 1, 1998; Amended Eff. July 1, 2000; April 1, 1999.

#### .0342 CATAWBA COUNTY

- (a) Regulated Areas. This Rule applies to the following waters of Lake Hickory:
  - (1)the public fishing pier located at the old Wildlife Club off 12th Street Drive, NW, City of Hickory;
  - the shores of the Dixie Boat Club, Inc.; (2)
  - (3)that area within 50 yards of the Moore's Ferry Boat Marina and Boathouse on 44th Avenue, Circle NW;
  - (4)the cove entering the Lake Hickory RV Resort/Marina as delineated by appropriate markers.
- (b) Speed Limit. No person shall operate a vessel at greater than no-wake speed in the waters of the regulated areas specified in Paragraph (a) of this Rule.
- (c) Placement and Maintenance of Markers. The governing board of the City of Hickory and the Catawba County Board of Commissioners are designated suitable agencies for placement and maintenance of the markers implementing this Rule, subject to the approval of the United States Coast Guard and the United States Army Corps of Engineers.

History Note: Authority G.S. 75A-3; 75A-15: Eff. September 1, 1982;

Amended Eff. March 1, 1992; May 1, 1989;

Temporary Amendment Eff. February 1, 1999:

Amended Eff. July 1, 2000.

#### TITLE 21 - OCCUPATIONAL LICENSING BOARDS

# CHAPTER 11 - NORTH CAROLINA BOARD OF EMPLOYEE ASSISTANCE PROFESSIONALS

#### **SECTION .0100 - ADMINISTRATION**

# .0109 CURRICULA AND MINIMUM STANDARDS FOR TRAINING

The minimum continuing education requirements of the Employee Assistance Certification Commission are hereby incorporated by reference. This referenced material shall include any subsequent editions and amendments. It may be obtained at no cost from the EACC/Certification Department/EAPA, 2101 Wilson Blvd., Suite 500, Arlington, Virginia 22201-3022.

History Note: Authority G.S. 90-500; Temporary Adoption Eff. May 5, 1998; Eff. August 1, 2000. This Section contains the agenda for the next meeting of the Rules Review Commission on Thursday, June 17, 1999, 10:00 a.m., at 1307 Glenwood Ave., Assembly Room, Raleigh, NC. Anyone wishing to submit written comment on any rule before the Commission should submit those comments to the RRC staff, the agency, and the individual Commissioners by Monday, June 14, 1999, at 5:00 p.m. Specific instructions and addresses may be obtained from the Rules Review Commission at 919-733-2721. Anyone wishing to address the Commission should notify the RRC staff and the agency at least 24 hours prior to the meeting.

#### **RULES REVIEW COMMISSION MEMBERS**

#### Appointed by Senate

Teresa L. Smallwood, Vice Chairman John Arrowood Laura Devan Jim Funderburke David Twiddy

#### **Appointed by House**

Paul Powell, Chairman Anita White, 2<sup>nd</sup> Vice Chairman Mark Garside Steve Rader George Robinson

#### RULES REVIEW COMMISSION MEETING DATES

June 17, 1999 July 15, 1999 August 19, 1999 September 16, 1999 October 21, 1999 November 18, 1999 December 16, 1999

#### LOG OF FILINGS

#### RULES SUBMITTED: APRIL 20, 1999 THROUGH MAY 20, 1999

AGENCY/DIVISION	RULE NAME	RULE	ACTION
JUSTICE/CRIMINA	L JUSTICE EDUCATION & TRAINING ST	CANDARDS COMMISSION	
	Minimum Standards for Correctional Off.	12 NCAC 9B .0107	Amend
	Minimum Standards for Probation/Parole Off.	12 NCAC 9B .0109	Amend
	Minimum Stds/Probation/Parole Intake Off	12 NCAC 9B .0110	Amend
	Minimum Standards for Parole Case Analysts	12 NCAC 9B .0112	Amend
	Minimum Standards Probation/Parole Off.	12 NCAC 9B .0113	Amend
	Minimum Stds Probation/parole Intensive Off	12 NCAC 9B .0115	Amend
	Administration of Criminal Justice Schools	12 NCAC 9B .0201	Amend
	Responsibilities of the School Director	12 NCAC 9B .0202	Amend
	Admission of Trainees	12 NCAC 9B .0203	Amend
	Training Course Enrollment	12 NCAC 9B .0204	Amend
	Basic Training-Law Enforcement Officers	12 NCAC 9B .0205	Amend
	Basic Training-Correctional Officers	12 NCAC 9B .0206	Amend
	Basic Training-State Youth Services Officers	12 NCAC 9B .0207	Amend
	Basic Training-Probation/Parole Officers	12 NCAC 9B .0208	Amend
	Specialized Instructor Training-Firearms	12 NCAC 9B .0226	Amend
	Specialized Instructor Training-Driving	12 NCAC 9B .0227	Amend
	Basic Training-Wildlife Enforcement Officers	12 NCAC 9B .0228	Amend
	Specialized Instructor Training	12 NCAC 9B .0232	Amend
	Specialized Instructor Training	12 NCAC 9B .0233	Amend
	General Instructor Certification	12 NCAC 9B .0302	Amend

#### RULES REVIEW COMMISSION

12 NCAC 9B .0303

Amend

Terms and Conditions

	Terms and Conditions	12 NCAC 7D .0303	Milita
	Specific Instructor Certification	12 NCAC 9B .0304	Amend
	Terms and Conditions	12 NCAC 9B .0305	Amend
	Instructor Certification Renewal	12 NCAC 9B .0312	Amend
	Evaluation of Training Waiver	12 NCAC 9B .0403	Amend
	Trainee Attendance	12 NCAC 9B .0404	Amend
	Completion of Basic Training	12 NCAC 9B .0405	Amend
	Comprehensive Written Examination	12 NCAC 9B .0406	Amend
	Satisfaction of Minimum Training Req.	12 NCAC 9B .0407	Repeal
	Comprehensive Written Exam	12 NCAC 9B .0414	Amend
	Satisfaction of Minimum Training	12 NCAC 9B .0415	Amend
	Pre-Delivery Training Course Report	12 NCAC 9C .0211	Amend
	Post-Delivery Training Course Report	12 NCAC 9C .0212	Amend
	Report of Training Course Completion	12 NCAC 9C .0213	Amend
	Reports of Training Course Presentation	12 NCAC 9C .0403	Amend
	Failure to Qualify	12 NCAC 9E .0107	Amend
JUSTICE/NC SH	ERIFFS' EDUCATION AND TRAINING STA	NDARDS	
	Definitions	12 NCAC 10B .0103	Amend
	Basic Law Enf. Training Course for Deputy	12 NCAC 10B .0502	Amend
	Evaluation for Training Waiver	12 NCAC 10B .0505	Amend
	Trainee Attendance	12 NCAC 10B .0506	Repeal
	Completion of Basic Law Enf. Course	12 NCAC 10B .0507	Repeal
	Written Exam	12 NCAC 10B .0508	Repeal
	Satisfaction of Minimum Training Req.	12 NCAC 10B .0509	Repeal
	Detention Officer Certification Course	12 NCAC 10B .0601	Amend
	Admin. of Detention Officer Cert. Course	12 NCAC 10B .0703	Amend
	Limited Lecturer Certification	12 NCAC 10B .0908	Amend
	General Provisions	12 NCAC 10B .1002	Amend
	Purpose	12 NCAC 10B .1401	Adopt
	General Provisions	12 NCAC 10B .1401	Adopt
		12 NCAC 10B .1402 12 NCAC 10B .1403	_
	Basic Reserve Deputy Sheriff Prof. Cert.		Adopt
	Intermediate Reserve Deputy Sheriff Cert	12 NCAC 10B .1404	Adopt
	Advanced Reserve Deputy Sheriff Cert	12 NCAC 10B .1405	Adopt
	How to Apply	12 NCAC 10B .1406	Adopt
DENR/MARINE	FISHERIES COMMISSION	15 NG 1 G 21 0107	A 1
	Endangered or Threatened Species	15 NCAC 3I .0107	Amend
	Applicability of Rules: Joint Waters	15 NCAC 3Q .0106	Amend
DENR/WILDLIF	E RESOURCES COMMISSION		
	Chasing Deer by Dogs in Certain Counties	15 NCAC 10B .0109	Amend
	Wild Boar (Both Sexes)	15 NCAC 10B .0204	Amend
EDUCATION, ST	TATE BOARD OF		
	Purchasing Flexibility Exemption	16 NCAC 6B .0108	Adopt
	Nature of Licensure	16 NCAC 6C .0102	Amend
	State Board of Education Action	16 NCAC 6C .0103	Amend
	Application for Approval; Criteria	16 NCAC 6C .0202	Amend
	State Board Review Stds/Approval Actions	16 NCAC 6C .0205	Amend
	Consortium-Based Prog./Innovative Prog.	16 NCAC 6C .0206	Amend
	Prospective Teacher Scholarship Loans	16 NCAC 6C .0207	Amend
	General Information	16 NCAC 6C .0301	Amend
			A man and
	Credit	16 NCAC 6C .0302	Amend
	Credit Program Requirements for Licensure	16 NCAC 6C .0302 16 NCAC 6C .0303	Amend

#### RULES REVIEW COMMISSION

License Endorsement	16 NCAC 6C .0306	Amend
License Renewal	16 NCAC 6C .0307	Amend
Expired Licenses	16 NCAC 6C .0308	Amend
Reciprocity in Licensure	16 NCAC 6C .0309	Amend
Temporary Permit	16 NCAC 6C .0311	Amend
License Suspension and Revocation	16 NCAC 6C .0312	Amend
Criminal History Checks	16 NCAC 6C .0313	Amend
General Provisions	16 NCAC 6C .0501	Amend
Graduation Requirements	16 NCAC 6D .0103	Amend
Disposition of Old Textbooks	16 NCAC 6D .0210	Amend
Testing Requirements and Opportunities	16 NCAC 6D .0301	Amend
Test Administration	16 NCAC 6D .0302	Amend
Accountability Coordinator	16 NCAC 6D .0303	Amend
End-of-Course Tests	16 NCAC 6D .0305	Amend
Interscholastic Athletics	16 NCAC 6E .0202	Amend
Driver Training	16 NCAC 6E .0301	Amend
Accreditation Procedures	16 NCAC 6G .0202	Repeal
Due Process Protections	16 NCAC 6G .0308	Amend
Suspension of Powers/Duties of School Bds	16 NCAC 6G .0309	Amend
General Knowledge Test for Licensed Staff	16 NCAC 6G .0311	Adopt
Charter School Advisory Committee	16 NCAC 6G .0502	Adopt
Definitions	16 NCAC 6H .0101	Amend
Complaint Procedures for Federal Programs	16 NCAC 6H .0103	Amend
Administration/Special Education Programs	16 NCAC 6H .0105	Amend
Non-Instructional Special Education Services	16 NCAC 6H .0106	Amend
Special Ed. Assessment/Placement Proc	16 NCAC 6H .0107	Amend
Surrogate Parents/Children/Special Needs	16 NCAC 6H .0108	Amend
Confidentiality:Access/Special Ed. Record	16 NCAC 6H .0109	Amend
Special Education Due Process Procedures	16 NCAC 6H .0110	Amend

#### STATE BOARDS/COSMETIC ART EXAMINERS, STATE BOARD OF

,		
Definitions	21 NCAC 14A .0101	Amend
Office Hours	21 NCAC 14A .0103	Amend
Purpose and Responsibility	21 NCAC 14A .0105	Amend
Prerequisites	21 NCAC 14C .0202	Amend
Applications for Salon License	21 NCAC 14F .0101	Amend
Newly Established Residential Salons	21 NCAC 14F .0105	Amend
Space Requirements	21 NCAC 14G .0103	Amend
Withdrawals	21 NCAC 14I .0104	Amend
Report of Enrollment	21 NCAC 14I .0107	Amend
Summary of Cosmetic Art Education	21 NCAC 14I .0109	Amend
Time Requirements According to Hours	21 NCAC 14J .0103	Amend
Internships	21 NCAC 14J .0208	Amend
Approval/Credit/Cosmetology Instructor	21 NCAC 14J .0501	Amend
Course of Study	21 NCAC 14K .0102	Amend
Live Model Performances	21 NCAC 14K .0107	Amend
Qualifications-Cosmetologists Teachers	21 NCAC 14L .0101	Amend
Qualifications-Manicurists Teachers	21 NCAC 14L .0105	Amend
Teacher Training Curriculum	21 NCAC 14L .0216	Amend
Applicants Licensed as Teachers	21 NCAC 14L .0303	Amend
Time and Place of Examinations	21 NCAC 14N .0101	Amend
Initial Applications and Fees	21 NCAC 14N .0102	Amend
General Examination Instructions	21 NCAC 14N .0103	Amend
Live Model Requirements	21 NCAC 14N .0104	Amend
Mannequin Requirements	21 NCAC 14N .0105	Amend
Failure to Appear for Examination	21 NCAC 14N .0108	Amend
Passing Grade for Examination	21 NCAC 14N .0110	Amend

#### **RULES REVIEW COMMISSION**

Review of Examination	21 NCAC 14N .0112	Amend
Re-Examination	21 NCAC 14N .0113	Amend
Examination Theory Section	21 NCAC 14N .0601	Amend
Examination Practical Section	21 NCAC 14N .0602	Amend
Examination Theory Section	21 NCAC 14N .0701	Amend
Examination Practical Section	21 NCAC 14N .0702	Amend
Uniforms	21 NCAC 14O .0101	Adopt
Course of Study	21 NCAC 14O .0102	Adopt
Equipment and Instruments	21 NCAC 14O .0103	Adopt
Services Performed	21 NCAC 14O .0104	Adopt
Identification Pins	21 NCAC 14O .0105	Adopt
Live Model Performances	21 NCAC 14O .0106	Adopt
Sanitation	21 NCAC 14O .0107	Adopt
Schedule of Civil Penalties	21 NCAC 14P .0101	Adopt
Qualifications for Licensing Teachers	21 NCAC 14P .0102	Adopt
Temporary Employment Permit	21 NCAC 14P .0103	Adopt
Licensing of Cosmetic Art Shops	21 NCAC 14P .0104	Adopt
Renewals: Expired Licenses	21 NCAC 14P .0105	Adopt
Licenses Required	21 NCAC 14P .0106	Adopt
Licenses to be Posted	21 NCAC 14P .0107	Adopt
Rev. of Licenses/Other Disciplinary Meas	21 NCAC 14P .0108	Adopt
Inspections	21 NCAC 14P .0109	Adopt
Licensing of Beauty Salons	21 NCAC 14P .0110	Adopt
Establishment of Cosmetic Art Schools	21 NCAC 14P .0111	Adopt
Sanitary Ratings	21 NCAC 14P .0112	Adopt
Operations of Schools of Cosmetic Art	21 NCAC 14P .0113	Adopt
Cosmetology Curriculum	21 NCAC 14P .0114	Adopt
Sanitary Ratings	21 NCAC 14P .0115	Adopt
Civil Penalty Procedures	21 NCAC 14P .0116	Adopt

#### STATE BOARDS/DENTAL EXAMINERS, BOARD OF

Dentists 21 NCAC 16M .0101 Amend

#### STATE BOARDS/EXAMINERS OF ELECTRICAL CONTRACTORS

Specially-Arranged Examinations21 NCAC 18B .0208AmendAnnual License Fees21 NCAC 18B .0404Amend

#### **RULES REVIEW COMMISSION**

#### May 20, 1999 MINUTES

The Rules Review Commission met on May 20, 1999, in the Assembly Room of the Methodist Building, 1307 Glenwood Avenue, Raleigh, North Carolina. Commissioners in attendance were Vice Chairman Teresa Smallwood, Steven P. Rader, Jim Funderburk, Laura Devan, David R. Twiddy, Mark P. Garside, and George S. Robinson.

Staff members present were: Joseph J. DcLuca, Staff Director; Bobby Bryan, Rules Review Specialist; and Sandy Webster.

#### The following people attended:

Thomas R. West	Poyner & Spruill
Denise Stanford	N C Board of Pharmacy
Frank Crawley	Attorney General

Dedra Alston DENR

Jessica Gill DENR/Coastal Resources Commission
Barb Rote DENR/Wildlife Resources Commission

#### APPROVAL OF MINUTES

The meeting was called to order at 10:03 a.m. with Vice Chairman Smallwood presiding. She asked for any discussion, comments, or corrections concerning the minutes of the April 15, 1999 meeting. There being none, the minutes were approved.

#### FOLLOW-UP MATTERS

15A NCAC 10B .0105 and .0212: DENR/Wildlife Resources Commission - The rewritten rules submitted by the agency were approved by the Commission.

21 NCAC 46 .1804: NC Board of Pharmacy – The rewritten rule submitted by the agency was approved by the Commission contingent upon receiving a technical change before the rule is forwarded to the Office of Administrative Hearings. The rule was subsequently received. Commissioners Smallwood, Devan, and Robinson voted not to approve the rule.

21 NCAC 46: NC Board of Pharmacy - No action was necessary on the request for a declaratory ruling.

#### LOG OF FILINGS

Vice Chairman Smallwood presided over the review of the log and all rules were unanimously approved with the following exception:

15A NCAC 7H.2404: DENR/Coastal Resources Commission – The Commission objected to this rule due to ambiguity. In paragraph (c), it is not clear what constitutes "significant interference with navigation or use of waters by the public." In (d), it is not clear what constitutes or is meant by "adversely affect areas which possess historic, cultural, scenic, conservation, or recreational values." In (e), it is not clear what is meant by the terms "significantly affect," "quality of the human environment" and "unnecessarily endanger." In November and December of 1997, the Rules Review Commission objected to identical language in nine other rules which were ultimately rewritten.

#### COMMISSION PROCEDURES AND OTHER MATTERS

Mr. DeLuca stated that there is approximately \$4,000 remaining in the budget for 1998-99 that can possibly be used for computer upgrades. Ms. Cox is at a health benefits conference today and Friday.

The next meeting will be on June 17, 1999.

The meeting adjourned at 10:53 a.m.

Respectfully submitted, Sandy Webster This Section contains the full text of some of the more significant Administrative Law Judge decisions along with an index to all recent contested cases decisions which are filed under North Carolina's Administrative Procedure Act. Copies of the decisions listed in the index and not published are available upon request for a minimal charge by contacting the Office of Administrative Hearings, (919) 733-2698. Also, the Contested Case Decisions are available on the Internet at the following address: http://www.state.nc.us/OAH/hearings/decision/caseindex.htm.

#### OFFICE OF ADMINISTRATIVE HEARINGS

Chief Administrative Law Judge JULIAN MANN, III

Senior Administrative Law Judge FRED G. MORRISON JR.

#### ADMINISTRATIVE LAW JUDGES

Sammie Chess Jr. Beecher R. Gray Melissa Owens Meg Scott Phipps Robert Roosevelt Reilly Jr. Dolores O. Smith Beryl E. Wade

AGENCY	CASE <u>NUMBER</u>	ALJ	DATE OF DECISION	PUBLISHED DECISION REGISTER CITATION
ADMINISTRATION				
Occaneechi Band of the Saponi Nation v. NC Comm. of Indian Affairs	96 DOA 0006	Smith	12/07/98	13:13 NCR 1075
Carlton L. Coleman v. Administration, Division of Purchase and Contract	98 DOA 1016	Phipps	12/16/98	
Unique Printing, Inc. v. NC A&T Bobby E. Aldrich, Dir. of Purchasing, NC A&T and Evelyn H. Gales, Asst. Dir. of Purchasing, NC A&T	98 DOA 1743	Owens	02/15/99	
ADMINISTRATIVE HEARINGS, OFFICE OF				
Steven Todd McKinnon v. Office of Administrative Hearings	99 OAH 0082	Phipps	03/09/99	
Henry E. Traywick V. Office of Administrative Hearings	99 OAH 0464	Owens	04/22/99	
ALCOHOLIC BEVERAGE CONTROL COMMISSION				
Alcoholic Beverage Control Commission v. Kenneth Jerome	97 ABC 1205	Phipps	07/23/98	
Alcoholic Beverage Control Commission v. Jesse Jacob Joyner, Jr	97 ABC 1438	Phipps	06/19/98	
Alcoholic Beverage Control Commission v. Trade Oil Company, Inc.	98 ABC 0033	Reilly	08/21/98	
Alcoholic Beverage Control Commission v. Las Palmas of Newton, Inc.	98 ABC 0189	Gray	03/12/99	
Alcoholic Beverage Control Commission v. Pantana Bobs, Inc.	98 ABC 0293	Reillly	09/17/98	13:11 NCR 933
Alcoholic Beverage Control Comm. v. Partnership T/A C & J's Shipwreck	98 ABC 0296	Morrison	08/19/98	
Alcoholic Beverage Control Comm. v. Abdelhakeem Murawch Saleh	98 ABC 0308	Gray	02/16/99	
Alcoholic Beverage Control Comm. v. Harold Webster Hadnott	98 ABC 0324	Smith	12/02/98	
Alcoholic Beverage Control Commission v. Axis Entertainment	98 ABC 0357*3	Reilly	07/02/98	
Sokha Huor Ramadneh v. Alcoholic Beverage Control Commission	98 ABC 0382	Smith	06/30/98	13:03 NCR 350
Alcoholic Beverage Control Commission v. Delores Williams Alnaqib	98 ABC 0392	Chess	07/30/98	
Alcoholic Beverage Control Commission v. Axis Entertainment	98 ABC 0401*3	Reilly	07/02/98	
Alcoholic Beverage Control Commission v. James Aubrey Stephenson	98 ABC 0494	Chess	09/01/98	
Alcoholic Beverage Control Commission v. Bridgette Dee Williams	98 ABC 0501	Reilly	08/11/98	
Alcoholic Beverage Control Commission v. Robert Lee, Inc	98 ABC 0518	Gray	08/11/98	
Alcoholic Beverage Control Comm. v. Partnership, T/A Variety Pic Up #21	98 ABC 0714	Morrison	10/09/98	
Tarus Jackson v. Alcoholic Beverage Control Commission	98 ABC 0768	Smith	07/13/98	
Linda Melton Harris v. Alcoholic Beverage Control Commission	98 ABC 0820	Owens	02/26/99	
and				
City of Charlotte		7.		
Alcoholic Beverage Control Comm. v. Simple Elegance Restaurants. Inc.	98 ABC 0850	Phipps	10/26/98	
Alcoholic Beverage Control Comm. v. Daniel Hinton Green	98 ABC 0889	Morrison	11/06/98	
Alcoholic Beverage Control Comm. v. Zaheer Ahmad Bajwa	98 ABC 0960	Owens	10/30/98	
Alcoholic Beverage Control Comm. v. Partnership T/A Club Old Times	98 ABC 1071	Owens	01/29/99	
Alcoholic Beverage Control Comm. v. Kendall L. Brumby	98 ABC 1158	Chess	03/05/99	
Alcoholic Beverage Control Comm. v. Jerald Taft Howell, Jr.	98 ABC 1171	Smith	12/03/98	

AGENCY	CASE NUMBER	<u>ALJ</u>	DATE OF DECISION	PUBLISHED DECISION REGISTER CITATION
Alcoholic Beverage Control Comm. v. Kendall L. Brumby	98 ABC 1204	Chess	03/03/99	
Alcoholic Beverage Control Commission v. Renadi Hardman	98 ABC 1249	Gray	03/29/99	
Alton Ollivierra Perry v. Alcoholic Beverage Control Commission	98 ABC 1298	Owens	11/23/98	
Nedall Hassan Ahmad Hassan v. Alcoholic Beverage Control Comm.	98 ABC 1320	Gray	03/18/99	
Alcoholic Beverage Control Comm. v. Khaled Mohamad Alzer	98 ABC 1321	Gray	02/05/99	
Alcoholic Beverage Control Comm. v. Abdelhakeem M. Saleh	98 ABC 1341	Morrison	02/12/99	
William Randall Banks v. Alcoholic Beverage Control Commission	98 ABC 1355	Gray	02/10/99	
Alcoholic Bev. Control Comm. v. Partnership T/A Alston's Conv. Store	98 ABC 1374	Chess	02/16/99	
Alcoholic Beverage Control Comm. v. Fast Fare, Inc.	98 ABC 1398	Gray	02/02/99	
Geo. D. Bishop T/A The Next Level v. Alcoholic Bev. Control Comm.	98 ABC 1415	Chess	03/24/99	
Alcoholic Beverage Control Comm. v. Frank Talley	98 ABC 1452	Phipps	02/08/99	
Yahya Ahamed Abullah Mosed v. Alcoholic Beverage Control Comm.	98 ABC 1470	Chess	03/05/99	
Alcoholic Beverage Control Comm. v. Cynthia Van Dassan	98 ABC 1478	Reilly	03/15/99	
Alcoholic Beverage Control Comm. v. Cynthia van Dassan Alcoholic Beverage Control Comm. v Reyad Atallah Salahaldeen T/A	30 ABC 1470	Remy	03/13/99	
	98 ABC 1624	Gray	03/30/99	
Bravo Mini Mart  Divilio Allen Bewell Menike V. Bewell, Jone Vleste Kerhley, Devile		Gray	02/23/99	
Phillip Allen Powell, Monika K. Powell, Jana Vlasta Kozlik v. Doyle	99 ABC 0036	Smith	02/23/99	
D. Alley, ABC Commission, Shon Talley, ALE Agent				
BOARD OF CONTRACTORS				
	07 L DC 0212	Dhimas	00/17/00	
Hentage Pointe Builders, Inc. & Patrick Hannon v. Bd. of Contractors	97 LBC 0243	Phipps	08/17/98	
CRIME CONTROL AND BURLICGA FETY				
CRIME CONTROL AND PUBLIC SAFETY	07 070 0654		00110100	
Loretta Battle v. Crime Victims Compensation Commission	97 CPS 0654	Gray	08/10/98	12.05 NOD 522
Cynthia Austin v. Crime Victims Compensation Commission	97 CPS 1499	Reilly	08/12/98	13:05 NCR 533
Marcella Skaggs v. Crime Victims Compensation Commission	98 CPS 0065	Owens	06/05/98	
Talmadge E. McHenry v. Crime Victims Compensation Commission	98 CPS 0116	Gray	06/24/98	
Linda Caldwell Wiggins v. Crime Victums Compensation Commission	98 CPS 0153	Chess	08/27/98	
Kenneth T. Lytle v. Crime Victims Compensation Commission	98 CPS 0176	Reilly	07/06/98	
Shirley Henryhand v. Crime Victims Compensation Commission	98 CPS 0263	Morrison	08/11/98	
Brenda Jean Thomas v. Crime Victims Compensation Commission	98 CPS 0314	Morrison	08/11/98	
Tareyton L. Johnson v. Crime Victims Compensation Commission	98 CPS 0327	Reilly	09/02/98	
Mia Thompson-Clark v. Crime Victims Compensation Commission	98 CPS 0349	Chess	05/14/98	
Godfrey Akenabor v. Crime Victims Compensation Commission	98 CPS 0427	Owens	10/30/98	13:12 NCR 1015
Terry Ramey d/b/a Ramey's Wrecker Svc. v. NC State Highway Patrol	98 CPS 0460	Smuh	02/22/99	
Valine H. Thompson v. Crime Victims Compensation Commission	98 CPS 0674	Morrison	11/18/98	
Rufus K. Williams v. Department of Crime Control & Public Safety	98 CPS 0676	Morrison	10/23/98	
Aaron Anouna Pinkney v. Crime Victims Compensation Commission	98 CPS 0699	Chess	03/10/99	
Faye E. Powell v. Crime Victims Compensation Commission	98 CPS 0808	Owens	08/28/98	
Hubert Lee Grant v. Crime Victims Compensation Commission	98 CPS 0839	Morrison	10/21/98	13:10 NCR 853
Mary Elizabeth Troutman v. Crime Victims Compensation Comm.	98 CPS 0901	Smith	11/12/98	
Brenda H. Alston v. Crime Victims Compensation Commission	98 CPS 0952	Phipps	11/10/98	
Shirley P. Chen v. Crime Victims Compensation Commission	98 CPS 1015	Phipps	09/17/98	
Lorine Smith v. Crime Victims Compensation Commission	98 CPS 1050	Gray	02/15/99	
Catherine Walker v. Crime Victims Compensation Commission	98 CPS 1129	Gray	02/15/99	
Martha Bumpass v. Crime Victims Compensation Commission	98 CPS 1154	Gray	02/15/99	
Kenneth B, Hall, Sr. v. Crime Victims Compensation Commission	98 CPS 1170	Mann	12/21/98	
Dunnie G Smith v. Crime Victims Compensation Commission	98 CPS 1201	Reilly	01/04/99	
Felicia House v. Crime Victims Compensation Commission	98 CPS 1273	Smith	01/25/99	
Antonia F. Jones v. Office of Administrative Hearings	98 CPS 1403	Gray	01/29/99	
Leon A. Vereen v. Crime Victims Compensation Commission	98 CPS 1525	Chess	03/03/99	
Tracy Champ v. Crime Victims Compensation Commission	98 CPS 1582	Reilly	05/14/99	
John D Cutshaw v. Crime Victims Compensation Commission	98 CPS 1646	Mann	03/25/99	
Roswell Foreman, F. Mac Hodges v. Crime Victims Compensation Comm.	98 CPS 1695	Morrison	04/08/99	
Peggy Witkowski v. Crime Victims Compensation Commission	99 CPS 0172	Chess	05/03/99	
reggy witkowski v. Crime victims compensation commission	99 CI 3 01/2	Circss	0.310.3133	
ENGINEERS AND SURVEYORS, BOARD OF EXAMINERS FOR				
Thomas A Truelove, Jr., PE v. Bd./Examiners/Engineers and Surveyors	98 ELS 0047	Mann	11/12/98	13:12 NCR 1035
Kenneth D. Suttles v. Bd./Registration/Engineers and Land Surveyors	98 ELS 0099	Mann	02/09/99	13:18 NCR 1578
Retilieur D. Suttles V. Bu/Registration/Engineers and Land Surveyors	96 EL3 0099	Maiii	02/09/99	13.16 NCK 1376
ENVIRONMENT AND NATURAL RESOURCES				
	96 EHR 0610* <sup>17</sup>	Gray	01/29/99	
Albert C. Wright, Jr. v. Environment, Health, & Natural Resources		•		
Albert C. Wright, Jr. v. Environment, Health, & Natural Resources	96 EHR 0630*1	Gray	01/29/99	13:07 NOD - 400
Ladane Williamson and Odell Decarol Williamson v. DENR	96 EHR 1926	Gray	09/01/98	13:07 NCR 609
Teresa Heflin v Department of Environment and Natural Resources	97 EHR 0409	Morrison	07/29/98	
Ronald Prater V. Department of Environment and Natural Resources	97 EHR 0451	Reilly	07/02/98	
Alltel Carolina, Inc. v. Dept. of Environment and Natural Resources	97 EHR 0729	Gray	01/28/99	
James F. Smith v Department of Environment and Natural Resources	97 EHR 1365	Chess	07/17/98	13.11.376
William Hickman v. Department of Environment and Natural Resources	97 EHR 1388	Gray	11/06/98	13:11 NCR = 928
Hickory Alliance v. Department of Environment and Natural Resources	97 EHR 1607	Reilly	07/17/98	

<u>AGENCY</u>	CASE <u>NUMBER</u>	<u>ALJ</u>	DATE OF DECISION	PUBLISHED DE REGISTER CIT	
and					
Godfrey Lumber Company, Inc.					
John M. Silvia v. Department of Environment and Natural Resources	97 EHR 1646	Chess	06/03/98		
Godfrey Lumber Company, Inc. v. Dept./Environment & Natural Resources	97 EHR 1676	Reilly	07/17/98		
and					
Hickory Alliance					
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Sandra Cherry v. NC Dept. of Public Instructions, Licensure Section	98 EDC 1501	Owens	04/16/99	
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<sup>\*</sup> Consolidated Cases.

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Jonathan L. Fann v. North Carolina State University Physical Plant	98 OSP 0465	Becton	07/17/98	
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# STATE OF NORTH CAROLINA IN THE OFFICE OF ADMINISTRATIVE HEARINGS P6 OSP 2044 DAVID W. ROBERTSON, Petitioner, V. RECOMMENDED DECISION NORTH CAROLINA DEPARTMENT OF TRANSPORTATION Respondent. )

#### **ISSUE**

Should the Petition be dismissed based on the doctrine of res judicata?

#### **FINDINGS OF FACT**

- 1. Petitioner filed a Petition for Contested Case Hearing in the Office of Administrative Hearings ("OAH") on December 13, 1996 (96 OSP 2044).
- 2. On December 20, 1996, Administrative Law Judge Brenda B. Becton issued a Scheduling Order and Order for Prehearing Statements. The latter required the filing of Prehearing Statements within thirty (30) days from the date of the Order.
- 3. DOT filed its Prehearing Statement and Document Constituting Final Agency Action on January 15, 1997.
- 4. Petitioner filed his Prehearing Statement on January 17, 1997. Petitioner claimed that DOT discriminated against him by failing to laterally transfer or promote him in three separate job vacancies because of his age, disability, and political affiliation. The vacancies were for two Transportation Engineering Supervisor 1 ("TES n positions (#95 10 and #9820) and for a Transportation Engineering Supervisor II ("TES 1T") position (#9513). In addition, Petitioner alleged that DOT retaliated against him for filing an internal grievance by demoting him without just cause.
- 5. A Supplement to Petitioner's Prehearing Statement was filed by Petitioner on January 21, 1997. On that same date, Petitioner signed a Charge of Discrimination (the "Charge") with the Equal Employment Opportunity Commission ("EEOC") against DOT. In the Charge, Petitioner claimed that he was discriminated against because of his age, disability, and sex. Petitioner additionally alleged retaliation.
- 6. Discovery commenced and a Consent Protective Order was filed on Feb. 27 1997.
- 7. A settlement conference was conducted with Chief Judge Julian Mann on June 18, 1997, but no agreement was reached.
- 8. ALJ Becton set the case for hearing for July 9-11, 1997.
- 9. On June 24, 1997, Petitioner made a motion to continue the hearing until his EEOC charge was investigated and resolved.
- 10. By order entered on July 2, 1997, ALJ Becton stayed the proceedings in the above-entitled matter due to the pending EEOC investigation initiated by Petitioner.
- On or about October 7, 1997, Petitioner requested his Notice of Right to Sue from the EEOC. By letter dated February 25, 1998, the EEOC issued Petitioner his Notice of Right to Sue.
- On May 13, 1998, Petitioner filed a complaint in federal district court (5:98-CV-383-F2) under the Americans With Disabilities Act ("ADA") (42 U. S. C. § 12 101 et seq.), the Age Discrimination in Employment Act ("ADEA") (29 U.S.C.

§ 621 et. seq.), and N.C. Gen. Stat. § 126-17.

- 13. On June 15, 1998, DOT filed its Answer to Complaint.
- 14. The federal district court entered a Scheduling Order on July 15, 1998.
- 15. On September 16, 1998, Petitioner made a Motion to Supplement Complaint.
- 16. On October 2, 1998, DOT filed a Response to Petitioner's Motion to Supplement.
- On October 23, 1998, the court entered an Order allowing Petitioner's motion, which was actually a motion to amend. The court also entered a new Scheduling Order requiring discovery to be completed no later than December 15, 1998, dispositive motions to be filed no later than January 15, 1999, and a trial date to be set for April 5, 1999.
- 18. On October 28, 1998, Petitioner filed a Supplemental (amended) Complaint alleging new claims under the Civil Rights Act of 1866 (42 U.S.C. § 1981) and the Fair Labor Standards Act ("FLSA") (29 U.S.C. § 216(b) et seq.).
- 19. On November 5, 1998, DOT filed its Answer to Amended Complaint.
- 20. DOT filed a motion for summary judgment and brief in support on January 15, 1999.
- 21. By motion filed February 4, 1999, Petitioner requested "an opportunity to obtain testimony in opposition to Defendant's Motion for Summary Judgment," and, in addition, suggested that the court schedule a hearing at which Petitioner may "call live witnesses to demonstrate the existence of a genuine issue of material fact."
- 22. By order entered March 1, 1999, the court denied Petitioner's Motion for Further Testimony and Hearing and allowed DOT's Motion for Summary Judgment, thereby dismissing the action.

#### **CONCLUSIONS OF LAW**

- 1. "Under the doctrine of *res judicata*, a final judgment on the merits in a prior action in a court of competent jurisdiction precludes a second suit involving the same claim between the same parties or those in privity with them." *Bockweg v. Anderson*, 333 N.C. 486, 491, 428 S.E.2d 157, 161 (1993) (citing *Thomas M. McInnis & Assoc., Inc. v. Hall*, 318 N.C. 421, 428, 349 S.E.2d 552, 556 (1986)).
- 2. Petitioner's claims in the OAH (96 OSP 2044) and in federal district court (5:98-CV-383-P-) are exactly the same-they arise out of the same facts and circumstances and the parties in both actions are the same. The federal district court entered judgment in DOT's favor as to all of Petitioner's claims by granting its motion for summary and dismissing the action. Therefore, the case against DOT in the OAH (96 OSP 2044) is dismissed based on the doctrine of *res judicata* as that case raises the same claims which were already decided in federal court.

#### **FINAL DECISION**

It is hereby ORDERED that this contested case be dismissed with prejudice.

#### NOTICE

This final decision is subject to judicial review in the Superior Court Division of the North Carolina General Court of Justice in accordance with the provisions of G.S. Chapter 150B, Article 4, which require that an appeal be filed in the superior court within (30) days following service upon the person of a written copy of the final decision.

This the 17th day of May, 1999.	
	Fred G. Morrison Jr.
	Senior Administrative Law Judge

# **CUMULATIVE INDEX**

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21 NCAC 08L 0104	13:03 NCR 269		13 08 NCR 696	*	Approve	12/17/98	*		13.17 NCR 1381	
21 NCAC 08J 0102	13.03 NCR 269		13.08 NCR 696	*	Approve	12/17/98	*		13.17 NCR 1381	
21 NCAC 08J .0107	13:03 NCR 269		13.08 NCR 696	*	Approve	12/11/98	*		13.17 NCR 1381	
21 NCAC 08J 0108	13:03 NCR 269		13.08 NCR 696	*	Approve	12/17/98	*		13.17 NCR 1381	
21 NCAC 08J .0110	13:03 NCR 269		13:08 NCR 696	*	Арргоче	12/17/98	*		13.17 NCR 1381	
21 NCAC 08J 0111	13:03 NCR 269		13:08 NCR 696	*	Approve	12/17/98	*		13.17 NCR 1381	
21 NCAC 08K .0104	13:03 NCR 269		13:08 NCR 696	*	Approve	12/11/68	*		13 17 NCR 1381	
21 NCAC 08K .0105	13:03 NCR 269		13:08 NCR 696	*	Approve	12/11/68	*		13:17 NCR 1381	
21 NCAC 08M .0102	13:03 NCR 269		13:08 NCR 696	*	Approve	12/17/98	*		13:17 NCR 1381	
21 NCAC 08M .0103	13:03 NCR 269		13.08 NCR 696	*	Approve	12/11/98			13:17 NCR 1381	
21 NCAC 08M .0104	13:03 NCR 269		13:08 NCR 696	*	Approve	12/17/98			13:17 NCR 1381	
21 NCAC 08M .0201	13:03 NCR 269		13:08 NCR 696	*	Approve	12/17/98			13:17 NCR 1381	
21 NCAC 08M .0202	13:03 NCR 269		13:08 NCR 696	*	Арргоче	12/17/98			13:17 NCR 1381	
21 NCAC 08M .0204	13:03 NCR 269		13:08 NCR 696	*	Approve	12/11/98	*		13.17 NCR 1381	
21 NCAC 08M .0206	13-03 NCR 269		13.08 NCR 696	*	Approve	12/17/98			13:17 NCR 1381	
21 NCAC 08M .0207	13:03 NCR 269		13:08 NCR 696	*	Approve	12/11//98			13:17 NCR 1381	
21 NCAC 08M .0301	13.03 NCR 269		13.08 NCR 696	*	Approve	12/11/98			13 17 NCR 1381	
21 NCAC 08M :0302	13:03 NCR 269		13.08 NCR 696	<del>*</del>	Approve	12/17/98			13 17 NCR 1381	
21 NCAC 08M .0303	13:03 NCR 269		13.08 NCR 696	*	Approve	12/17/98			13:17 NCR 1381	
21 NCAC 08M .0304	13:03 NCR 269		13:08 NCR 696	*	Арргоче	12/17/98			13:17 NCR 1381	
21 NCAC 08M .0305	13 03 NCR 269		13:08 NCR 696	*	Approve	12/17/98			13:17 NCR 1381	
21 NCAC 08M .0306	13.03 NCR 269		13:08 NCR 696	*	Арргоче	12/11/98			13:17 NCR 1381	
21 NCAC 08M .0401	13:03 NCR 269		13:08 NCR 696	*	Approve	12/17/98	*		13.17 NCR 1381	

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13:08 NCR 652 13:08 NCR 1719 13:08 NCR 1719 13:08 NCR 1719 13:08 NCR 1719 13:08 NCR 1719 13:08 NCR 1719	1320 NCR 1719 1308 NCR 652 1320 NCR 1719 1308 NCR 652	1320 NCR 1719 1308 NCR 652
13:15 NCR 1224 13:15 NCR 1224 13:15 NCR 1224 13:15 NCR 1224	13:15 NCR 1224	13:15 NCR 1224
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4 NCAC 011 .0302 4 NCAC 011 .0302 4 NCAC 011 .0303 4 NCAC 011 .0303 4 NCAC 011 .0304 4 NCAC 011 .0304 5 NCAC 011 .0401 6 NCAC 011 .0401 7 NCAC 011 .0402 7 NCAC 011 .0402		- 2 2

	Other																										
	Approved Rule	13:17 NCR 1381	13-17 NCR 1381	13.17 NCR 1381		13:22 NCK 1868 13:17 NCR 1381		13:22 NCR 1868 13:17 NCR 1381																			13.09 NCR 779
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Text differs	from proposal				4	÷		*																			
RRC Status	Date	12/17/98	12/17/98	12/17/98	12/17/98	12/17/98	12/17/98	01/21/99 12/17/98		10/22/98	03/18/99 10/22/98	03/18/66	10/22/98	03/18/99 10/22/98	03/18/99												07/23/98
RRC	Action	Approve	Approve	Approve	Object	Approve Approve	Ohject	Approve Approve		Ohject	Approve Object	Approve	Object	Approve Object	Approve												Approve
	Fiscal Note	*	*	*	*	*	*	*		N/A	N/A		N/A	N/A		*		*	*	¥				¥	*		N/A
	Notice of Text	13:08 NCR 652	13:08 NCR 652	13:08 NCR 652	13:08 NCR 652	13:08 NCR 652	13:08 NCR 652	13:08 NCR 652		N/A	N/A		N/A	N/A		13:22 NCR 1849		13:22 NCR 1849	13:22 NCR 1849	13:22 NCR 1849				13:19 NCR 1652	13.19 NCR 1652		N/A
	Temporary Rule																13:05 NCR 524	13:10 NCR 815	13:10 NCR 815	13:10 NCR 815				13:14 NCR 1157			N/A
	Rule-making Proceedings	11.09 NCR 569	11 09 NCR 569	H:09 NCR 569	11:09 NCR 569	H.09 NCR 569	11.09 NCR 569	11:09 NCR 569		N/A	N/N		N/A	N/A	13 24 NCR 1997 JEGES	13.10 NCR 804					13.19 NCR 1609	13:19 NCR 1609	AMINERS	13.14 NCR 1114	13.14 NCR 111.4	13 14 NCR 1114	N/A
	Agency/Rule Citation	4 NCAC 01K .0205	4 NCAC 01K ,0206	4 NCAC 01K 0301	4 NCAC 01K 0302	4 NCAC 01K .0401	4 NCAC 01K 0402	4 NCAC 01K .0404	Banking Commission	4 NCAC 03B .0101	4 NCAC 03B .0102		4 NCAC 03B .0103	4 NCAC 03H .0102	4 NCAC 03L 13 24 N COMMUNITY COLLEGES	23 NCAC 02B .0104	23 NCAC 02C .0307	23 NCAC 02C 0503	23 NCAC 02C 0504	23 NCAC 02C .0505	23 NCAC 02D 0323	23 NCAC 0215 0324	COSMETIC ART EXAMINERS	21 NCAC 14A 0101	21 NCAC 14A .0103	21 NCAC 14A .0104	21 NCAC 14A 0104

Note	J. O. State	Dt. molting	T	Jo co ject	Fican	RRC	RRC Status	Text differs	D@ooting has		
HACK 1114  HACK 1115  HALS HACK 1115  HALS HACK 1115  H	2 4	oceedings	Rule	Text	Note	Action	Date	from proposal	Governor	Approved Rule	Other
B. H. NCR   LIST   B. H. NCR   LIST     B. H. NCR	1										
4NCR 1114         1319 NCR 1652         *         Approve         403/18/99           4NCR 1114         13.14 NCR 1157         13.19 NCR 1652         *         *           4NCR 1114         13.14 NCR 1157         13.21 NCR 1794         *         Approve         03/18/99           4NCR 1114         13.16 NCR 1263         13.21 NCR 1652         *         *         Approve         09/17/98           4NCR 1114         13.19 NCR 1652         *         *         Approve         09/17/98         *           4NCR 1114         13.14 NCR 1157         13.19 NCR 1652         *         *         Approve         09/17/98         *           4NCR 1114         13.14 NCR 1157         13.19 NCR 1652         *         *         Approve         06/18/98           4NCR 1114         13.14 NCR 1157         13.19 NCR 1652         *         *         Approve         06/18/98           4NCR 1141         13.14 NCR 1157         13.19 NCR 1652         *         *         Approve         06/18/98           4NCR 1144         13.14 NCR 1157         13.19 NCR 1652         *         *         Approve         03/18/99           4NCR 1114         13.14 NCR 1157         13.19 NCR 1652         *         *         Approve			13.14 NCR 1157	13:19 NCR 1652	*						
4NCR 1114         13.19 NCR 1652         +           4NCR 1114         13.14 NCR 1157         13.19 NCR 1652         +           4NCR 1114         13.16 NCR 1263         13.10 NCR 1034         +           4NCR 1114         13.16 NCR 1263         13.21 NCR 1794         +           4NCR 1114         13.19 NCR 1652         +         Approve         09/17/98         +           4NCR 1114         13.19 NCR 1652         +         Approve         09/17/98         +           4NCR 1114         13.19 NCR 1652         +         Approve         09/17/98         +           4NCR 1114         13.19 NCR 1652         +         Approve         06/18/98         +           4NCR 1114         13.19 NCR 1652         +         Approve         06/18/98         +           4NCR 1114         13.19 NCR 1652         +         Approve         06/18/98         +           4NCR 1141         13.19 NCR 1652         +         Approve         03/18/98         +           4NCR 1144         13.19 NCR 1652         +         Approve         03/18/98           4NCR 1144         13.19 NCR 1652         +         Approve         03/18/98           4NCR 1114         13.19 NCR 1652         +	=	4 NCR 1114		13:19 NCR 1652	*						
HUCR III 4    13.14 NCR 1157   13.19 NCR 1652   **   N/A   Approve   0.3/18/99     13.16 NCR 1263   13.21 NCR 1794   **   N/A   Approve   0.3/18/99     13.16 NCR 1263   13.21 NCR 1794   **   N/A   Approve   0.9/17/98   **   N/A   Approve   0.3/18/99   **   Approve	37	4 NCR 1114		13:19 NCR 1652	*						
13.14 NCR 1157   13.19 NCR 1652   ** Approve   03.118/99	37	4 NCR 1114		13:19 NCR 1652	*						
13.16 NCR 1263   13.21 NCR 1794   * Approve   03/18/99     13.16 NCR 1263   13.21 NCR 1794   * Approve   09/17/98   * Approve   13.16 NCR 1263   * Approve   09/17/98   * Approve   13.19 NCR 1652   * Approve   09/17/98   * Approve   13.14 NCR 1157   13.19 NCR 1652   * Approve   09/17/98   * Approve   09/17/98   * Approve   13.14 NCR 1157   13.19 NCR 1652   * Approve   06/18/98   * Approve   06/18/98   * Approve   06/18/98   * Approve   03/18/99   * Appro			13.14 NCR 1157	13:19 NCR 1652	*						
1316 NCR 1263   1321 NCR 1794   *   *	Á			N/A	N/A	Approve	03/18/99				
HANCR 1114         13:16 NOCR 1263         *         Approve         (9)17/98         *           HNCR 1114         13:19 NCR 1652         *         Approve         (9)17/98         *           LNCR 1134         13:19 NCR 1652         *         Approve         (9)17/98         *           LNCR 1114         13:19 NCR 1652         *         *         Approve         (9)17/98         *           LNCR 1114         13:19 NCR 1652         *         *         *         *         *           LNCR 1114         13:14 NCR 1157         13:19 NCR 1652         *         *         *         *           LNCR 1114         13:14 NCR 1157         13:19 NCR 1652         *         *         Approve         06/18/98           LNCR 1154         13:14 NCR 1157         13:19 NCR 1652         *         *         Approve         03/18/99           LNA         N/A         Approve         03/18/99         *         *         *           LNA         N/A         Approve         03/18/99         *         *           LNA         N/A         Approve         03/18/99         *           LNA         13:19 NCR 1652         *         *           LNA			13:16 NCR 1263	13:21 NCR 1794	*						
HNCR III.4         IB:19 NCR 1652         *         Approve         09/17/98         *           LNCR 1184         13:19 NCR 1652         *         Approve         09/17/98         *           LNCR 1184         13:19 NCR 1652         *         *         Approve         09/17/98         *           HNCR 1114         13:14 NCR 1157         13:19 NCR 1652         *         *         *         *           HNCR 1114         13:14 NCR 1157         13:19 NCR 1652         *         *         *         *           HNCR 1114         13:14 NCR 1157         13:19 NCR 1652         *         *         Approve         06/18/98           HNCR 1114         13:14 NCR 1157         13:19 NCR 1652         *         *         Approve         06/18/98           HNCR 1114         13:14 NCR 1157         13:19 NCR 1652         *         *         Approve         03/18/99           HNCR 1114         13:14 NCR 1157         13:19 NCR 1652         *         *         Approve         03/18/99           HNCR 1114         13:19 NCR 1652         *         *         Approve         03/18/99           HNCR 1114         13:19 NCR 1652         *         *         Approve         03/18/99			13.16 NCR 1263	13:21 NCR 1794	*						
4 NCR 1114         13.19 NCR 1652         *         Approve         09/17/98         *           2 NCR 1981         13.19 NCR 1652         *         Approve         09/17/98         *           4 NCR 1114         13.19 NCR 1652         *         *         Approve         09/17/98         *           4 NCR 1114         13.14 NCR 1157         13.19 NCR 1652         *         *         Approve         *           4 NCR 1114         13.14 NCR 1157         13.19 NCR 1652         *         *         Approve         06/18/98           5 NCR 453         13.19 NCR 1652         *         *         Approve         06/18/98           4 NCR 1114         13.14 NCR 1157         13.19 NCR 1652         *         Approve         03/18/99           4 NCR 1114         13.14 NCR 1157         13.19 NCR 1652         *         Approve         03/18/99           4 NCR 1114         13.14 NCR 1157         13.19 NCR 1652         *         Approve         03/18/99           4 NCR 1114         13.19 NCR 1652         *         Approve         03/18/99           4 NCR 1114         13.19 NCR 1652         *         Approve         03/18/99           4 NCR 1114         13.19 NCR 1652         *         Approve	- 5	4 NCR 1114		13:19 NCR 1652	*						
2 NCR 1981         13.14 NCR 1157         13.02 NCR 246         *         Approve         69/17/98         *           4 NCR 1114         13.14 NCR 1157         13.19 NCR 1652         *         *         Approve         *         <	- 37	4 NCR 1114		13:19 NCR 1652	*						
4 NCR 1114       13.19 NCR 1652       *         5 NCR 453       13.19 NCR 1652       *         6 NCR 453       12.11 NCR 925       *         6 NCR 453       13.19 NCR 1652       *         7 NCR 1114       13.14 NCR 1157       13.19 NCR 1652         8 NA       N/A       Approve       00/18/99         9 N/A       N/A       Approve       03/18/99         4 NCR 1114       13.19 NCR 1652       *         4 NCR 1114       13.19 NCR 1652       *         4 NCR 1114       13.19 NCR 1652       *	21	22 NCR 1981	13:14 NCR 1157	13:02 NCR 246	*	Approve	86/11/60	*		13:11 NCR 912	
18:14 NCR 1154         13:19 NCR 1652         *           4 NCR 1114         13:19 NCR 1652         *           4 NCR 1114         13:14 NCR 1157         13:19 NCR 1652         *           4 NCR 1114         13:14 NCR 1157         13:19 NCR 1652         *           4 NCR 1114         13:14 NCR 1157         13:19 NCR 1652         *           5 NCR 453         12:11 NCR 925         *         Approve           6 NCR 453         13:19 NCR 1652         *         Approve           6 NCR 1154         13:19 NCR 1652         *         Approve           6 N/A         N/A         Approve         03/18/99           4 NCR 1114         13:19 NCR 1652         *	- 57	4 NCR 1114		13:19 NCR 1652	*						
4 NCR 1114       13:19 NCR 1652       *         4 NCR 1114       13:14 NCR 1157       13:19 NCR 1652       *         4 NCR 1114       13:14 NCR 1157       13:19 NCR 1652       *         5 NCR 453       13:19 NCR 1652       *       Approve       06/18/98         6 NCR 453       13:14 NCR 1157       13:19 NCR 1652       *       Approve       03/18/99         6 NCR 453       13:14 NCR 1157       13:19 NCR 1652       *       Approve       03/18/99         7 N/A       N/A       N/A       Approve       03/18/99         7 NCR 1114       13:19 NCR 1652       *       *         7 NCR 1114       13:19 NCR 1652       *       *         7 NCR 1114       13:19 NCR 1652       *       *			13:14 NCR 1157	13:19 NCR 1652	*						
4 NCR 1114         13:19 NCR 1652         *           13:14 NCR 1157         13:19 NCR 1652         *           4 NCR 1114         13:14 NCR 1157         13:19 NCR 1652         *           5 NCR 453         13:19 NCR 1652         *         Approve         06/18/98           4 NCR 1114         13:14 NCR 1157         13:19 NCR 1652         *         Approve         03/18/99           4 NCR 1114         13:19 NCR 1652         *         *         Approve         03/18/99           4 NCR 1114         13:19 NCR 1652         *         *         *           4 NCR 1114         13:19 NCR 1652         *         *           4 NCR 1114         13:19 NCR 1652         *         *	Ξ	4 NCR 1114		13:19 NCR 1652	*						
13:14 NCR 1157       13:19 NCR 1652       *         4 NCR 1114       13:19 NCR 1652       *         4 NCR 1114       13:19 NCR 1652       *         5 NCR 453       12:11 NCR 925       *         4 NCR 1114       13:14 NCR 1157       *         13:14 NCR 1157       N/A       N/A         1 N/A       N/A       Approve		4 NCR 1114		13:19 NCR 1652	×						
13:14 NCR 1154       13:19 NCR 1652       *         4 NCR 1114       13:19 NCR 1652       *       Approve       06/18/98         6 NCR 453       12:11 NCR 925       *       Approve       06/18/98         4 NCR 1114       13:14 NCR 1157       13:19 NCR 1652       *       Approve       03/18/99         4 NCR 1114       13:19 NCR 1652       *       *       Approve       03/18/99         4 NCR 1114       13:19 NCR 1652       *       *         4 NCR 1114       13:19 NCR 1652       *         4 NCR 1114       13:19 NCR 1652       *			13:14 NCR 1157	13:19 NCR 1652	*						
4 NCR 1114       13:19 NCR 1652       *       Approve       06/18/98         5 NCR 453       12:11 NCR 925       *       Approve       06/18/98         4 NCR 1114       13.14 NCR 1157       13:19 NCR 1652       *       Approve       03/18/99         4 NCR 1114       13:19 NCR 1652       *       *       Approve       03/18/99         4 NCR 1114       13:19 NCR 1652       *       *         4 NCR 1114       13:19 NCR 1652       *			13:14 NCR 1157	13:19 NCR 1652	*						
6 NCR 453       12:11 NCR 925       *       Approve       06/18/98         4 NCR 1114       13.14 NCR 1157       *       Approve       03/18/99         1 3.14 NCR 1157       N/A       N/A       Approve       03/18/99         4 NCR 1114       13:19 NCR 1652       *       *         4 NCR 1114       13:19 NCR 1652       *         4 NCR 1114       13:19 NCR 1652       *	豆	14 NCR 1114		13:19 NCR 1652	*						
# NCR III4   13.14 NCR II57   13.19 NCR I652   *	Ξ:	06 NCR 453		12:11 NCR 925	*	Approve	06/18/98			13:03 NCR 334	
13.14 NCR 1157       N/A       N/A       Approve         N/A       N/A       Approve         13.14 NCR 1157       13.19 NCR 1652       *         4 NCR 1114       13.19 NCR 1652       *         4 NCR 1114       13.19 NCR 1652       *         4 NCR 1114       13.19 NCR 1652       *		14 NCR 1114	13.14 NCR 1157	13:19 NCR 1652	*						
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13:19 NCR 1652 13:19 NCR 1652		14 NCR 1114		13:19 NCR 1652	<del>".</del>						
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		14 NCR 1114		13:19 NCR 1652	*						

Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC Status	tatus	Text differs	Effective by	ole O bester A	Other
Citation	Proceedings	Rufe	Text	Note	Action	Date	proposal	Governor	Approved water	Onlie
21 NCAC 14N .0103	12:06 NCR 453		12:11 NCR 925	*	Approve	09/17/98	*		13-11 NCR 912	
21 NCAC 14N 0103	13/14 NCR 1114	13:14 NCR 1157	13:19 NCR 1652	*						
21 NCAC 14N .0104		13-14 NCR 1157	13:19 NCR 1652	*						
21 NCAC 14N 0105	13,14 NCR 1114		13:19 NCR 1652	*						
21 NCAC 14N .0108	13:14 NCR 1114		13.19 NCR 1652	*						
21 NCAC 14N (0110)		13:14 NCR 1157	13:19 NCR 1652	*						
21 NCAC 14N .0112	13.14 NCR 1114		13.19 NCR 1652	*						
21 NCAC 14N .0113	13:14 NCR 1114	13:14 NCR 1157	13.19 NCR 1652	÷						
21 NCAC 14N .0601		13:14 NCR 1157	13.19 NCR 1652	*						
21 NCAC 14N .0602		13.14 NCR 1157	13:19 NCR 1652	*						
21 NCAC 14N .0701		13:14 NCR 1157	13.19 NCR 1652	*						
21 NCAC 14N .0702		13.14 NCR 1157	13:19 NCR 1652	*						
21 NCAC 140 .0101		13:14 NCR 1157	13:19 NCR 1652	*						
21 NCAC 140 .0102		13-14 NCR 1157	13:19 NCR 1652	*						
21 NCAC 140, 0103		13-14 NCR 1157	13:19 NCR 1652	*						
21 NCAC 140 .0104		13:14 NCR 1157	13:19 NCR 1652	¥						
21 NCAC 140 .0105		13:14 NCR 1157	13:19 NCR 1652	¥						
21 NCAC 140 .0106		13.14 NCR 1157	13:19 NCR 1652	¥						
21 NCAC 140 .0107		13:14 NCR 1157	13:19 NCR 1652	*						
21 NCAC 14P .0101		13:14 NCR 1157	13:19 NCR 1652	*						
21 NCAC 14P 0102		13-14 NCR 1157	13.19 NCR 1652	-						
21 NCAC 14P 0103		13.14 NCR 1157	13:19 NCR 1652	٦						
21 NCAC 14P .0104		13.14 NCR 1157	13:19 NCR 1652	•						
21 NCAC 14P 0105		13:14 NCR 1157	13:19 NCR 1652	7						
21 NCAC 14P :0106		13:14 NCR 1157	13:19 NCR 1652	.2						
21 NCAC 14P 0107		13:14 NCR 1157	13:19 NCR 1652	e d						
21 NCAC 14P 0108		13-14 NCR 1157	13:19 NCR 1652	-1						

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Agency, Aute Citation	Proceedings	Rule	Text	Note	Action	Date	from proposal	Guvernor	Approved Rule	Other
21 NCAC 14P .0109		13:14 NCR 1157	13:19 NCR 1652	J						
21 NCAC 14P .0110		13:14 NCR 1157	13:19 NCR 1652	Γ						
21 NCAC 14P 0111		13:14 NCR 1157	13.19 NCR 1652	L						
21 NCAC 14P 0112		13:14 NCR 1157	13·19 NCR 1652	Γ						
21 NCAC 14P .0113		13.14 NCR 1157	13:19 NCR 1652	L						
21 NCAC 14P 0114		13:14 NCR 1157	13-19 NCR 1652	Γ						
21 NCAC 14P 0115		13-14 NCR 1157	13-19 NCR 1652	L						
21 NCAC 14P .0116		13:14 NCR 1157	13:19 NCR 1652	Γ						
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Governor's Crime Commission	ssion									
14A NCAC 07_0313	11 24 NCR 1818		12.01 NCR 6	*	Object	09/11/98	4		13.11 NCR 912	
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21 NCAC 16G 0102	13:10 NCR 804		13:15 NCR 1218	*						
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21 NCAC 16H .0101	12.24 NCR 2203									
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21 NCAC 16H .0206	12:24 NCR 2203									
21 NCAC 161 0004	11:20 NCR 1538									
21 NCAC 161 0005	11:20 NCR 1538									

Note of Action   Note of Action   Note of Action   Date     13.10 NCR 804   13.10 NCR 803   13.15 NCR 1218   * * * * * * * * * * * * * * * * * *					Ī	RRCS	RRC Status	Text differs	. John		
13.15 NCR 1218 * 14.19	 	Kule-making Proceedings	l'emporary Rule	Notice of Text	Fiscai Note	Action	Date	from proposal	Enecuve by Governor	Approved Rule	Other
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B315 NCR   1218	.0102	13.10 NCR 804									
13-15 NCR   1218	P.0103	13-10 NCR 804									
13.15 NCR 1218 * * * * * * * * * * * * * * * * * * *	.0104	13:10 NCR 804									
13.15 NCR 1218 * * * * * * * * * * * * * * * * * * *	P.0105	13 10 NCR 804									
13.15 NCR 1218 * 11.19/98 * 11.19/98 * 11.19/98 * 13.15 NCR 1218 * 11.19/98 * 11.19/	2.0101	12:24 NCR 2203									
13.15 NCR 1218       *         N/A       Approve       08/20/98         N/A       Approve       11/19/98         13.05 NCR 502       *       Approve         13.05 NCR 502       *       Approve         13.05 NCR 502       Approve       11/19/98	Q.0201	12:24 NCR 2203		13:15 NCR 1218	*						
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13:15 NCR 1218       *         13:15 NCR 1218       *         13:15 NCR 1218       *         N/A       Approve       08/20/98         N/A       Approve       11/19/98         13:05 NCR 502       *       Approve       11/19/98         13:05 NCR 502       *       Approve       11/19/98         13:05 NCR 502       Approve       11/19/98       *	Q (0305	12:24 NCR 2203		13:15 NCR 1218	*						
13:15 NCR 1218       *         13:15 NCR 1218       *         13:15 NCR 1218       *         N/A       Approve       08/20/98         N/A       Approve       11/19/98         13:05 NCR 502       *       Approve       11/19/98         13:05 NCR 502       *       Approve       11/19/98         13:05 NCR 502       Approve       11/19/98       *	SR 0002	11:20 NCR 1538									
13:15 NCR 1218       *         13:15 NCR 1218       *         N/A       Approve       08/20/98         N/A       Approve       11/19/98         13:05 NCR 502       *       Approve       11/19/98	5R .0003	11:20 NCR 1538									
13.15 NCR 1218       *         13.15 NCR 1218       *         N/A       Approve       08/20/98         N/A       Approve       11/19/98         13.05 NCR 502       *       Approve	R .0005	H:20 NCR 1538									
13.15 NCR 1218       *         13.15 NCR 1218       *         N/A       Approve       08/20/98         N/A       Approve       11/19/98         13.05 NCR 502       *       Approve	S .0205	13:10 NCR 804		13:15 NCR 1218	*						
13.15 NCR 1218 *  N/A Approve 08/20/98  13.05 NCR 502 * Approve 11/19/98  13.05 NCR 502 * Approve 11/19/98  13.05 NCR 502 · Approve 11/19/98	V .0101	13:10 NCR 804		13:15 NCR 1218	*						
N/A Approve 08/20/98  13.05 NCR 502 * Approve 11/19/98  13.05 NCR 502 * Approve 11/19/98 * 13.05 NCR 502  13.05 NCR 502 Approve 11/19/98	5V .0102	13:10 NCR 804		13:15 NCR 1218	*						
13:05 NCR 502       *       Approve       11/19/98         13:05 NCR 502       *       Approve       11/19/98       *         13:05 NCR 502       Approve       11/19/98       *	21 NCAC 16V .0102	N/A	N/A	N/A	N/A	Approve	08/20/98			13:10 NCR 817	
12:22 NCR 1982       4 Approve       11/19/98         12:22 NCR 1982       13:05 NCR 502       * Approve       11/19/98       *         12:22 NCR 1982       13:05 NCR 502       * Approve       11/19/98       *         12:22 NCR 1982       13:05 NCR 502       * Approve       11/19/98       *         12:22 NCR 1982       13:05 NCR 502       * Approve       11/19/98       *         12:22 NCR 1982       13:05 NCR 502       * Approve       11/19/98       *         12:22 NCR 1982       13:05 NCR 502       * Approve       11/19/98       *	AL CONT	RACTORS, EXA	AMINERS OF								
12.22 NCR 1982       13.05 NCR 502       *       Approve       11/19/98       *         12.22 NCR 1982       13.05 NCR 502       .       Approve       11/19/98       *         12.22 NCR 1982       13.05 NCR 502       .       Approve       11/19/98       *         12.22 NCR 1982       13.05 NCR 502       .       Approve       11/19/98       *         12.22 NCR 1982       13.05 NCR 502       .       Approve       11/19/98       *         12.22 NCR 1982       13.05 NCR 502       .       Approve       11/19/98       *	21 NCAC 18B 0108	12:22 NCR 1982		13:05 NCR 502	*	Approve	86/61/11			13:16 NCR 1265	
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12.22 NCR 1982         13.05 NCR 502         *         Approve         11/19/98         *           12.22 NCR 1982         13.05 NCR 502         *         Approve         11/19/98         *	d 0406	12:22 NCR 1982		13:05 NCR 502		Approve	11/19/98	*		13:16 NCR 1265	
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Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve		Approve	Agcy. withdrew	Agcy, withdrew	Approve	Approve	Approve	Approve	Approve	Object	Approve Approve	Approve	Approve
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13:05 NCR 502	13:05 NCR 502	13:05 NCR 502	13:05 NCR 50.	13:05 NCR 502	13:05 NCR 502	OF	13:03 NCR 313	13:03 NCR 313	13:03 NCR 313																	
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15A NCAC 01L.0203		13.18 NCR 1528	13:22 NCR 1827	*						
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S/L	S/L	*	*											*	*	S	S	s	S	s	S	S	S	S	S	S
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15A NCAC 01N .0601	12:08 NCR 614	12:16 NCR 1511	13:04 NCR 362	S	Approve	86/61/11	*		13:16 NCR 1265	
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15A NCAC 13B .1627 - 11:08 NCR 442		11:13 NCR 1055	*	Approve	11/13/30		13.10 INCR 1203	
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15A NCAC 13B .1800 - 11:26 NCR 1976								
15A NCAC 18A 11:04 NCR 183								
15A NCAC 18A .0425	12:14 NCR 1352							

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15A NCAC 18A .1808 - 13:08 NCR 621	13:08 NCR 621	0444 N. M. Fa. a.	13:13 NCR 1047	<del>*</del>	Approve	04/12/66	*			
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15A NCAC 11.0516	12:22 NCR 1979		13:04 NCR 378	*	Approve	86/61/11			13:16 NCR 1265	
15A NCAC 11.0517	12:22 NCR 1979		13:04 NCR 378	*	Approve	86/61/11			13,16 NCR 1265	
15A NCAC 11 0520	12/22 NCR 1979		13:04 NCR 378	*	Approve	86/61/11			13:16 NCR 1265	
15A NCAC 11.0521	12:22 NCR 1979		13:04 NCR 378	*	Approve	86/61/11			13:16 NCR 1265	
15A NCAC 11.0522	12:22 NCR 1979		13:04 NCR 378	*	Approve	86/61/11			13:16 NCR 1265	
15A NCAC 11 0523	12:22 NCR 1979		13:04 NCR 378	*	Approve	86/61/11			13-16 NCR 1265	
15A NCAC 11 0524	12:22 NCR 1979		13:04 NCR 378	*	Approve	86/61/11			13:16 NCR 1265	
15A NCAC 11 0525	12:22 NCR 1979		13:04 NCR 378	*	Approve	86/61/11			13:16 NCR 1265	
15A NCAC 11.0702	12:22 NCR 1979		13:04 NCR 378	*	Approve	86/61/11			13:16 NCR 1265	
15A NCAC 11 0703	12:22 NCR 1979		13:04 NCR 378	*	Approve	86/61/11			13:16 NCR 1265	
15A NCAC 11 1003	12:22 NCR 1979		13:04 NCR 378	*	Approve	86/61/11			13:16 NCR 1265	
15A NCAC 11.1100	12.04 NCR 240								2	No/Action by Agcy
15A NCAC 11.1400	12:04 NCR 240								Z	No/Action by Agcy
15A NCAC 11 1633	12:22 NCR 1979		13:04 NCR 378	*	Approve	86/61/11			13:16 NCR 1265	
15A NCAC 11 1635	12.22 NCR 1979		13:04 NCR 378	*	Approve	11/19/98	*		13.16 NCR 1265	
15A NCAC 11 1647	12:22 NCR 1979		13:04 NCR 378	*	Approve	86/61/11			13:16 NCR 1265	
15A NCAC 11.1653	12:22 NCR 1979		13:04 NCR 378	*	Approve	86/61/11			13:16 NCR 1265	
Soil & Water Conservation	uo									
15A NCAC 06E 0105 - 12:20 NCR 1817	12:20 NCR 1817		13:01 NCR 25	*	Approve	86/61/11	*		13:16 NCR 1265	

Appendix   Prince Fielding		-	E	4		RRC Status	status	Text differs	T. effective by		
608 NCR 688         *         Approve         12/17/08           602 NCR 204         *         Approve         11/19/08           602 NCR 204	Agency/kule Citation	Froceedings	Rule	Text	Note	Action	Date	from proposal	Governor	Approved Rule	Other
KOB NCR 688         *         Approve         1217/98           KOD NCR 204         *         Approve         11/19/98           KOD NCR 204											
602 NCR 204         *         Approve         11/19/98           602 NCR 204	15A NCAC 06E 0107	12/20 NCR 1817		13.08 NCR 688	*	Approve	12/17/98			13.17 NCR 1381	
11-56 NCR 1976   1302 NCR 204   4 Approve   11/19/98   11/1	Water Pollution Control ?	šystem Operators C	ertification Commiss	ion							
H 26 NCR 1976   H 302 NCR 204   1 Approve   H 11998   H 1302 NCR 204   1 Approve   H 11998   H 1302 NCR 204   1 Approve   H 11998   H 126 NCR 1976   H 1302 NCR 204   1 Approve   H 11998   H 126 NCR 1976   H 1302 NCR 204   1 Approve   H 11998   H 126 NCR 1976   H 1302 NCR 204   1 Approve   H 11998   H 126 NCR 1976   H 1302 NCR 204   1 Approve   H 11998   H 126 NCR 1976   H 1302 NCR 204   1 Approve   H 11998   H 11998   H 126 NCR 1976   H 1302 NCR 204   1 Approve   H 11998   H 11998   H 126 NCR 1976   H 1302 NCR 204   1 Approve   H 11998   H 11998   H 126 NCR 1976   H 1302 NCR 204   1 Approve   H 11998   H 11998   H 126 NCR 1976   H 1302 NCR 204   1 Approve   H 11998   H 126 NCR 1976   H 1302 NCR 204   1 Approve   H 11998   H 126 NCR 1976   H 1302 NCR 204   1 Approve   H 11998   H 126 NCR 1976   H 1302 NCR 204   1 Approve   H 11998   H 126 NCR 1976   H 1302 NCR 204   1 Approve   H 11998   H 126 NCR 1976   H 1302 NCR 204   1 Approve   H 11998   H 126 NCR 1976   H 1302 NCR 204   Approve   H 11998   H 126 NCR 1976   H 1302 NCR 204   Approve   H 11998   H 126 NCR 1976   H 1302 NCR 204   Approve   H 11998   H 126 NCR 1976   H 1302 NCR 204   Approve   H 11998   H 126 NCR 1976   H 1302 NCR 204   Approve   H 11998   H 126 NCR 1976   H	L5A NCAC 08A 0101	11-26 NCR 1976		13:02 NCR 204	*	Approve	86/61/11			13:16 NCR 1265	
11.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204 </td <td>15A NCAC 08A 0202</td> <td>11;26 NCR 1976</td> <td></td> <td>13:02 NCR 204</td> <td>*</td> <td>Approve</td> <td>86/61/11</td> <td></td> <td></td> <td>13-16 NCR 1265</td> <td></td>	15A NCAC 08A 0202	11;26 NCR 1976		13:02 NCR 204	*	Approve	86/61/11			13-16 NCR 1265	
11.56 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.56 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.56 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.56 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.56 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.56 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.56 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.56 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.56 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.56 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.56 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.56 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.56 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           11.56 NCR 1976         13.02 NCR 204<	15A NCAC 08A 0301	11;26 NCR 1976		13:02 NCR 204	*	Approve	86/61/11			13·16 NCR 1265	
H26 NCR 1976         13.02 NCR 204         *         Approve         111998           H26 NCR 1976         13.02 NCR 204         *         Approve         111998           H26 NCR 1976         13.02 NCR 204         *         Approve         111998           H26 NCR 1976         13.02 NCR 204         *         Approve         111998           H26 NCR 1976         13.02 NCR 204         *         Approve         111998           H26 NCR 1976         13.02 NCR 204         *         Approve         111998           H26 NCR 1976         13.02 NCR 204         *         Approve         111998           H26 NCR 1976         13.02 NCR 204         *         Approve         111998           H26 NCR 1976         13.02 NCR 204         *         Approve         111998           H26 NCR 1976         13.02 NCR 204         *         Approve         111998           H26 NCR 1976         13.02 NCR 204         *         Approve         111998           H26 NCR 1976         13.02 NCR 204         *         Approve         111998           H26 NCR 1976         13.02 NCR 204         *         Approve         111998           H26 NCR 1976         13.02 NCR 204         *         Approve	15A NCAC 08A .0302	11;26 NCR 1976		13:02 NCR 204	*	Approve	11/19/98			13.16 NCR 1265	
H26 NCR 1976         13.02 NCR 204         *         Approve         111/998           H126 NCR 1976         13.02 NCR 204         *         Approve         111/998           H126 NCR 1976         13.02 NCR 204         *         Approve         111/998           H126 NCR 1976         13.02 NCR 204         *         Approve         111/998           H126 NCR 1976         13.02 NCR 204         *         Approve         111/998           H126 NCR 1976         13.02 NCR 204         *         Approve         111/998           H126 NCR 1976         13.02 NCR 204         *         Approve         111/998           H126 NCR 1976         13.02 NCR 204         *         Approve         111/998           H126 NCR 1976         13.02 NCR 204         *         Approve         111/998           H126 NCR 1976         13.02 NCR 204         *         Approve         111/998           H126 NCR 1976         13.02 NCR 204         *         Approve         111/998           H126 NCR 1976         13.02 NCR 204         *         Approve         111/998           H126 NCR 1976         13.02 NCR 204         *         Approve         111/998           H126 NCR 1976         13.02 NCR 204         *	15A NCAC 08A 0303	11:26 NCR 1976		13:02 NCR 204	*	Approve	11/19/98			13.16 NCR 1265	
II.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204 </td <td>15A NCAC 08B .0101</td> <td>11;26 NCR 1976</td> <td></td> <td>13:02 NCR 204</td> <td>*</td> <td>Approve</td> <td>86/61/11</td> <td></td> <td></td> <td>13.16 NCR 1265</td> <td></td>	15A NCAC 08B .0101	11;26 NCR 1976		13:02 NCR 204	*	Approve	86/61/11			13.16 NCR 1265	
II.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           II.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           III.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98	15A NCAC 08B .0102	11:26 NCR 1976		13:02 NCR 204	*	Approve	11/19/98			13.16 NCR 1265	
H26 NCR 1976         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve <td>15A NCAC 08B .0103</td> <td>11;26 NCR 1976</td> <td></td> <td>13:02 NCR 204</td> <td>¥</td> <td>Approve</td> <td>86/61/11</td> <td></td> <td></td> <td>13:16 NCR 1265</td> <td></td>	15A NCAC 08B .0103	11;26 NCR 1976		13:02 NCR 204	¥	Approve	86/61/11			13:16 NCR 1265	
H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13 02 NCR 204 <t< td=""><td>15A NCAC 08B 0104</td><td>11·26 NCR 1976</td><td></td><td>13:02 NCR 204</td><td>*</td><td>Approve</td><td>86/61/11</td><td></td><td></td><td>13:16 NCR 1265</td><td></td></t<>	15A NCAC 08B 0104	11·26 NCR 1976		13:02 NCR 204	*	Approve	86/61/11			13:16 NCR 1265	
H126 NCR 1976         3 40 prove         11/19/98           H126 NCR 1976         13 02 NCR 204         * Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         * Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         * Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         * Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         * Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         * Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         * Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         * Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         * Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         * Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         * Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         * Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         * Approve         11/19/98           H126 NCR 1976         13 02 NCR 204         * Approve         11/19/98           H126 NCR 1976         13 02 NCR 20	15A NCAC 08B 0105	11-26 NCR 1976		13 02 NCR 204	*	Approve	86/61/11			13:16 NCR 1265	
1126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           1126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           1126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           1126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           1126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           1126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           1126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           1126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           1126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           1126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           1126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           1126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98           1126 NCR 1976         13 02 NCR 204         *         Approve         11/19/98	15A NCAC 08B .0106	H:26 NCR 1976		13:02 NCR 204	*	Approve	86/61/11			13:16 NCR 1265	
HE26 NCR 1976         *         Approve         11/19/98           H126 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13.02 NCR 204         *         Approve         11/19/98           H126 NCR 1976         13.02 NCR 204         *         Approve         11/19/98	. 15A NCAC 08B .0108	11:26 NCR 1976		13 02 NCR 204	*	Approve	86/61/11			13:16 NCR 1265	
1126 NCR 1976         # Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98           11.26 NCR 1976         13.02 NCR 204         * Approve         11/19/98	15A NCAC 08B .0109	11:26 NCR 1976		13.02 NCR 204	*	Approve	86/61/11			13:16 NCR 1265	
11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98	15A NCAC 08B   0201	11:26 NCR 1976		13 02 NCR 204	*	Approve	86/61/11			13:16 NCR 1265	
11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98	15A NCAC 08B ,0202	11-26 NCR 1976		13:02 NCR 204	*	Approve	86/61/11			13:16 NCR 1265	
11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98	15A NCAC 08B .0203	11.26 NCR 1976		13.02 NCR 204	*	Approve	86/61/11			13:16 NCR 1265	
11:26 NCR 1976       13:02 NCR 204       *       Approve       11/19/98         11:26 NCR 1976       13:02 NCR 204       *       Approve       11/19/98         11:26 NCR 1976       13:02 NCR 204       Approve       11/19/98	15A NCAC 08B .0204	11:26 NCR 1976		13:02 NCR 204	*	Approve	11/19/98			13:16 NCR 1265	
11.26 NCR 1976       13.02 NCR 204       *       Approve       11/19/98         H.26 NCR 1976       13.02 NCR 204       Approve       11/19/98	15A NCAC 08B .0205	11:26 NCR 1976		13:02 NCR 204	*	Approve	86/61/11			13:16 NCR 1265	
H.26 NCR 1976       13:02 NCR 204       Approve       11/19/98	ISA NCAC 08B 0207	11:26 NCR 1976		13:02 NCR 204	*	Approve	86/61/11			13:16 NCR 1265	
H:26 NCR 1976 H:	15A NCAC 08B 0208	H.26 NCR 1976		13:02 NCR 204		Approve	86/61/11			13:16 NCR 1265	
H.26 NCR 1976       13:02 NCR 204       * Approve       11/19/98         H1:26 NCR 1976       13:02 NCR 204       * Approve       11/19/98         H1:26 NCR 1976       13:02 NCR 204       * Approve       11/19/98	15A NCAC (88B, 0209)	11:26 NCR 1976		13:02 NCR 204		Approve	86/61/11			13:16 NCR 1265	
H:26 NCR 1976         13:02 NCR 204         *         Approve         11/19/98           H:26 NCR 1976         13:02 NCR 204         *         Approve         11/19/98           H:26 NCR 1976         13:02 NCR 204         *         Approve         11/19/98	15A NCAC 08B 0210	11.26 NCR 1976		13:02 NCR 204		Approve	86/61/11			13:16 NCR 1265	
H:26 NCR 1976 H3:02 NCR 204 * Approve H719/98 H1:26 NCR 1976 H3:02 NCR 204 * Approve H719/98	15A NCAC 08B .0211	11:26 NCR 1976		13:02 NCR 204	%-	Approve	86/61/11			13:16 NCR 1265	
11:26 NCR 1976 13:02 NCR 204 * Approve 11/19/98	15A NCAC 08B .0212	11:26 NCR 1976		13:02 NCR 204	*	Approve	11/19/98			13:16 NCR 1265	
	15A NCAC 08B 0213	H-26 NCR 1976		13:02 NCR 204	×	Approve	86/61/11			13:16 NCR 1265	

							5			
Agency/Rule Citation	Rule-making Proceedings	Temporary Rule	Notice of Text	Fiscal Note	KKC Status	status	from	Effective by	Approved Rule	Other
	9				Action	Date	proposal			
15A NCAC 08B 0214 11:26 NCR 1976	11:26 NCR 1976		13:02 NCR 204	*	Approve	86/61/11			13:16 NCR 1265	
15A NCAC 08B 0301	11:26 NCR 1976		13:02 NCR 204	*	Approve	86/61/11			13.16 NCR 1265	
15A NCAC 08B :0302	11:26 NCR 1976		13:02 NCR 204	*	Approve	86/61/11			13:16 NCR 1265	
15A NCAC 08B .0303	11:26 NCR 1976		13:02 NCR 204	*	Approve	11/19/98			13:16 NCR 1265	
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Approve         12/17/98         *         13.17 NCR 1381           Approve         12/17/98         *         13.17 NCR 1381           Approve         12/17/98         *         13.17 NCR 1381           Approve         02/18/99         *         13.24 NCR 2037           Approve         02/18/99         *         13.24 NCR 2037           Approve         09/17/98         *         13.24 NCR 2037           Approve         09/17/98         *         13.09 NCR 779	12:05 NCR 337 12:06 NCR 444 12:21 NCR 1875
Approve 12/17/98 * 13.17 NCR 1381  Approve 12/17/98 * 13.17 NCR 1381  Approve 02/18/99 * 13.24 NCR 2037  Approve 02/18/99 * 13.24 NCR 2037  Approve 09/17/98 * 13.09 NCR 779	
Approve 12/17/98 * 13:17 NCR 1381  Approve 02/18/99 * 13:24 NCR 2037  Approve 02/18/99 * 13:24 NCR 2037  Approve 09/17/98 * 13:11 NCR 912  Object 06/18/98 * 13:09 NCR 779	12:06 NCR 444 13:01 NCR 5
Approve 12/17/98 * 13:17 NCR 1381  Approve 02/18/99 * 13:24 NCR 2037  Approve 02/18/99 * 13:24 NCR 2037  Approve 09/17/98 * 13:11 NCR 912  Object 06/18/98 * 13:09 NCR 779	12:05 NCR 337
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Approve 02/18/99 *  Approve 09/17/98  Object 06/18/98 *	13.03 NCR 268
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Object 06/18/98 Approve 07/23/98 *	N/A
Object 06/18/98 Approve 07/23/98 *	Mental Health, Developmental Disabilities and Substance Abuse Services
Approve 0/12:3/96	12:12 NCR 1060 12:19 NCR 1766
*	12:20 NCR 1820 13:22 NCR 1853 13:22 NCR 1853
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Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	NAC.	Status	from	Effective by	Annroyed Dule	Othor
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10 NCAC 14V 0804	12:20 NCR 1820	13:22 NCR 1853	13:22 NCR 1853	*						
10 NCAC 14V 0805	12·20 NCR 1820	13.22 NCR 1853	13:22 NCR 1853	*						
10 NCAC 14V 3800	12·20 NCR 1820									
10 NCAC 14V .4000	12-20 NCR 1820									
10 NCAC 14V .4301	12:19 NCR 1762		13:07 NCR 586	*	Approve	01/21/99	*		13:22 NCR 1868	
10 NCAC 14V ,4302	12-19 NCR 1762		13:07 NCR 586	*	Object	01/21/99	4		0000	
10 NCAC 14V .4303	12:19 NCR 1762		13:07 NCR 586	*	Арргоvе Арргоvе	02/18/99	4		13:24 NCR 2037 13:22 NCR 1868	
10 NCAC 14V .4304	12:19 NCR 1762		13:07 NCR 586	*	Approve	01/21/99	*		13:22 NCR 1868	
10 NCAC 14V :4305	12:19 NCR 1762		13:07 NCR 586	*	Approve	01/21/99			13/22 NCR 1868	
10 NCAC 14V .4306	12 19 NCR 1762		13:07 NCR 586	*	Approve	01/21/99			13:22 NCR 1868	
10 NCAC 14V .5000	12.20 NCR 1820									
10 NCAC 45G 0410	13.23 NCR 1947	13:23 NCR 1947								
10 NCAC 45H 0201	N/A	N/A	N/A	N/A	Approve	08/50/98			13:10 NCR 817	
10 NCAC 45H 0205	11.19 NCR 1762	12:24 NCR 2223	13:05 NCR 487	*						
Secretary of Health and Human Services	Human Services									
10 NCAC 14C 1151	12:20 NCR 1820		13:02 NCR 198	*	Approve	12/17/98	*		13:17 NCR 1381	
10 NCAC 14V .7006		12:01 NCR 31	12:07 NCR 511	*						
10 NCAC 14V .7201	13:05 NCR 436		13:13 NCR 1042	*						
10 NCAC 14V .7202	13:05 NCR 436		13:13 NCR 1042	*						
10 NCAC 14V .7203	13:05 NCR 436		13:13 NCR 1042	*						
10 NCAC 14V 7204	13:05 NCR 436		13.13 NCR 1042	*						
10 NCAC 14V 7205	13-05 NCR 436		13:13 NCR 1042							
10 NCAC 2115 OFF7		12:17 NCR 1616	12.21 NCR 1875	T	Approve	07/23/98			13:09 NCR 779	
10 NCAC 49B 0315		12:18 NCR 1703	13:02 NCR 203		Approve	10/22/98	*		13:14 NCR 1167	
Social Services Commission	ioo									
10 NCAC 24A .0508	12 12 NCR 993	12:13 NCR 1180	12:23 NCR 2090	*	Approve	10/22/98			13:14 NCR 1167	
10 NCAC 29C 0103		13:06 NCR 566	13:19 NCR 1611	**						

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Agency/Rule	Citation	10 NCAC 30 _0207	10 NCAC 41A .0107	( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )	10 NCAC 41E 0401	10 NCAC 41E 0403		10 NCAC 41E 0404	10 NCAC 41E 0405		10 NCAC 41E 0406	10 NCAC 41E 0501		10 NCAC 41E 0502		10 NCAC 41E 0505	TOPO ALL OVOI	IN INCAR 41 E MONT	10 NCAC 41E 0505		10 NCAC 41E .0506	10 NCAC 11E 0507	WINCAC TIE ALW	10 NCAC 41E 0508		10 NCAC 41E 0509	0100	TUINCAC 41E USIO	10 NCAC 41E 0511		10 NCAC 41E .0512		10 NCAC 41E 0513		10 NCAC 41E 0514

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Citation	Proceedings	Rule	Text	Note	Action	Date pro	irom proposal	Governor	Approved Kule	Other
			13:11 NCR 857	*	Approve	05/18/99			13:24 NCR 2037	
10 NCAC 41E 0515	12:11 NCR 919		13:05 NCR 438	* *	4	00/01/00			13.04 MCB 2037	
10 NCAC 41E 0516	12 11 NCR 919		13.11 NCR 857 13:05 NCR 438	€ *	Approve	66/81/70			13:24 NCK 2037	
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10 NCAC 41E 0517	12 11 NCR 919		13:05 NCK 438	€ →	· · · · · · · · · · · · · · · · · · ·	00/01/00			12:04 N/CB 2027	
10 NCAC 41E .0518	12:11 NCR 919		13:11 NCR 857 13:05 NCR 438	<del>*</del> *	Approve	66/81/70			13:24 NCK 2037	
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10 NCAC 41E 0601	12:11 NCR 919		13:05 NCR 438	* >	•	90781700			7000 GOM 10.51	
10 NCAC 41E 0602	12:11 NCR 919		13:05 NCR 438	₹ <b>*</b>	Approve	66/81/70			13.24 INC R 2037	
			13.11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	
10 NCAC 41E .0603	12:11 NCR 919		13:05 NCR 438	*						
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10 NCAC 41E .0605	12 11 NCR 919		13:05 NCR 438	×	a coulde					
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10 NCAC 41E 0606	12:11 NCR 919		13:05 NCR 438	¥					,	
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10 NCAC 41E .0702	12:11 NCR 919		13:05 NCR 438	*						
			13:11 NCR 857	* -	Approve	02/18/99			13:24 NCR 2037	
10 NCAC 41E :0/03	12:11 NCR 919		13:05 NCR 438	* *	Approve	02/18/00			13-24 NCB 2037	
10 NCAC 41E .0704	12:11 NCR 919		13:05 NCR 438	*	A control of					
			13:11 NCR 857	*	Approve	05/18/99			13:24 NCR 2037	
10 NCAC 41F .0707		12:11 NCR 938	12:15 NCR 1420	S	Approve	05/21/98			13.02 NCR 249	
10 NCAC 41F 0813		12:11 NCR 938	12:15 NCR 1420	œ	Approve	05/21/98			13:02 NCR 249	
10 NCAC 41G .0501	12-11 NCR 919		13:05 NCR 438	÷						
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10 NCAC 41G 0504	12.11 NCR 919		13:05 NCR 438	*	Syphology	02/10/29			TOTAL MONTH	
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13.24 NCR 2037		13.24 NCR 2037		13:24 NCR 2037		13.24 NCR 2037		13:24 NCR 2037		13.24 NCR 2037		13:24 NCR 2037		13:24 NCR 2037		13.24 NCR 2037		13:24 NCR 2037		13:24 NCR 2037		13:24 NCR 2037		13:24 NCR 2037		13:24 NCR 2037		13:24 NCR 2037		13.24 NCR 2037		13:24 NCR 2037		13.24 NCR 2037		13:24 NCR 2037		13:24 NCR 2037		13:24 NCR 2037
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	10 NCAC 41G 0507		10 NCAC 41G .0508		10 NCAC 41G .0509		10 NCAC 41G 0510		10 NCAC 41G 0511		10 NCAC 41G :0512		10 NCAC 41G 0513		10 NCAC 41G .0601		10 NCAC 41G .0602		10 NCAC 41G .0603		10 NCAC 41G 0604		10 NCAC 41G 0605		10 NCAC 41G 0606		10 NCAC 41G :0701		10 NCAC 41G .0702		10 NCAC 41G :0703		10 NCAC 41G .0704		10 NCAC 41G :0705		10 NCAC 41G .0706		10 NCAC 41G .0707	

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	KKC	Action			Approve		Approve		Approve	=	Approve	:	Approve		Approve		Approve		Approve	;	Approve		Approve		Approve		Approve		Approve		Approve		Approve		Approve		Approve		Approve	Approve	Approve Approve	Approve Approve
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	Agency/Rule	Citation		10 NCAC 41G 0708		10 NCAC 41G :0801		10 NCAC 41G :0802		10 NCAC 41G 0803		10 NCAC 41G :0804		10 NCAC 41G :0805		10 NCAC 41G :0806		10 NCAC 41G :0807		10 NCAC 41G :0808		10 NCAC 41G 0809		10 NCAC 41G :0902		10 NCAC 41G 1001		10 NCAC 41G 1002		10 NCAC 41G 1004		10 NCAC 41G 1005		10 NCAC 41G 1006		10 NCAC 41G 1007		10 NCAC 41G 1008		10 NCAC 41G 1009		

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Citation	Proceedings	Rule	Text	Note	Action	Date	proposal	Governor	Approved Kule	Other
			13:11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	
10 NCAC 41G .1012	12:11 NCR 919		13:05 NCR 438	*	:					
			13:11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	
10 NCAC 41G .1013	12.11 NCR 919		13:05 NCR 438	*						
10 NCAC 31G 1101	12:11 NCP 919		13.11 NCR 857 13.05 NCP 438	* *	Approve	02/18/99			13:24 NCR 2037	
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10 NCAC 41G .1102	12:11 NCR 919		13:05 NCR 438	*	Manday	05/10/10			15.24 INCIN 2027	
			13.11 NCR 857	*	Approve	05/18/66			13:24 NCR 2037	
10 NCAC 41G .1103	12.11 NCR 919		13:05 NCR 438	*						
			13.11 NCR 857	* :	Approve	02/18/99			13:24 NCR 2037	
10 NCAC 41G .1104	12.11 NCR 919		13:05 NCR 438	y						
10 NC AC 41G, 1105	12-11 NCR 919		13.11 NCR 857	* *	Approve	02/18/99			13:24 NCR 2037	
			13.11 NCR 857	*	Approve	66/81/20			13-24 NCR 2037	
10 NCAC 41G 1106	12 11 NCR 919		13:05 NCR 438	*	3					
			13:11 NCR 857	*	Approve	66/81/70			13:24 NCR 2037	
10 NCAC 41G .1201	12.11 NCR 919		13:05 NCR 438	*						
			13:11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	
10 NCAC 41G 1202	12-11 NCR 919		13:05 NCR 438	<b>9</b> 4 - 4						
10 NCAC 41G 1203	12 11 NCR 919		13:11 NCK 857	* <del>*</del>	Approve	66/81/70			13.24 NCK 2037	
	717 MON 717		13-11 NCR 857	*	Approve	99/81/60			750C BJN FC-51	
10 NCAC 41G .1204	12 11 NCR 919		13:05 NCR 438	*	313344					
			13:11 NCR 857	÷	Approve	02/18/99			13:24 NCR 2037	
10 NCAC 41G 1205	12.11 NCR 919		13.05 NCR 438	*		3				
10 NCAC 41G .1206	12.11 NCR 919		13:11 NCR 857 13:05 NCR 438	* *	Approve	02/18/99			13.24 NCR 2037	
			13.11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	
10 NCAC 41G 1207	12:11 NCR 919		13:05 NCR 438	*						
10 NCAC 31G 1208	010 97N 11 51		13.11 NCR 857	<b>→</b> *	Approve	02/18/99			13:24 NCR 2037	
5071 DIF 303161	12.11 NCN 213		13.11 NCR 857	· *	Approve	02/18/99			13:24 NCR 2037	
10 NCAC 41G 1301	12-11 NCR 919		13:05 NCR 438	*						
			13:11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	
10 NCAC 41G .1302	12:11 NCR 919		13:05 NCR 438	*						
COCT OTT OVOING	STO BON II CI		13:11 NCR 857	* 9	Approve	02/18/99			13:24 NCR 2037	
10 NC AC 41G 1303	12.11 INCK 919		13(0) NCK 438	* *	Assessed	03/18/00			13/24 N/CP 2027	
10 NCAC 41G .1304	12-11 NCR 919		13:05 NCR 438	*	Approve	66/01/70			LOCATION END	
			13-11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	

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Agency/Rule	Citation	10 NCAC 41G .1305		10 NCAC 41G .1306		10 NCAC 41G .1307		10 NCAC 41G .1308	000000000000000000000000000000000000000	10 NCAC 41G .1309	10 NCAC 41G 1402		10 NCAC 411.0100	10 NCAC 411.0102	10 NCAC 41R :0101		10 NCAC 41R 0102		10 NCAC 41R .0103	040404	TO INCAC 41R :0104	10 NCAC 41R 0105		10 NCAC 41R .0106		10 NCAC 41R :0107		10 NCAC 41S :0101			10 NCAC 41S :0102		10 NCAC 41S .0201	COECU 315 ONOMOI	TOTAC 413 VIEWE	10 NCAC 415 0203		10 NCAC 41S :0204

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Agency/Rule Citation	Rule-making Proceedings	Temporary Rule	Notice of Text	Fiscal Note	Action	Date	from proposal	Effective by Governor	Approved Rule	Other
			13:11 NCR 857	*	Approve	05/18/99	*		13·24 NCR 2037	
10 NCAC 41S :0301	12.11 NCR 919		13:05 NCR 438	*	:					
			13-11 NCR 857	*	Approve	02/18/99			13 24 NCR 2037	
10 NCAC 41S 0302	12:11 NCR 919		13:05 NCR 438	*						
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10 INCAC 415 0505	12:11 INCR 919		13/US INCR 438	· *	Approx	00/81/60			13-24 N/CD 2027	
10 NCAC 41S 0304	12:11 NCR 919		13:05 NCR 438	*	Approve	66/01/70			15.24 INCR 2057	
			13:11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	
10 NCAC 41S 0305	12:11 NCR 919		13:05 NCR 438	*						
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10 NCAC 41S 0306	12.11 NCR 919		13:05 NCK 438	<b>4</b> →	V	00701700			בנות מישורובינו	
10 NCAC 41S 0307	12:11 NCR 919		13.05 NCR 438	· *	Approve	02/16/99			13:24 INCR 2037	
			13 11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	
10 NCAC 41S 0401	12:11 NCR 919		13.05 NCR 438	*						
			13:11 NCR 857	*	Approve	02/18/99			13 24 NCR 2037	
10 NCAC 41S 0402	12.11 NCR 919		13.05 NCR 438	*						
TO NCAC HE 0103	010 UZB 010		13.11 NCR 857	* *	Approve	02/18/99			13:24 NCR 2037	
10 INCAC 413 0403	14.11 INCR 919		12.11 NCB 957	- *	V	00/01/00			700C GOINTC: C1	
10 NCAC 41S 0404	12.11 NCR 919		13.05 NCR 438	- *	Approve	66/01/70			15.24 INCK 2057	
			13.11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	
10 NCAC 41S 0405	12-11 NCR 919		13.05 NCR 438	*						
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10 INCAC +15 (1400	12.11 NCK 919		13:03 INCR 438	÷ ¥	Approprie	00/81/60			13.51 NCD 2037	
10 NCAC 41S 0407	12:11 NCR 919		13:05 NCR 438	*	approve	(12) 10) 73			15.24 NON EUST	
			13:11 NCR 857	*	Approve	02/18/99			13-24 NCR 2037	
10 NCAC 41S 0501	12-11 NCR 919		13:05 NCR 438	*						
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10 NCAC 41S 0502	12.11 NCK 919		13:05 NCR 438	* :		9	i			
10 NCAC 115 0503	12 11 NCP 010		13-11 NCR 857	* *	Approve	02/18/99	*		13 24 NCR 2037	
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10 NCAC 41S .0504	12:11 NCR 919		13.05 NCR 438	*	Approve	66191170			15.54 INCIN 2037	
			13:11 NCR 857	*	Approve	02/18/99			13:24 NCR 2037	
10 NCAC 41S 0505	12.11 NCR 919		13:05 NCR 438	*						
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10 NCAC 41S 0506	12.11 NCK 919		13:05 NCR 438	¥ <b>*</b>	A	00/81/60			13 2.1 N/CB 2037	
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Agency/Rule	Citation	10 NCAC 41S 0601		10 NCAC 41S .0602		16 NCAC 41S .0603		10 NCAC 41S .0604	10 NCAC 41S .0605		10 NCAC 41S .0606		10 NCAC 41S .0607	0070 31F DVDIV 01	10 NCAC 413 .0008	10 NCAC 41S 0609	10 mc 1 + 2 m or or	10 NCAC 41S :0610		10 NCAC 41S .0611		10 NCAC 41S .0612		10 NCAC 41S .0613		10 NCAC 41S .0614		10 NCAC 41S .0615		10 NCAC 41S 0701		10 NCAC 41S .0702		10 NCAC 41S .0703		10 NCAC 41S 0704			10 NCAC 41S 0705	

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13 11 NCR 857	13-11 NCR	13-11 NCR	857	*	Арргоус	02/18/99			13-24 NCR 2037	
12.11 NCR 919 13.05 NCR 438	13:05 NCR	13:05 NCR	438	*						
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	13.11 NCR 85	13.11 NCR 85	7	*	Approve	02/18/99			13·24 NCR 2037	
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13.11 NCR 857	13.11 NCR 857	13.11 NCR 857		* +	Approve	02/18/99			13.24 NCR 2037	
12.14 NCK 919 1.2.14 NCB 458	13:00 INCR 4:38	13:05 NCR 458		* *	Approximate	00/81/20			13.03 N/C D 2027	
12:11 NCR 919 13:05 NCR 438	13:05 NCR 438	13:05 NCR 438		*	Swardde	66191			13.24 NCN 2037	
	13:11 NCR 857	13:11 NCR 857		*	Approve	02/18/99			13:24 NCR 2037	
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13.11 NCR	NCR	NCR		*	Approve	05/18/66			13:24 NCR 2037	
12 H NCR 919 13:05 NCR 438	NCR	NCR		*						
13:11 NCR	13:11 NCR 857	13:11 NCR 857		* :	Approve	02/18/99	*		13:24 NCR 2037	
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13:11 NCR 637				· *	Approve	66/81/70			13:24 INCK 2037	
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Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by		
,	Proceedings	Rule	Text	Note	Action	Date	from proposal	Governor	Approved Rule	Other
10 NCAC 42C .3401		12.13 NCR 1180	13:02 NCR 200	*	Approve	10/22/98			13.14 NCR 1167	
10 NCAC 42C .3403		12 13 NCR 1180	13:02 NCR 200	*	Approve	10/22/98			13:14 NCR 1167	
10 NCAC 42C .3404		12 13 NCR 1180	13:02 NCR 200	*	Approve	10/22/98			13.14 NCR 1167	
10 NCAC 42C .3601		12 13 NCR 1180	13:02 NCR 200	*	Approve	10/22/98			13:14 NCR 1167	
	13.07 NCR 585									
10 NCAC 42R .0201	12:11 NCR 919	12:13 NCR 1180	12:23 NCR 2090	S/L	Approve	10/22/98			13:14 NCR 1167	
	13:07 NCR 585									
	13:07 NCR 585									
10 NCAC 47A .0502		12:11 NCR 938	12:15 NCR 1420	¥	Approve	05/21/98			13:02 NCR 249	
10 NCAC 47B .0102		12.11 NCR 938	12:15 NCR 1420	<b>≯</b> •	Object	05/21/98	*		13:03 NCP 334	
10 NCAC 47B .0303		12:11 NCR 938	12:15 NCR 1420	<del>*</del>	Approve	05/21/98			13:02 NCR 249	
10 NCAC 47B .0304		12:11 NCR 938	12:15 NCR 1420	*	Approve	05/21/98			13:02 NCR 249	
10 NCAC 47B .0305		12:11 NCR 938	12:15 NCR 1420	*	Approve	05/21/98	*		13:02 NCR 249	
10 NCAC 47B 0403		12:11 NCR 938	12.15 NCR 1420	*	Approve	05/21/98	*		13:02 NCR 249	
10 NCAC 49B .0608	12:20 NCR 1822	13.03 NCR 320	13:06 NCR 549	*	Approve	86/61/11	*		13.16 NCR 1265	
ilitation	Vocational Rehabilitation Services									
10 NCAC 20B .0224		13:17 NCR 1379								
10 NCAC 20B .0228		13:17 NCR 1379								
10 NCAC 20C .0125	12:24 NCR 2202		13.06 NCR 547	S	Approve	86/61/11	*			
10 NCAC 20C :0206	12:24 NCR 2202		13.06 NCR 547	S	Object	86/61/11	*		13:12 NCD 1381	
ANCE	HOUSING FINANCE AGENCY				Applove	961117			13:17 INCN 1361	
24 NCAC 0114 .0103	13-22 NCR 1822									
	12:09 NCR 744									
	12:09 NCR 744									
H NCAC 12 0840	13 01 NCR 2	13:03 NCR 323	13:08 NCR 673	*	Approve	12/17/98			13:17 NCR 1381	
11 NCAC 12 0841	13:01 NCR 2	13:03 NCR 323	13:08 NCR 673	*	Approve	12/17/98	*		13:17 NCR 1381	

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Citation	Proceedings	Rule	Text	Nate	Action	Date	from proposal	Governor	Approved Rule	Other
11 NCAC 12 0842	13:01 NCR 2	13:03 NCR 323	13:08 NCR 673	*	Approve	12/17/98	*		13:17 NCR 1381	
11 NCAC 12.1003	13:01 NCR 2		13:05 NCR 489	*	Approve	86/61/11	*		13:16 NCR 1265	
11 NCAC 12 1025	13.01 NCR 2		13.05 NCR 489	*	Approve	86/61/11	*		13:16 NCR 1265	
11 NCAC 12,1026	13:01 NCR 2		13.05 NCR 489	*	Approve	86/61/11			13.16 NCR 1265	
11 NCAC 12 1212	13.01 NCR 2		13.05 NCR 489	*	Approve	11/19/98	*		13.16 NCR 1265	
11 NCAC 13	12:09 NCR 744									
11 NCAC 14	12.09 NCR 744									
11 NCAC 15	12:09 NCR 744									
11 NCAC 16	12.09 NCR 744									
11 NCAC 17	12:09 NCR 744									
11 NCAC 20	12:09 NCR 744									
11 NCAC 21	12:09 NCR 744									
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11 NCAC 08 0912	13.01 NCR 2		13.05 NCR 488	*	Object	11/19/98	*		10c1 dON 21.01	
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Alarm Systems Licensing Board	g Board									
12 NCAC 11	11 30 NCR 2300									
12 NCAC 11.0204	12:12 NCR 993		12:20 NCR 1823	*	Approve	86/61/11	*		13:16 NCR 1265	
12 NCAC 11 0210	12:08 NCR 618		12/20 NCR 1823	*	Approve	86/61/11			13-16 NCR 1265	
12 NCAC 11 ()501	H:30 NCR 2300		12:20 NCR 1823	*	Object					
12 NCAC 11_0502	11:30 NCR 2300		12/20 NCR 1823	*	Agey withdrew Object	_	i			
12 NCAC 11 0503	H.30 NCR 2300		12:20 NCR 1823	*	Approve Approve	10/22/98	*		13.14 NCR 1167 13.11 NCR 912	
12 NCAC 11 :0504	H.30 NCR 2300		12:20 NCR 1823	*	Object	86/11/60	÷		5711 dOM 11.51	
12 NCAC 11, 0505	11:30 NCR 2300		12:20 NCR 1823	*	Approve Object	86/27/01	6		15:14 NCK 1167	
12 NCAC 11 0506	11:30 NCR 2300		12:20 NCR 1823	*	Approve Approve	10/22/98 09/17/98	*		13:14 NCR 1167 13:11 NCR 912	
12 NCAC 11 0507	11.30 NCR 2300		12:20 NCR 1823	*	Approve	86/11/60			13:11 NCR 912	

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Citation	Proceedings	Rule	Text	Note	Action	Date	rrom proposal	Governor	Approved Kule	Other
Criminal Justice Education and Training Standards Commission	ion and Training Stai	ndards Commission								
12 NCAC 09A ,0101	N/A		N/A	N/A	Approve	10/22/98			13:14 NCR 1167	
12 NCAC 09A .0103	12:21 NCR 1873		13:01 NCR 6	*	Approve	10/22/98	*		13:14 NCR 1167	
12 NCAC 09B 0101	12:21 NCR 1873		13:01 NCR 6	*	Approve	10/22/98	*		13:14 NCR 1167	
12 NCAC 09B ,0107	13:14 NCR 1110		13-19 NCR 1611	*						
12 NCAC 09B .0109	13:14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B .0110	13:14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B 0112	13:14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B .0113	13:14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B .0115	13:14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B .0201	13:14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B .0202	13:14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B .0203	13:14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B .0204	13:14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B .0205	13:14 NCR 1110		13:19 NCR 1611	S/L						
12 NCAC 09B .0205	N/A		N/A	N/A	Approve	10/22/98			13:14 NCR 1167	
12 NCAC 09B .0206	13:14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B .0207	13:14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B 0208	13:14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B .0209	N/A		N/A	N/A	Approve	10/22/98			13:14 NCR 1167	
12 NCAC 09B .0210	12:21 NCR 1873		13:01 NCR 6	*	Approve	10/22/98	*		13:14 NCR 1167	
12 NCAC 09B 0210	N/A		N/A	N/A	Approve	10/22/98			13:14 NCR 1167	
12 NCAC 09B .0211	12:21 NCR 1873		13:01 NCR 6	st.	Approve	10/22/98	*		13:14 NCR 1167	
12 NCAC 09B 0211	N/A		N/A	Z/A	Approve	10/22/98			13:14 NCR 1167	
12 NCAC 09B :0212	12:21 NCR 1873		13:01 NCR 6	*	Approve	10/22/98	*		13:14 NCR 1167	
12 NCAC 09B :0212	K/Z		N/A	N/A	Approve	10/22/98			13:14 NCR 1167	
12 NCAC 09B .0213	12-21 NCR 1873		13:01 NCR 6	***	Approve	10/22/98	*		13:14 NCR 1167	

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12 NCAC 09B 0213	N/A		N/A	N/A	Approve	10/22/98			13-14 NCR 1167	
12 NCAC 09B .0214	12.21 NCR 1873		13:01 NCR 6	*	Approve	10/22/98	*		13 14 NCR 1167	
12 NCAC 09B .0214	N/A		N/A	K/Z	Approve	10/22/98			13.14 NCR 1167	
12 NCAC 09B .0215	12:21 NCR 1873		13:01 NCR 6	*	Approve	10/22/98	*		13:14 NCR 1167	
12 NCAC 09B .0218	12.21 NCR 1873		13:01 NCR 6	*	Approve	10/22/98	*		13-14 NCR 1167	
12 NCAC 09B .0219	12:21 NCR 1873		13:01 NCR 6	*	Approve	10/22/98	*		13.14 NCR 1167	
12 NCAC 09B .0220	12:21 NCR 1873		13:01 NCR 6	*	Approve	10/22/98	*		13 14 NCR 1167	
12 NCAC 09B .0221	12:21 NCR 1873		13:01 NCR 6	*	Approve	10/22/98	*		13:14 NCR 1167	
12 NCAC 09B .0222	12.21 NCR 1873		13:01 NCR 6	*	Approve	10/22/98	*		13:14 NCR 1167	
12 NCAC 09B .0226	N/A		N/A	N/A	Approve	10/22/98			13:14 NCR 1167	
12 NCAC 09B .0226	13.14 NCR 1110		13:19 NCR 1611	¥						
12 NCAC 09B .0227	13.14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B .0227	N/A		N/A	A/A	Approve	10/22/98			13.14 NCR 1167	
12 NCAC 09B .0228	13 14 NCR 1110		13:19 NCR 1611	S						
12 NCAC 09B .0232	N/A		N/A	A/N	Approve	10/22/98			13:14 NCR 1167	
12 NCAC 09B 0232	13 14 NCR 1110		13:19 NCR 1611	S						
12 NCAC 09B .0233	N/A		N/A	A/N	Approve	10/22/98			13.14 NCR 1167	
12 NCAC 09B .0233	13-14 NCR 1110		13.19 NCR 1611	S						
12 NCAC 09B .0301	12:21 NCR 1873		13:01 NCR 6	*	Object Object	10/22/98				
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12 NCAC 09B :0302	13:14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B 0303	13-14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B 0304	13 14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B 0305	13 14 NCR 1110		13:19 NCR 1611	*						
12 NCAC 09B 0309	12:21 NCR 1873		13:01 NCR 6	*	Approve	10/22/98			13-14 NCR 1167	
12 NCAC 09B 0310	12:21 NCR 1873		13:01 NCR 6	*	Approve	10/22/98	*		13:14 NCR 1167	
12 NCAC 09B .0311	12.21 NCR 1873		13:01 NCR 6	*	Approve	10/22/98			13:14 NCR 1167	

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12 NCAC 10B 1402	13:14 NCR 1110		13:19 NCR 1637	S						
12 NCAC 10B 1403	13:14 NCR 1110		13:19 NCR 1637	S						
12 NCAC 10B 1404	13:14 NCR 1110		13:19 NCR 1637	×						
12 NCAC 10B 1405	13:14 NCR 1110		13:19 NCR 1637	S						
12 NCAC 10B 1406	13:14 NCR 1110		13:19 NCR 1637	S						
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Text differs	from proposal								*	*	*					*		*					*		*			
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RRC	Action			Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve		Approve							
Fiscal	Note	S/L		*	*	*	*	*	¥	¥	*	÷	*	*	*	*	*	¥	*		*	<del>*</del>	*	*	*	*	*	*
Notice of	Text	13.21 NCR 1786		13 08 NCR 686	13 08 NCR 686	13.08 NCR 686	13.08 NCR 686	13.08 NCR 686	13.08 NCR 686	13.08 NCR 686	13-08 NCR 686	13:08 NCR 686	13-08 NCR 686	13.08 NCR 686	13 08 NCR 686	13.08 NCR 686	13.08 NCR 686	13.08 NCR 686	13 08 NCR 686		13.08 NCR 676	13 08 NCR 676	13-08 NCR 676	13:08 NCR 676	13.08 NCR 676	13.08 NCR 676	13.08 NCR 676	13 08 NCR 676
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13 NCAC 12 0309	13.03 NCR 268		13-08 NCR 676	*	Approve	12/17/98			13:17 NCR 1381	
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13 NCAC 12 .0502	13 03 NCR 268		13 08 NCR 676	*	Approve	12/17/98			13.17 NCR 1381	
13 NCAC 12,0602	13 03 NCR 268		13 08 NCR 676	*	Approve	12/17/98			13.17 NCR 1381	
13 NCAC 12 0603	13.03 NCR 268		13 08 NCR 676	*	Approve	12/17/98			13.17 NCR 1381	
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13 NCAC 12 .0605	13:03 NCR 268		13 08 NCR 676	*	Approve	12/17/98			13:17 NCR 1381	
13 NCAC 12,0701	13.03 NCR 268		13 08 NCR 676	*	Approve	12/17/98			13-17 NCR-1381	
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13 NCAC 12,0801	13:03 NCR 268									
13 NCAC 12,0802	13:03 NCR 268									
13 NCAC 12,0803	13:03 NCR 268		13:08 NCR 676	*	Approve	12/17/98			13·17 NCR 1381	
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# LANDSCAPE ARCHITECTS, BOARD OF

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Approve

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11   18   NCR   1369   13   68   NCR   709   13   68   NCR   709	C 32O 0111	H 18 NCR 1369		13 08 NCR 709	*	Approve	12/17/98			13·17 NCR 1381		
11   18   NCR   1469   13 of 8   NCR   709   13 of 8   NCR   709	C 320 .0112	11 18 NCR 1369		13.08 NCR 709	<del>*</del>	Approve	12/17/98			13-17 NCR 1381		
11 IR NCR 1369         4 Approve         1217/98           11 IR NCR 1369         4 Approve         1217/98           11 IR NCR 1369         4 Approve         1217/98           11 IR NCR 1369         3 Approve         1217/98           11 IR NCR 1369         4 Approve         1217/98           11 IR NCR 1369         3 Approve         1217/98           11 IR NCR 1369         4 Approve         1217/98           12 IP NCR 1369         5 Approve         1217/98           12 IP NCR 1369         6 Approve         1217/98           12 IP NCR 1369         7 Approve         1217/98           12 IP NCR 1369         8 Approve         1217/98           12 IP NCR 1369         9 Approve         1217/98           11 IR NCR 1369         13 OR NCR 709         9 Approve         1217/98           11 IR NCR 1369         13 OR NCR 709         9 Approve         1217/98           11 IR NCR 1369         13 OR NCR 709         13 OR NCR 709         13 OR NCR 709         13 OR NCR 709           11 IR NCR 1369         13 OR NCR 709         13	C 320 .0113	11 18 NCR 1369		13:08 NCR 709	*	Approve	12/17/98			13-17 NCR 1381		
11.18 NCR 1369         Approve         12.17/98           12.19 NCR 1369         Approve         12.17/98           11.18 NCR 1369         Approve         12.17/98	C 32O .0114	11 18 NCR 1369		13:08 NCR 709	*	Approve	12/17/98			13.17 NCR 1381		
11-18 NCR 1369   3.08 NCR 709   4 Approve   12/17/98   13.08 NCR 709   13.08	C 32O .0115	11:18 NCR 1369		13.08 NCR 709	*	Approve	12/17/98			13:17 NCR 1381		
11 I I N N C R 1369         Approve         1217/98           11 I I N N C R 1369         *         Approve           11 I I N N C R 1369         *         Approve           11 I I N N C R 1369         *         Approve           12 19 N C R 1765         13 08 N C R 709         *         Approve           12 19 N C R 1765         13 08 N C R 709         *         Approve           12 19 N C R 1765         13 08 N C R 709         *         Approve           12 19 N C R 1765         13 08 N C R 709         *         Approve           12 19 N C R 1765         13 08 N C R 709         *         Approve           12 19 N C R 1769         *         Approve         12/17/98           11 18 N C R 1369         *         Approve         12/17/98           11 18 N C R 1369         *         Approve         12/17/98           11 18 N C R 1369         *         Approve         12/17/98           11 18 N C R 1369         *         Approve         12/17/98           11 18 N C R 1369         *         Approve         12/17/98           11 18 N C R 1369         *         Approve         12/17/98           11 18 N C R 1369         *         Approve         12/17/98	C 320 .0116	11:18 NCR 1369		13.08 NCR 709	¥	Approve	12/17/98			13 17 NCR 1381		
11.18 NCR 1369         13.08 NCR 709         *           11.18 NCR 1369         13.08 NCR 709         *           11.18 NCR 1369         4         Approve         12.17/98           12.19 NCR 1765         13.08 NCR 709         *         Approve         12.17/98           12.19 NCR 1765         13.08 NCR 709         *         Approve         12.17/98         *           12.19 NCR 1765         13.08 NCR 709         *         Approve         12.17/98         *           12.19 NCR 1765         13.08 NCR 709         *         Approve         12.17/98         *           12.19 NCR 1369         13.08 NCR 709         *         Approve         12.17/98         *           11.18 NCR 1369         13.08 NCR 709         *         Approve         12.17/98         *           11.18 NCR 1369         13.08 NCR 709         *         Approve         12.17/98         *           11.18 NCR 1369         13.08 NCR 709         *         Approve         12.17/98         *           11.18 NCR 1369         13.08 NCR 709         *         Approve         12.17/98         *           11.18 NCR 1369         13.08 NCR 709         *         Approve         12.17/98         *           11.18 NCR	21 NCAC 320 ,0117	11-18 NCR 1369		13:08 NCR 709	¥	Approve	12/17/98			13-17 NCR 1381		
11 I I I N N C I I 369         4           11 I I I N N C I I 369         4         Approve         12/17/98           12 I 9 N C I 1/3 68         4         Approve         12/17/98           12 I 9 N C I 1/3 68         4         Approve         12/17/98           12 I 9 N C I 1/3 68         4         Approve         12/17/98         4           12 I 9 N C I 1/3 68         4         Approve         12/17/98         4           12 I 9 N C I 1/3 68         4         Approve         12/17/98         4           12 I 9 N C I 1/3 68         4         Approve         12/17/98         4           11 I 8 N C I 3/69         4         Approve         12/17/98         4           11 I 8 N C I 3/69         4         Approve         12/17/98         4           11 I 8 N C I 3/69         4         Approve         12/17/98         4           11 I 8 N C I 3/69         4         Approve         12/17/98         4           11 I 8 N C I 3/69         4         Approve         12/17/98         4           11 I 8 N C I 3/69         4         Approve         12/17/98         4           11 I 8 N C I 3/69         4         Approve         12/17/98         4	21 NCAC 320-0118	11:18 NCR 1369		13:08 NCR 709	*							
11 IB NCR 1369         4         Approve         1217/98           12 19 NCR 1765         13 08 NCR 709         *         Approve         1217/98           12 19 NCR 1765         13 08 NCR 709         *         Approve         1217/98           12 19 NCR 1765         13 08 NCR 709         *         Approve         1217/98           12 19 NCR 1765         13 08 NCR 709         *         Approve         1217/98           11 18 NCR 1369         *         Approve         1217/98         *           11 18 NCR 1369         *         <	21 NCAC 320_0119	11-18 NCR 1369		13.08 NCR 709	*							
11.18 NCR 1369         #         Approve         12.17/98           12.19 NCR 1765         13.08 NCR 709         *         Approve         12.17/98           12.19 NCR 1765         13.08 NCR 709         *         Approve         12.17/98           12.19 NCR 1765         13.08 NCR 709         *         Approve         12.17/98           12.19 NCR 1369         *         Approve         12.17/98         *           11.18 NCR 1369         *	C 32O .0120	11 18 NCR 1369		13.08 NCR 709	*							
12.19 NCR 1765         4 Aptrove         12/17/98           12.19 NCR 1765         13 08 NCR 709         * Aptrove         12/17/98           12.19 NCR 1765         13 08 NCR 709         * Aptrove         12/17/98           12.19 NCR 1765         13 08 NCR 709         * Aptrove         12/17/98           12.19 NCR 1369         * Aptrove         12/17/98         *           11.18 NCR 1369         * Aptrove         12/17/98         *	C 320 .0121	11:18 NCR 1369		13:08 NCR 709	*							
12.19 NCR 1765         13 08 NCR 709         * Approve         12/17/98         *           12.19 NCR 1765         13 08 NCR 709         * Approve         12/17/98         *           12.19 NCR 1765         13 08 NCR 709         * Approve         12/17/98         *           11.18 NCR 1369         13 08 NCR 709         * Approve         12/17/98         *           11.18 NCR 1369         13 08 NCR 709         * Approve         12/17/98         *           11.18 NCR 1369         13 08 NCR 709         * Approve         12/17/98         *           11.18 NCR 1369         13 08 NCR 709         * Approve         12/17/98         *           11.18 NCR 1369         13 08 NCR 709         * Approve         12/17/98         *           11.18 NCR 1369         13 08 NCR 709         * Approve         12/17/98         *           11.18 NCR 1369         13 08 NCR 709         * Approve         12/17/98         *           11.18 NCR 1369         13 08 NCR 709         * Approve         12/17/98         *           11.18 NCR 1369         13 08 NCR 709         * Approve         12/17/98         *           11.18 NCR 1369         13 08 NCR 709         * Approve         12/17/98         *	C 32R_0101	12.19 NCR 1765		13:08 NCR 709	*	Approve	12/17/98			13:17 NCR 1381		
12.19 NCR 1765       *       Approve       12.17/98       *         12.19 NCR 1765       13.08 NCR 709       *       Approve       12.17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12.17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12.17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12.17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12.17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12.17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12.17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12.17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12.17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12.17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12.17/98       *	C 32R .0102	12.19 NCR 1765		13 08 NCR 709	*	Approve	12/17/98	*		13:17 NCR 1381		
12.19 NCR 1765       * Approve       12.17/98       *         11.18 NCR 1369       * Approve       12.17/98       *	C 32R .0103	12:19 NCR 1765		13 08 NCR 709	<del>*</del>	Approve	12/11/98	*		13:17 NCR 1381		
11.18 NCR 1369       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *	C 32R .0104	12:19 NCR 1765		13:08 NCR 709	*	Approve	12/17/98	*		13:17 NCR 1381		
11 B NCR 1369         ‡ Approve         12/17/98         *           11.18 NCR 1369         † Approve         12/17/98         *	1328 .0101	H-18 NCR 1369		13:08 NCR 709	*	Approve	12/17/98	*		13:17 NCR 1381		
11.18 NCR 1369       *       Approve       12/17/98       *	2328 .0102	11-18 NCR 1369		13 08 NCR 709	<b>*</b>	Approve	12/17/98	*		13:17 NCR 1381		
11.18 NCR 1369       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11.18 NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *	C 328 .0103	11.18 NCR 1369		13 08 NCR 709	<b>*</b>	Approve	12/17/98	*		13.17 NCR 1381		
11.18 NCR 1369       *       Approve       12/17/98       *         11 11 8 NCR 1369       13 08 NCR 709       *       Approve       12/17/98       *         11 11 8 NCR 1369       13 08 NCR 709       4       Approve       12/17/98       *         11 11 8 NCR 1369       13 08 NCR 709       *       Approve       12/17/98       *         11 11 8 NCR 1369       13 08 NCR 709       *       Approve       12/17/98       *         11 11 8 NCR 1369       13 08 NCR 709       *       Approve       12/17/98       *         11 11 8 NCR 1369       13 08 NCR 709       *       Approve       12/17/98       *	2328.0104	11.18 NCR 1369		13 08 NCR 709	¥	Approve	12/17/98			13:17 NCR 1381		
11 18 NCR 1369       ± Approve       ± 12/17/98       *         11 18 NCR 1369       ± 3 08 NCR 709       ± Approve       12/17/98       *         11 18 NCR 1369       ± 308 NCR 709       ± Approve       12/17/98       *         11 18 NCR 1369       ± 308 NCR 709       *       Approve       12/17/98       *         11 18 NCR 1369       ± 308 NCR 709       *       Approve       12/17/98       *         11 18 NCR 1369       ± 308 NCR 709       *       Approve       12/17/98       *	C 328 .0105	11.18 NCR 1369		13 08 NCR 709	*	Approve	12/17/98	*		13:17 NCR 1381		
11 18 NCR 1369       13 08 NCR 709       4 Approve       12/17/98         11 18 NCR 1369       13 08 NCR 709       4 Approve       12/17/98         11 18 NCR 1369       13 08 NCR 709       4 Approve       12/17/98         11 18 NCR 1369       13 08 NCR 709       4 Approve       12/17/98         11 18 NCR 1369       13 08 NCR 709       4 Approve       12/17/98	C 32S .0106	11-18 NCR 1369		13 08 NCR 709	+	Approve	12/17/98	*		13.17 NCR 1381		
11 18 NCR 1369       13 08 NCR 709       4       Approve       12/17/98       *         11 18 NCR 1369       13 08 NCR 709       *       Approve       12/17/98       *         11 18 NCR 1369       13 08 NCR 709       *       Approve       12/17/98       *         11 18 NCR 1369       *       Approve       12/17/98       *	C 328 0107	H 18 NCR 1369		13 08 NCR 709	¥	Approve	12/17/98			13:17 NCR 1381		
11 IS NCR 1369       13.08 NCR 709       *       Approve       12/17/98       *         11 IS NCR 1369       *       Approve       12/17/98       *         11 IS NCR 1369       *       Approve       12/17/98       *	C 32S 0108	11-18 NCR 1369		13.08 NCR 709	107	Approve	12/17/98			13:17 NCR 1381		
H. IS NCR 1369	C 328 0109	11-18 NCR 1369		13:08 NCR 709		Approve	12/17/98	*		13/17 NCR 1381		
11.18 NCR 1369	C32S 0110	11 18 NCR 1369		13:08 NCR 709	16	Approve	12/17/98	*		13:17 NCR 1381		
11.18 NCR 1369 * Approve 12/17/98	C 328 0111	11:18 NCR 1369		13:08 NCR 709	*	Approve	12/17/98	*		13:17 NCR 1381		
	2338 0112	11 18 NCR 1369		13:08 NCR 709	06	Approve	12/17/98			13;17 NCR 1381		

Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC Status	tatus	Text differs	Effective by	,	
Citation	Proceedings	Rufe	Text	Note	Action	Date	from proposal	Governor	Approved Kule	Other
21 NCAC 32S .0113	H:18 NCR 1369		13:08 NCR 709	*	Approve	12/17/98	*		13.17 NCR 1381	
21 NCAC 32S 0114	11.18 NCR 1369		13:08 NCR 709	*	Agcy withdrew	12/17/98				
21 NCAC 32S .0115	11 18 NCR 1369		13:08 NCR 709	*	Approve	12/11/98			13.17 NCR 1381	
21 NCAC 32S 0116	11:18 NCR 1369		13:08 NCR 709	*	Approve	12/11/98			13:17 NCR 1381	
21 NCAC 32S 0117	11:18 NCR 1369		13:08 NCR 709	*	Approve	12/17/98	*		13-17 NCR 1381	
21 NCAC 32S 0118	11-18 NCR 1369		13:08 NCR 709	*	Approve	12/17/98			13:17 NCR 1381	
MORTUARY SCIENCE, BOARD OF	CE, BOARD OF									
21 NCAC 34C	12:09 NCR 745									
MUNICIPAL INCORPORATIONS PETITION	PORATIONS PET	FITION								
Town of St. James - Brunswick County	answick County									13:14 NCR 1101
Town of Rimertown - Cabarrus County	abarrus County									13:14 NCR 1101
Town of Hampstead - Pender County	Pender County									13:14 NCR 1101
Town of Holiday Island - Perquimans County	1 - Perquimans County									13:14 NCR 1101
Town of Bermuda Run - Davie County	- Davie County									13:14 NCR 1101
Town of Mineral Springs - Union County	gs - Union County									13:14 NCR 1101
NURSING, BOARD OF	)F									
21 NCAC 36.0213	13.22 NCR 1821									
21 NCAC 36 .0227	12:05 NCR 338		13:08 NCR 725	*	Approve	12/17/98	*		13 17 NCR 1381	
OPTICIANS, BOARD OF	OF									
21 NCAC 40 .0108		12:07 NCR 557								
PHARMACY, BOARD OF	D OF									
21 NCAC 46 1317	13:01 NCR 3		13:06 NCR 559	*	Approve	12/11/98	*		13-17 NCR 1381	
21 NCAC 46 1317	13.22 NCR 1821									
21 NCAC 46_1413	13:22 NCR 1821									
21 NCAC 46 1414	12:24 NCR 2203		13.06 NCR 559	*	Approve	12/17/98	*		13:17 NCR 1381	
21 NCAC 46 1414	13:22 NCR 1821									
21 NCAC 46 1601	12:24 NCR 2203		13 04 NCR 419	*	Approve	86/61/11	*		13 16 NCR 1265	

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Citation	Proceedings	Rule	Text	Note	Action	Date	trom proposal	Governor	Approved Kule	Other
21 NCAC 46 .1601	13:22 NCR 1821									
21 NCAC 46 .1606	13:01 NCR 3		13:06 NCR 559	*	Approve	12/11/98			13:17 NCR 1381	
21 NCAC 46 .1608	12·24 NCR 2203									
21 NCAC 46 .1609	12,24 NCR 2203									
21 NCAC 46 1612	12/24 NCR 2203		13:04 NCR 419	*	Object	86/61/11	÷			
21 NCAC 46 .1703	12:24 NCR 2203		13:04 NCR 419	*	Approve Approve	12/17/98	* *		13.17 NCR 1381 13-16 NCR 1265	
21 NCAC 46 .1706	12.24 NCR 2203		13:04 NCR 419	*	Approve	11/19/98	*		13 16 NCR 1265	
21 NCAC 46 .1804	12:03 NCR 168		12.07 NCR 527	*						
			12:09 NCR 797	*	State Budget	03/20/98				
			13:02 NCR 246	SE	Ohject	12/17/98				
					Object	05/18/66				
					Approve	05/20/99	*			
21 NCAC 46 .1809	12:24 NCR 2203		13 04 NCR 419	*	Approve	86/61/11	*		13 16 NCR 1265	
21 NCAC 46 .1810	13:22 NCR 1821									
21 NCAC 46 .1813	13:22 NCR 1821									
21 NCAC 46 .1814	13:01 NCR 3		13:06 NCR 559	*	Approve	12/17/98	*		13:17 NCR 1381	
21 NCAC 46 .1814	13:22 NCR 1821									
21 NCAC 46 .1815		13:11 NCR 910	13:22 NCR 1848	* *						
21 NCAC 46 .2103	12.03 NCR 168		12:07 NCR 527	÷ *						
			12:09 NCR 797	*						
21 NCAC 46 .2301	12:03 NCR 168		12:07 NCR 527	*						
			12:09 NCR 797							
21 NCAC 46 230+	(2.24 NCR 2203		13:04 NCR 419		Approve	86/61/11	*		13:16 NCR 1265	
21 NCAC 46 2306	FORT MUNICIPALITY		13:04 NCR 419	b.	Object	11/19/98				
21 NCAC 46 2502	12:24 NCR 2203		13:04 NCR 419	·#	Object	86/61/11	i			
21 NCAC 46_2506	12.24 NCR 2263		13:04 NCR 419	÷	Approve <b>Object</b>	12/17/98	*		13:17 NCK 1381	
21 NCAC 46 2604	12-24 NCR 2203		13:04 NCR 419	\$4°	Approve	86/61/11			13;16 NCR 1265	

	Other			13:14 NCR 1100 13:21 NCR 1769										13:14 NCR 1100												
	Approved Rule		13:17 NCK 1381	15.17 MCK 1581 13. 13.										13:												
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Temporary	Rule			RINKLER CONT			12.07 NCR 557																			
Rule-making	Proceedings	12:24 NCR 2203	12:24 NCR 2203	lex Drugs lex Drugs NG AND FIRE SP	12.07 NCR 509	12.07 NCR 509	12.07 NCR 509	12.07 NCR 509	12.07 NCR 509	12 07 NCR 509	12:07 NCR 509	12:07 NCR 509	12.07 NCR 509	lex Drugs	RD	12.05 NCR 338	12.05 NCR 338	12:05 NCR 338	13:21 NCR 1784	12:05 NCR 338	12 05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12.05 NCR 338	12 05 NCR 338
Agency/Rule	Citation	21 NCAC 46 2609	21 NCAC 46 2611	Narrow Therapeutic Index Drugs Narrow Therapeutic Index Drugs PLUMBING, HEATING AND FIRE SPRINKLER CONTRACTORS, EXAM	21 NCAC 50 .0106	21 NCAC 50 0202	21 NCAC 50 0506	21 NCAC 50.1201	21 NCAC 50 .1205	21 NCAC 50 1206	21 NCAC 50.1210	21 NCAC 50.1212	21 NCAC 50 .1302	Narrow Therapeutic Index Drugs	PSYCHOLOGY BOARD	21 NCAC 54 .1611	21 NCAC 54.1612	21 NCAC 54.1613	21 NCAC 54 .1901	21 NCAC 54 .2006	21 NCAC 54 .2010	21 NCAC 54 2104	21 NCAC 54 .2301	21 NCAC 54 2302	21 NCAC 54 2303	21 NCAC 54 2304

	Other																											
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RRC Status	Date																											
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Notice of	Text																				13-13 NCR 1050		13 13 NCR 1050	13-13 NCR 1050	13:13 NCR 1050	13:13 NCR 1050	13:13 NCR 1050	13:13 NCR 1050
Temporary	Rule																											
Rule-making	Proceedings	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12.05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12:05 NCR 338	12 05 NCR 338	12.05 NCR 338	12:05 NCR 338
Agency/Rule	Citation	21 NCAC 54 .2305	21 NCAC 54 .2306	21 NCAC 54 .2307	21 NCAC 54.2308	21 NCAC 54 .2309	21 NCAC 54 2310	21 NCAC 54 2311	21 NCAC 54 2312	21 NCAC 54 2313	21 NCAC 54 .2314	21 NCAC 54 .2401	21 NCAC 54 .2402	21 NCAC 54 2501	21 NCAC 54 .2502	21 NCAC 54 2503	21 NCAC 54 .2504	21 NCAC 54 .2505	21 NCAC 54 2601	21 NCAC 54 .2602	21 NCAC 54 2704	21 NCAC 54 2705	21 NCAC 54 2706	21 NCAC 54 2801	21 NCAC 54 2802	21 NCAC 54 .2803	21 NCAC 54 .2804	21 NCAC 54 .2805

Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective hy		
Citation	Proceedings	Rule	Text	Note	Action	Date	from proposal	Governor	Approved Kule	Other
21 NCAC 54 2806	12:05 NCR 338		13.13 NCR 1050	*						
21 NCAC 54 .2807	12:05 NCR 338		13:13 NCR 1050	*						
PUBLIC EDUCATION	7									
Public Hearing - Date Change (See 13:18 NCR 1503)	Change (See 13:18 ]	VCR 1503)								13:19 NCR 1605
16 NCAC 06B .0108		13:13 NCR 1061	13:18 NCR 1503	*						
16 NCAC 06C 0102			13:18 NCR 1503	*						
16 NCAC 06C .0103			13.18 NCR 1503	*						
16 NCAC 06C .0202			13:18 NCR 1503	*						
16 NCAC 06C 0205			13:18 NCR 1503	*						
16 NCAC 06C .0205			13:24 NCR 2008	*						
16 NCAC 06C .0206			13-18 NCR 1503	*						
16 NCAC 06C .0207			13:18 NCR 1503	*						
16 NCAC 06C .0301			13:18 NCR 1503	*						
16 NCAC 06C,0302			13.18 NCR 1503	*						
16 NCAC 06C 0303			13.18 NCR 1503	*						
16 NCAC 06C .0304			13:18 NCR 1503	*						
16 NCAC 06C 0305			13:18 NCR 1503	*						
16 NCAC 06C .0306			13.18 NCR 1503	*						
16 NCAC 06C 0307			13:18 NCR 1503	*						
16 NCAC 06C 0308			13.18 NCR 1503	*						
16 NCAC 06C 0309			13:18 NCR 1503	*						
16 NCAC 06C 0310			12:01 NCR 18	*						
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16 NCAC 06C .0311			13-18 NCR 1503	*						
16 NCAC 06C 0312			13.18 NCR 1503	*						
16 NCAC 06C .0313			13:18 NCR 1503	*						
16 NCAC 06C 0501			13.18 NCR 1503	*						
16 NCAC 06C .0502		12.09 NCR 834	12.19 NCR 1773	N/A	Approve	08/20/98			13:10 NCR 817	

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16 NCAC 06D .0103		12:22 NCR 2010								
16 NCAC 06D .0103			13 18 NCR 1503	*						
16 NCAC 06D .0103			13:24 NCR 2008	S						
16 NCAC 06D 0210			13-18 NCR 1503	*						
16 NCAC 06D 0301			13.18 NCR 1503	*						
16 NCAC 06D 0302			13.18 NCR 1503	*						
16 NCAC 06D .0303			13 18 NCR 1503	*						
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16 NCAC 06D 0305			13.18 NCR 1503	*						
16 NCAC 06D 0501			13·24 NCR 2008	s						
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16 NCAC 06D .0503			13 24 NCR 2008	s						
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16 NCAC 06D .0505			13 24 NCR 2008	s						
16 NCAC 06D .0506			13 24 NCR 2008	s						
16 NCAC 06D .0507			13-24 NCR 2008	S						
16 NCAC 06E .0105		12:05 NCR 433	12.19 NCR 1773	N/A	Approve	08/50/98	*		13:10 NCR 817	
16 NCAC 06E .0202			13:18 NCR 1503	*						
16 NCAC 06E .0301		13:05 NCR 523								
16 NCAC 06E 0301			13:18 NCR 1503	*						
16 NCAC 06G 0202			13 18 NCR 1503	<del>)</del> -						
16 NCAC 06G 0305			12.19 NCR 1773	Z/Z	Approve	86/07/80	*		13:10 NCR 817	
16 NCAC 06G 0308			13 18 NCR 1503	٠						
16 NCAC 06G 030%			13:18 NCR 1503	н						
16 NCAC 06G 031G			12.19 NCR 1773	N/A	Approve	86/07/80	*		13:10 NCR 817	
16 NCAC 06G 0311		12:22 NCR 2010								
16 NCAC 06G JO313			13:18 NCR 1503	*						

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16 NCAC 06G .0501		12:12 NCR 1071	12:19 NCR 1773	N/A	Approve	08/20/98			13:10 NCR 817	
16 NCAC 06G 0502			13:18 NCR 1503	*						
16 NCAC 06H .0101			13:18 NCR 1503	*						
16 NCAC 06H .0103			13-18 NCR 1503	*						
16 NCAC 06H .0105			13:18 NCR 1503	*						
16 NCAC 06H :0106			13:18 NCR 1503	*						
16 NCAC 06H 0107			13.18 NCR 1503	*						
16 NCAC 06H .0108			13.18 NCR 1503	*						
16 NCAC 06H :0109			13:18 NCR 1503	*						
16 NCAC 06H .0110			13.18 NCR 1503	*						
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21 NCAC 58A .0101	N/A	N/A	N/A	N/A	Approve	08/20/98			13:10 NCR 817	
REVENUE										
17 NCAC 01C .0601	N/A		13.10 NCR 808	N/A	Approve	12/17/98	*		13:17 NCR 1381	
17 NCAC 03B .0102	N/A	N/A	N/A	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 03B .0103	N/A	N/A	N/A	N/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 03B .0104	N/A	N/A	N/A	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 03B .0106	N/A	N/A	N/A	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 03B .0108	N/A	N/A	N/A	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 03B .0109	N/A	N/A	N/A	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 03B .0110	N/A	N/A	N/A	N/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 03B .0111	N/A	N/A	N/A	N/A	Approve	12/11/98			13:17 NCR 1381	
17 NCAC 03B .0112	N/A	N/A	N/A	Z/A	Approve	12/17/98			13-17 NCR 1381	
17 NCAC 03B .0113	N/A	N/A	N/A	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 03B 0114	N/A	N/A	N/A	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 04B .0102	N/A		13:08 NCR 690	N/A						
17 NCAC 04B 0104	N/A		13:08 NCR 690	N/A						

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17 NCAC 04B .0105	N/A		13:08 NCR 690	N/A						
17 NCAC 04B .0106	N/A		13:08 NCR 690	N/A						
17 NCAC 04B 0107	N/A		13:08 NCR 690	N/A						
17 NCAC 04B 0301	N/A		13:08 NCR 690	N/A						
17 NCAC 04B .0302	N/A		13:08 NCR 690	N/A						
17 NCAC 04B :0306	N/A		13:08 NCR 690	N/A						
17 NCAC 04B ,0308	N/A		13:08 NCR 690	N/A						
17 NCAC 04B 0309	N/A		13:08 NCR 690	Z/A						
17 NCAC 04B .0310	N/A		13:08 NCR 690	N/A						
17 NCAC 04B .0311	N/A		13:08 NCR 690	N/A						
17 NCAC 04B .0312	N/A		13:08 NCR 690	N/A						
17 NCAC 04B .0403	N/A		13:08 NCR 690	N/A						
17 NCAC 04B ,0405	N/A		13.08 NCR 690	N/A						
17 NCAC 04B 2902	N/A		13:08 NCR 690	N/A						
17 NCAC 04B .4301	N/A		13:08 NCR 690	N/A						
17 NCAC 04B 4302	N/A		13:08 NCR 690	N/A						
17 NCAC 04D 0204			13:05 NCR 496	S/SE	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 04D :0303			13:05 NCR 496	S/SE	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 04D 0305			13-05 NCR 496	S/SE	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 04D, 0401			13:05 NCR 496	S/SE	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 04D .0402			13:05 NCR 496	S/SE	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 04D 0501			13:05 NCR 496	S/SE	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 04D 0505			13:05 NCR 496	S/SE	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 04D .0506			13:05 NCR 496	S/SE	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 04D 0508			13:05 NCR 496	S/SE	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 04D 0610			13:05 NCR 496	S/SE	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 04D 0901			13:05 NCR 496	S/SE	Approve	12/17/98			13:17 NCR 1381	

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17 NCAC 04D .0902			13:05 NCR 496	S/SE	Approve	12/17/98			13 17 NCR 1381	
17 NCAC 04D .0903			13:05 NCR 496	S/SE	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 04D .0907			13:05 NCR 496	S/SE	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 04D .0908			13:05 NCR 496	S/SE	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 04D .1001			13:05 NCR 496	S/SE	Approve	12/11/98			13:17 NCR 1381	
17 NCAC 04D 1003			13:05 NCR 496	S/SE	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 04E .0102	N/A		13:08 NCR 690	N/A						
17 NCAC 04E .0103	A/A		13:08 NCR 690	N/A						
17 NCAC 04E .0201	N/A		13:08 NCR 690	N/A						
17 NCAC 04E :0202	N/A		13.08 NCR 690	N/A						
17 NCAC 04E .0203	N/A		13:08 NCR 690	N/A						
17 NCAC 04E .0302	N/A		13:08 NCR 690	N/A						
17 NCAC 04E .0703	N/A		13:08 NCR 690	N/A						
17 NCAC 04F 0005	N/A		13:08 NCR 690	N/A						
17 NCAC 05B 0107	N/A		13:09 NCR 760	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 05B .1105	N/A		13:09 NCR 760	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 05B 1304	N/A	N/A	N/A	N/A	Approve	09/11/98			13.11 NCR 912	
17 NCAC 05C 0102			12:14 NCR 1285	*						
17 NCAC 05C .0703			12:14 NCR 1285	*						
17 NCAC 05C 0703	N/A		13.09 NCR 760	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 05C 2004	N/A		13:09 NCR 760	N/A	Approve	12/17/98	*		13.17 NCR 1381	
17 NCAC 05C .2101	N/A		13:09 NCR 760	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 05C 2102	N/A		13 09 NCR 760	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 06B .0104	N/A		13.09 NCR 762	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 06B .0105	N/A		13:08 NCR 694	N/A						
17 NCAC 06B .0110	N/A		13:09 NCR 762	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 06B .0118	N/A		13:09 NCR 762	N/A	Object Object	12/17/98 03/18/99				

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					Approve	04/12/66				
17 NCAC 06B .0606	N/A		13:09 NCR 762	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 06B 3203	N/A		13:09 NCR 762	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 06B .3204			12:17 NCR 1610	*	Approve	86/81/90			13:03 NCR 334	
17 NCAC 06B .3206	N/A		13/09 NCR 762	N/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 06B 3207	N/A	N/A	N/A	A/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 06B 3719	N/A	N/A	N/A	N/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 06B 3901	N/A		13:09 NCR 762	N/A	Approve	12/17/98			13-17 NCR 1381	
17 NCAC 06B .3904	N/A		L3 09 NCR 762	N/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 06B .4004	N/A		13:09 NCR 762	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 06C .0124	N/A		13 09 NCR 762	N/A	Approve	12/17/98			13-17 NCR 1381	
17 NCAC 07B .0104	N/A		13.09 NCR 767	N/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 07B .0124	N/A		13.08 NCR 695	N/A						
17 NCAC 07B .0125	N/A		13:08 NCR 695	N/A						
· 17 NCAC 07B .0206	N/A		13.09 NCR 767	N/A	Approve	12/17/98			13-17 NCR 1381	
17 NCAC 07B_L301	N/A		13:09 NCR 767	A/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 07B 1303	N/A		13.09 NCR 767	N/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 07B 1602	N/A		13:09 NCR 767	N/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 07B_1704	N/A	N/A	N/A	N/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 07B 1801	N/A	N/A	N/A	N/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 07B 1905	N/A		13:09 NCR 767	A/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B 2401	N/N		13:09 NCR 767	N/A						
17 NCAC 07B .2201	Z/S	N/A	N/A	N/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 07B 2212	V/Z	N/A	N/A	V/N	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B .28 /2	<b>V</b> /Z		13:10 NCR 809	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B 3201	Z/Z	N/A	N/A	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B 3501	N/A		13:10 NCR 809	N/A	Approve	12/17/98			13:17 NCR 1381	
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17 NCAC 07B .3702	N/A		13:10 NCR 809	A/Z	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 07B .5401	N/A		13:06 NCR 552	A/Z	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 07B .5402	N/A		13-06 NCR 552	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B .5403	N/A		13:06 NCR 552	A/A	Approve	12/17/98			13-17 NCR 1381	
17 NCAC 07B .5404	N/A		13 06 NCR 552	A/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B .5405	N/A		13:06 NCR 552	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B .5406	N/A		13:06 NCR 552	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B .5408	N/A		13:06 NCR 552	Z/A	Approve	12/17/98			13 17 NCR 1381	
17 NCAC 07B .5409	N/A		13 06 NCR 552	A/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B .5410	N/A		13:06 NCR 552	Z/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B .5411	N/A		13.06 NCR 552	A/N	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B .5412	N/A		13:06 NCR 552	Z/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B 5414	N/A		13-06 NCR 552	N/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 07B 5415	N/A		13:06 NCR 552	N/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 07B .5416	N/A		13:06 NCR 552	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B .5417	N/A		13.06 NCR 552	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B .5418	N/A		13:06 NCR 552	A/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B 5419	N/A		13.06 NCR 552	N/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 07B 5420	N/A		13:06 NCR 552	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B .5421	N/A		13:06 NCR 552	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B .5422	N/A		13.06 NCR 552	A/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B .5423	N/A		13:06 NCR 552	N/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B .5424	N/A		13-06 NCR 552	N/A	Approve	12/17/98			13-17 NCR-1381	
17 NCAC 07B .5428	N/A		13:06 NCR 552	Z/A	Approve	12/17/98			13.17 NCR 1381	
17 NCAC 07B .5429	N/A		13:06 NCR 552	A/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B .5430	N/A		13:06 NCR 552	A/A	Approve	12/17/98			13:17 NCR 1381	
17 NCAC 07B 5.131	V 114		13-06 NCP 552	VIV	Approx	90,71761			19CL GON ELC:	

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17 NCAC 09L 0302			12:17 NCR 1610	*	Approve	06/18/98			13-03 NCR 334		
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		13:18 NCR 1556		
18 NCAC 10 :0201	13:09 NCR 759	13:14 NCR 1153		
18 NCAC 10:0301	13:09 NCR 759	13:14 NCR 1153		
		13:18 NCR 1556		
18 NCAC 10:0302	13:09 NCR 759	13:14 NCR 1153		
18 NCAC 10 .0303	13:09 NCR 759	13:14 NCR 1153		
18 NCAC 10 :0304	13.09 NCR 759	13.14 NCR 1153		
18 NCAC 10,0305	13:09 NCR 759	13.14 NCR 1153		
		13:18 NCR 1556		
18 NCAC 10,0306		13.18 NCR 1556		
18 NCAC 10 .0307		13-18 NCR 1556		
18 NCAC 10 :0401	13:09 NCR 759	13:14 NCR 1153		
		13:18 NCR 1556		
18 NCAC 10 .0402	13:09 NCR 759	13 14 NCR 1153		
		13:18 NCR 1556		
18 NCAC 10 0501	13:09 NCR 759	13.14 NCR 1153		
		Contract of Ci		

# 13:18 NCR 1556 SPEECH AND LANGUAGE PATHOLOGISTS AND AUDIOLOGIST, BOARD OF EXAMINERS

11:23 NCR 1780 21 NCAC 64 .0303 STATE PERSONNEL COMMISSION

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Agency/Rule	Rule-making	Temporary	Notice of	Fiscal	RRC	RRC Status	Text differs	Effective by		Š
Citation	Proceedings	Rule	Text	Nate	Action	Date	from proposal	Guvernor	Approved Rule	Other
						e				
25 NCAC 01B 0354	13.05 NCR 436		13:09 NCR 773	*						
25 NCAC 01B .0414		13:18 NCR 1560	13.22 NCR 1850	*						
25 NCAC 01B .0434		13.18 NCR 1560	13 22 NCR 1850	<b>*</b>						
25 NCAC 01B .0437	13.05 NCR 436		13.09 NCR 773	*						
25 NCAC 01C .0214		13.18 NCR 1560	13 22 NCR 1850	<b>*</b>						
25 NCAC 01D 2516		11.13 NCR 1062	11 19 NCR 1429	*						
25 NCAC 01D 2517		Temp Expired 12:09 NCR 835								
25 NCAC 01H 0602	13 05 NCR 436		13:09 NCR 773	*						
25 NCAC 01H ,0605	13.05 NCR 436		13 09 NCR 773	*						
25 NCAC 01H,0606	13.05 NCR 436		13:09 NCR 773	*						
25 NCAC 01J 0503	13:05 NCR 436		13.09 NCR 773	*						
25 NCAC 01J .0506		13:18 NCR 1560	13.22 NCR 1850	*						
25 NCAC 01J .0512	13:05 NCR 436		13.09 NCR 773	*						
25 NCAC 01J ,0603	13 05 NCR 436		13 09 NCR 773	*						
25 NCAC 01J 0603		13:18 NCR 1560	13:22 NCR 1850	*						
SUBSTANCE ABUSE PROFESSIONAL CERTIFICATION BOARD	E PROFESSIONA	AL CERTIFICATIO	N BOARD							
21 NCAC 68 0305	12:09 NCR 745	12:11 NCR 944	12:15 NCR 1426	S/L	Object	86/51/10	*		13,432 N/CB 3.10	
TRANSPORTATION	-				Approve	06/17/60	÷		13.02 INC K 249	
Highways, Division of										
19A NCAC 02D 0406 12:22 NCR 1980	12:22 NCR 1980		13.05 NCR 501	*	Approve	86/61/11			13/16 NCR 1265	
19A NCAC 021) 0415	12.18 NCR 1694		12:24 NCR 2219	*	Approve	86/11/60			13.11 NCR 912	
19A NCAC 02D 0415	i 13 08 NCR 626		13:14 NCR 1116		Approve	66/51/10				
19A NCAC 02D 0816	12:19 NCR 1764		13:01 NCR 41		Object	86/11/60			13:11 NCR 912	
19A NCAC 02E .0221	13.04 NCR 364		13:10 NCR 811	F	Approve Approve	10/77/98 03/18/99	* *		L3:14 NCK 1167	
19A NCAC 02E .0222	13:04 NCR 361		13:10 NCR 811	*	Approve	03/18/09				
Motor Vehicles, Division of	, or f									

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a r	Approved Rule							
Effective by	Governor							
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RRC Status	Date							
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Temporary	Rule							
Rule-making	Proceedings							
Agency/Rule	Citation							

	13:10 NCR 817	13:10 NCR 817										13:10 NCR 817		13:10 NCR 817	13:10 NCR 817								13:17 NCR 1381	13:17 NCR 1381	13:17 NCR 1381
	*	*										*		*	*									*	
	08/20/98	08/20/98										08/50/98		08/20/98	08/20/98								12/17/98	12/17/98	12/17/98
	Approve	Approve										Approve		Approve	Approve								Approve	Approve	Approve
	*	*	*			*	*		*	*		*	*	*	*		*		*		<b>*</b>		*	*	*
	12:24 NCR 2220	12:24 NCR 2220	13:22 NCR 1843			13:22 NCR 1843	13:22 NCR 1843		13:22 NCR 1843	13:22 NCR 1843		12:24 NCR 2220	13:22 NCR 1843	12:24 NCR 2220	12:24 NCR 2220		13:22 NCR 1843		13:22 NCR 1843		13:22 NCR 1843		13:06 NCR 557	13:06 NCR 557	13:06 NCR 557
H:19 NCR 1413 H:19 NCR 1413	12:18 NCR 1695	12:18 NCR 1695	13:16 NCR 1258	11:19 NCR 1413	13:16 NCR 1258	13:16 NCR 1258	13:16 NCR 1258	11:19 NCR 1413	13;16 NCR 1258	13:16 NCR 1258	11:19 NCR 1413	12:18 NCR 1695	13:16 NCR 1258	12.18 NCR 1695	12:18 NCR 1695	11:19 NCR 1413	13:16 NCR 1258	11:19 NCR 1413	13:16 NCR 1258	11:19 NCR 1413	13.16 NCR 1258		12/22 NCR 1981	12:22 NCR 1981	12:22 NCR 1981
		19A NCAC 031.0203	19A NCAC 031.0207	19A NCAC 031.0300	19A NCAC 031.0301	19A NCAC 031,0302	19A NCAC 031.0307	19A NCAC 031.0400	19A NCAC 031.0401	19A NCAC 031.0402	19A NCAC 031.0500	19A NCAC 031.0501	19A NCAC 031.0501	19A NCAC 031.0502	19A NCAC 031.0503	19A NCAC 031.0600	19A NCAC 031.0601	19A NCAC 031,0700	19A NCAC 031.0701	19A NCAC 031.0800	19A NCAC 031,0804	Rail Division	19A NCAC 06B .0401 - 12/22 NCR 1981	19A NCAC 06B .0404 12:22 NCR 1981	19A NCAC 06B .0405

Agency/Rufe	Rule-making	Temporary	Notice of	Fiscal	RRC Status	Status	Text differs	Effective by		
Citation	Proceedings	Rule	Text	Note	Action	Date	trom proposal	Governor	Approved Kule	Other
19A NCAC (66B .0409 12:22 NCR 1981	) 12-22 NCR 1981		13:06 NCR 557	*	Approve	12/17/98			13·17 NCR 1381	
19A NCAC 06B .0410 - 12:22 NCR 1981	) 12:22 NCR 1981		13:06 NCR 557	*	Approve	12/17/98			13-17 NCR 1381	
19A NCAC 06B .0412   12:22 NCR 1981	2 12:22 NCR 1981		13:06 NCR 557	*	Approve	12/17/98			13-17 NCR 1381	
19A NCAC 06B .0413 - 12:22 NCR 1981	3 12:22 NCR 1981		13:06 NCR 557	*	Approve	12/17/98	*		13·17 NCR 1381	
19A NCAC 06B .0414 12:22 NCR 1981	t 12:22 NCR 1981		13:06 NCR 557	¥	Approve	12/17/98			13:17 NCR 1381	
19A NCAC 06B 0417 12:22 NCR 1981	7 12:22 NCR 1981		13:06 NCR 557	*	Approve	12/17/98			13:17 NCR 1381	
19A NCAC 06B .0418 12:22 NCR 1981	3 12:22 NCR 1981		13:06 NCR 557	*	Approve	12/17/98			13:17 NCR 1381	
VETERINARY MEDICAL BOARD	DICAL BOARD									
21 NCAC 66 0207	12:23 NCR 2089									
21 NCAC 66 .0208	12:23 NCR 2089									

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